

How to complete a W-8BEN-E for Entities

Please note that the instructions below show only extracts of the Form W-8BEN-E. The Form W-8BEN-E, the Entity Classification Guide and additional supporting documents are available on the bank's [FATCA internet page](#).

Line 1
Please fill in the name of the organization being the Beneficial Owner.

Line 2
If you are a corporation, enter your country of incorporation.
If you are another type of entity, enter the country under whose laws you are created, organized or governed.

Please provide your line (chapter) 3 and 4 statuses

- Line 4 for QI regime – **check only one box** provide your classification for QI purposes under the U.S. tax rules.

- Line 5 for FATCA – **check only one box** you confirm that you qualify for this classification in your country of residence.

For further assistance, please refer to the Entity Classification Guide on the bank's FATCA internet page (www.credit-suisse.com/fatca).

Please provide permanent address and, if different to the permanent address, mailing address of the Beneficial Owner.

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Form W-8BEN-E (Rev. October 2021) Department of the Treasury Internal Revenue Service		Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities) For use by entities. Individuals must use Form W-8BEN. Section references are to the Internal Revenue Code. Go to www.irs.gov/FormW8BENE for instructions and the latest information. Give this form to the withholding agent or payer. Do not send to the IRS.		OMB No. 1545-1621
Do NOT use this form for:		Instead use Form:		
• U.S. entity or U.S. citizen or resident		W-9		
• A foreign individual		W-8BEN (Individual) or Form 8233		
• A foreign individual or entity claiming that income is effectively connected with the conduct of trade or business within the United States (unless claiming treaty benefits)		W-8ECI		
• A foreign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits) (see instructions for exceptions)		W-8IMY		
• A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession claiming that income is effectively connected U.S. income or that is claiming the applicability of section(s) 1152(a), 501(c), 892, 895, or 1443(b) (unless claiming treaty benefits) (see instructions for other exceptions)		W-8ECI or W-8EXP		
• Any person acting as an intermediary (including a qualified intermediary acting as a qualified derivatives dealer)		W-8IMY		
Part I Identification of Beneficial Owner				
1 Name of organization that is the beneficial owner		2 Country of incorporation or organization		
3 Name of disregarded entity receiving the payment (if applicable, see instructions)				
4 Chapter 3 Status (entity type) (Must check one box only):				
<input type="checkbox"/> Simple trust		<input type="checkbox"/> Tax-exempt organization	<input type="checkbox"/> Corporation	<input type="checkbox"/> Partnership
<input type="checkbox"/> Central Bank of Issue		<input type="checkbox"/> Private foundation	<input type="checkbox"/> Complex trust	<input type="checkbox"/> Foreign Government - Controlled Entity
<input type="checkbox"/> Grantor trust		<input type="checkbox"/> Disregarded entity	<input type="checkbox"/> Estate	<input type="checkbox"/> Foreign Government - Integral Part
		<input type="checkbox"/> International organization		
If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes," complete Part III. <input type="checkbox"/> Yes <input type="checkbox"/> No				
5 Chapter 4 Status (FATCA status) (See instructions for details and complete the certification below for the entity's applicable status.)				
<input type="checkbox"/> Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner).		<input type="checkbox"/> Nonreporting IGA FFI. Complete Part XII.		
<input type="checkbox"/> Participating FFI.		<input type="checkbox"/> Foreign government, government of a U.S. possession, or foreign central bank of issue. Complete Part XIII.		
<input type="checkbox"/> Reporting Model 1 FFI.		<input type="checkbox"/> International organization. Complete Part XIV.		
<input type="checkbox"/> Reporting Model 2 FFI.		<input type="checkbox"/> Exempt retirement plans. Complete Part XV.		
<input type="checkbox"/> Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions.		<input type="checkbox"/> Entity wholly owned by exempt beneficial owners. Complete Part XVI.		
<input type="checkbox"/> Sponsored FFI. Complete Part IV.		<input type="checkbox"/> Territory financial institution. Complete Part XVII.		
<input type="checkbox"/> Certified deemed-compliant nonregistering local bank. Complete Part V.		<input type="checkbox"/> Excepted nonfinancial group entity. Complete Part XVIII.		
<input type="checkbox"/> Certified deemed-compliant FFI with only low-value accounts. Complete Part VI.		<input type="checkbox"/> Excepted nonfinancial start-up company. Complete Part XIX.		
<input type="checkbox"/> Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII.		<input type="checkbox"/> Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XX.		
<input type="checkbox"/> Certified deemed-compliant limited life debt investment entity. Complete Part VIII.		<input type="checkbox"/> 501(c) organization. Complete Part XXI.		
<input type="checkbox"/> Certain investment entities that do not maintain financial accounts. Complete Part IX.		<input type="checkbox"/> Nonprofit organization. Complete Part XXII.		
<input type="checkbox"/> Owner-documented FFI. Complete Part X.		<input type="checkbox"/> Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII.		
<input type="checkbox"/> Restricted distributor. Complete Part XI.		<input type="checkbox"/> Excepted territory NFFE. Complete Part XXIV.		
		<input type="checkbox"/> Active NFFE. Complete Part XXV.		
		<input type="checkbox"/> Passive NFFE. Complete Part XXVI.		
		<input type="checkbox"/> Excepted inter-affiliate FFI. Complete Part XXVII.		
		<input type="checkbox"/> Direct reporting NFFE.		
		<input type="checkbox"/> Sponsored direct reporting NFFE. Complete Part XXVIII.		
		<input type="checkbox"/> Account that is not a financial account.		
6 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address).				
City or town, state or province. Include postal code where appropriate.			Country	
7 Mailing address (if different from above)				
City or town, state or province. Include postal code where appropriate.			Country	
For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 59689N Form W-8BEN-E (Rev. 10-2021) Page 2				
Part I Identification of Beneficial Owner (continued)				
8 U.S. taxpayer identification number (TIN), if required				
9a GIIN	b Foreign TIN	c Check if FTIN not legally required. <input type="checkbox"/>		
10 Reference number(s) (see instructions)				
Note: Please complete remainder of the form including signing the form in Part XXX.				

Please complete if you are entitled to the benefits of a Double Tax Treaty between the U.S. and your country of tax residence. Please fill in **a** and **b** LOB category. For more information about the LOB categories, please refer to the guidance Double Tax Treaties with the United States on the bank's FATCA internet page. If you are uncertain about your status, please liaise with your tax advisor.

Please only complete where the standard Double Tax Treaty rate does not apply.

Please fill in your name and sign in accordance with signatory rights. Ensure the date follows the format MM-DD-YYYY (e.g. 03-28-2022). Tick the capacity box to ensure the validity of the form.

(new) Electronically signed forms are acceptable (for both FATCA and QI). Electronic signatures should generally contain the name of the person authorized to sign, a time and date stamp, as well as a statement that such certificate has been electronically signed.

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Part III Claim of Tax Treaty Benefits (if applicable). (For chapter 3 purposes only.)

14 I certify that (check all that apply):

a The beneficial owner is a resident of _____ within the meaning of the income tax treaty between the United States and that country.

b The beneficial owner derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits. The following are types of limitation on benefits provisions that may be included in an applicable tax treaty (check only one; see instructions):

<input type="checkbox"/> Government	<input type="checkbox"/> Company that meets the ownership and base erosion test
<input type="checkbox"/> Tax-exempt pension trust or pension fund	<input type="checkbox"/> Company that meets the derivative benefits test
<input type="checkbox"/> Other tax-exempt organization	<input type="checkbox"/> Company with an item of income that meets active trade or business test
<input type="checkbox"/> Publicly traded corporation	<input type="checkbox"/> Favorable discretionary determination by the U.S. competent authority received
<input type="checkbox"/> Subsidiary of a publicly traded corporation	<input type="checkbox"/> No LOB article in treaty
	<input type="checkbox"/> Other (specify Article and paragraph): _____

c The beneficial owner is claiming treaty benefits for U.S. source dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation and meets qualified resident status (see instructions).

15 **Special rates and conditions (if applicable—see instructions):**
 The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 14a above to claim a _____ % rate of withholding on (specify type of income): _____
 Explain the additional conditions in the Article the beneficial owner meets to be eligible for the rate of withholding: _____

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Part XXX Certification


Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- The entity identified on line 1 of this form is the beneficial owner of all the income or proceeds to which this form relates, is using this form to certify its status for chapter 4 purposes, or is submitting this form for purposes of section 6050W or 6050Y;
- The entity identified on line 1 of this form is not a U.S. person;
- This form relates to: (a) income not effectively connected with the conduct of a trade or business in the United States, (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an income tax treaty, (c) the partner's share of a partnership's effectively connected taxable income, or (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(i); and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which the entity on line 1 is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity on line 1 is the beneficial owner.

I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.

I certify that I have the capacity to sign for the entity identified on line 1 of this form.

Sign Here 

Signature of individual authorized to sign for beneficial owner _____ Print Name _____ Date (MM-DD-YYYY) _____

Form **W-8BEN-E** (Rev. 10-2021)



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