

General Meeting of Novartis AG Dated February 28, 2017

Agenda Item	Topic	Proposal
1	Approval of the Operating and Financial Review of Novartis AG, the Financial Statements of Novartis AG and the Group Consolidated Financial Statements for the 2016 Financial Year	Acceptance
2	Discharge from Liability of the Members of the Board of Directors and the Executive Committee	Acceptance
3	Appropriation of Available Earnings of Novartis AG as per Balance Sheet and Declaration of Dividend	Acceptance
4	Reduction of Share Capital	Acceptance
5	Votes on Compensation for the Members of the Board of Directors and the Executive Committee	
5.1	Binding Vote on Total Compensation for Members of the Board of Directors from the 2017 Annual General Meeting to the 2018 Annual General Meeting	Acceptance
5.2	Binding Vote on Total Compensation for Members of the Executive Committee for the next Financial Year, i.e. 2018	Acceptance
5.3	Advisory Vote on the 2016 Compensation Report	Abstention
6	Re-elections of the Chairman and the Members of the Board of Directors, Election to the Board of Directors	
6.1	Re-election of Joerg Reinhardt, Ph.D., and re-election as Chairman of the Board of Directors (in a single vote)	Acceptance
6.2	Re-election of Nancy C. Andrews, M.D., Ph.D.	Acceptance
6.3	Re-election of Dimitri Azar, M.D.	Acceptance
6.4	Re-election of Ton Buechner	Acceptance
6.5	Re-election of Srikant Datar, Ph.D.	Acceptance
6.6	Re-election of Elizabeth Doherty	Acceptance
6.7	Re-election of Ann Fudge	Acceptance
6.8	Re-election of Pierre Landolt, Ph.D.	Acceptance
6.9	Re-election of Andreas von Planta, Ph.D.	Acceptance
6.10	Re-election of Charles L. Sawyers, M.D.	Acceptance
6.11	Re-election of Enrico Vanni, Ph.D.	Acceptance
6.12	Re-election of William T. Winters	Acceptance
6.13	Election of Frans van Houten	Acceptance
7	Re-elections to the Compensation Committee	
7.1	Re-election of Srikant Datar, Ph.D., as member of the Compensation Committee	Acceptance
7.2	Re-election of Ann Fudge as member of the Compensation Committee	Acceptance
7.3	Re-election of Enrico Vanni, Ph.D., as member of the Compensation Committee	Acceptance
7.4	Re-election of William T. Winters as member of the Compensation Committee	Acceptance
8	Re-election of the Statutory Auditor	Acceptance
9	Re-election of the Independent Proxy	Acceptance
	General instructions associated with any additional proposals or proposals for amendments to published agenda items or new proposals under Art. 700 Para. 3 SCO	Rejection