

Form **W-9**
(Rev. August 2013)
Department of the Treasury
Internal Revenue Service

**Request for Taxpayer
Identification Number and Certification**

**Give Form to the
requester. Do not
send to the IRS.**

Name (as shown on your income tax return)
CREDIT SUISSE (USA) INC

Business name/disregarded entity name, if different from above
CREDIT SUISSE MANAGEMENT LLC

Check appropriate box for federal tax classification:
 Individual/sole proprietor C Corporation S Corporation Partnership Trust/estate
 Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____
 Other (see instructions) ▶ _____

Exemptions (see instructions):
 Exempt payee code (if any) 5
 Exemption from FATCA reporting code (if any) E

Address (number, street, and apt. or suite no.)
11 MADISON AVENUE 8TH FLOOR

City, state, and ZIP code
NEW YORK, NY 10010

Requester's name and address (optional)

List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number

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Employer identification number

1	3	-	1	8	9	8	8	1	8
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Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below), and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here **Signature of U.S. person**  **Date** 9/11/2014

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on IRS.gov for information about Form W-9, at www.irs.gov/w9. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the

withholding tax on foreign partners' share of effectively connected income, and

4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

Subject: FW: CS FIRST BOSTON SECURITIES CORPORATION
Attachments: DOC.PDF

Subject: RE: CS FIRST BOSTON SECURITIES CORPORATION

There is a Certificate of Amendment changing the name from CS First Boston Securities Corporation to Credit Suisse First Boston Management Corporation, a Certificate of Conversion, converting the entity from a Corporation to an LLC with the name Credit Suisse First Boston Management LLC and a Certificate of Formation for the LLC, and then a subsequent name change certificate to Credit Suisse Management LLC.

CREDIT SUISSE

Enterp

Former Names

Entity Name	Effective As Of	Last Effective Date
<u>Credit Suisse Management LLC</u>	Jan 16, 2006	
<u>Credit Suisse First Boston Management LLC</u>	Mar 20, 2003	Jan 15, 2006
<u>Credit Suisse First Boston Management Corporation</u>	Dec 27, 1996	Mar 19, 2003
<u>CS First Boston Securities Corporation</u>	Oct 22, 1993	Dec 26, 1996
<u>First Boston Securities Corporation</u>	Dec 19, 1983	Oct 21, 1993
<u>First Boston Commercial Paper Corporation</u>	Jan 25, 1979	Dec 18, 1983
<u>First Boston Credit Corporation</u>	Dec 08, 1978	Jan 24, 1979

Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "CREDIT SUISSE FIRST BOSTON MANAGEMENT LLC", CHANGING ITS NAME FROM "CREDIT SUISSE FIRST BOSTON MANAGEMENT LLC" TO "CREDIT SUISSE MANAGEMENT LLC", FILED IN THIS OFFICE ON THE TENTH DAY OF JANUARY, A.D. 2006, AT 2:05 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE SIXTEENTH DAY OF JANUARY, A.D. 2006.



0864083 8100

060024412

Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State
AUTHENTICATION: 4437501

DATE: 01-10-06

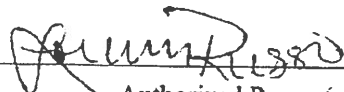
**STATE OF DELAWARE
CERTIFICATE OF AMENDMENT**

1. Name of Limited Liability Company: Credit Suisse First Boston Management LLC

2. The Certificate of Formation of the limited liability company is hereby amended as follows: Article 1 is amended in its entirety to be and read as follows: Name: The name of the LLC shall be: Credit Suisse Management LLC.

3. ~~This amendment shall be effective on January 16, 2006.~~

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the 10 day of January, A.D. 2006.

By: 
Authorized Person(s)

Name: Lori M. Russo

Print or Type

Delaware

PAGE 2

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF FORMATION OF "CREDIT SUISSE FIRST BOSTON MANAGEMENT LLC" FILED IN THIS OFFICE ON THE TWENTIETH DAY OF MARCH, A.D. 2003, AT 10 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF FORMATION IS THE TWENTY-FIRST DAY OF MARCH, A.D. 2003.



Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

0864083 8100V

030186998

AUTHENTICATION: 2320325

DATE: 03-20-03

CERTIFICATE OF FORMATION

OF

CREDIT SUISSE FIRST BOSTON MANAGEMENT LLC

This Certificate of Formation of Credit Suisse First Boston Management LLC (the "LLC"), dated as of March 20, 2003, has been duly executed and is being filed by the undersigned, as an authorized person, to form a limited liability company under the Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.) (the "Act").

ARTICLE I

NAME

The name of the LLC shall be: Credit Suisse First Boston Management LLC.

ARTICLE II

REGISTERED OFFICE; REGISTERED AGENT

The address of the registered office of the LLC shall be: c/o Corporation Service Company, 2711 Centerville Road, Suite 400, Wilmington, Delaware 19808. The name and address of the registered agent of the LLC shall be Corporation Service Company, 2711 Centerville Road, Suite 400, Wilmington, Delaware 19808. Either the registered office or the registered agent may be changed in the manner provided by law.

ARTICLE III

AMENDMENTS

The LLC reserves the right to amend its Certificate of Formation from time to time in accordance with the Act.

ARTICLE IV

EFFECTIVE DATE AND TIME

This Certificate of Formation shall be effective on March 21, 2003.

030490009

STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 10:00 AM 03/20/2003
030186998 - 0864083

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation as of the date first written above.



Name: Rhonda G. Matty
Title: Authorized Person

Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "CREDIT SUISSE FIRST BOSTON MANAGEMENT CORPORATION" TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "CREDIT SUISSE FIRST BOSTON MANAGEMENT CORPORATION" TO "CREDIT SUISSE FIRST BOSTON MANAGEMENT LLC", FILED IN THIS OFFICE ON THE TWENTIETH DAY OF MARCH, A.D. 2003, AT 10 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE TWENTY-FIRST DAY OF MARCH, A.D. 2003.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

0864083 8100V

030186998

AUTHENTICATION: 2320325

DATE: 03-20-03

**CERTIFICATE OF CONVERSION
TO LIMITED LIABILITY COMPANY
OF
CREDIT SUISSE FIRST BOSTON MANAGEMENT CORPORATION
TO
CREDIT SUISSE FIRST BOSTON MANAGEMENT LLC**

This Certificate of Conversion to Limited Liability Company, dated as of March 20, 2003, is being duly executed and filed by Credit Suisse First Boston Management Corporation, a Delaware corporation (the "Corporation"), and by an authorized person, to convert the Corporation to a Delaware limited liability company, under the Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.) (the "Act") and the General Corporation Law of the State of Delaware (8 Del. C. § 101, et seq.) (the "GCL").


1. The name of the Corporation under which it was originally incorporated in the State of Delaware was First Boston Credit Corporation, and immediately prior to the filing of this Certificate of Conversion to Limited Liability Company, the jurisdiction of the Corporation was Delaware and its name was Credit Suisse First Boston Management Corporation.
2. The Corporation filed its original certificate of incorporation with the Secretary of State of the State of Delaware on December 8, 1978.
3. The name of the limited liability company into which the Corporation shall be converted as set forth in its Certificate of Formation is Credit Suisse First Boston Management LLC.
4. The conversion of the Corporation to a limited liability company has been approved in accordance with the provisions of Section 266 of the GCL and Section 18-214 of the Act.
5. The conversion of the Corporation to a limited liability company shall be effective on March 21, 2003.


030500008

STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 10:00 AM 03/20/2003
030186998 - 0864083

IN WITNESS WHEREOF, the undersigned have executed this Certificate of Conversion to Limited Liability Company as of the date first written above.

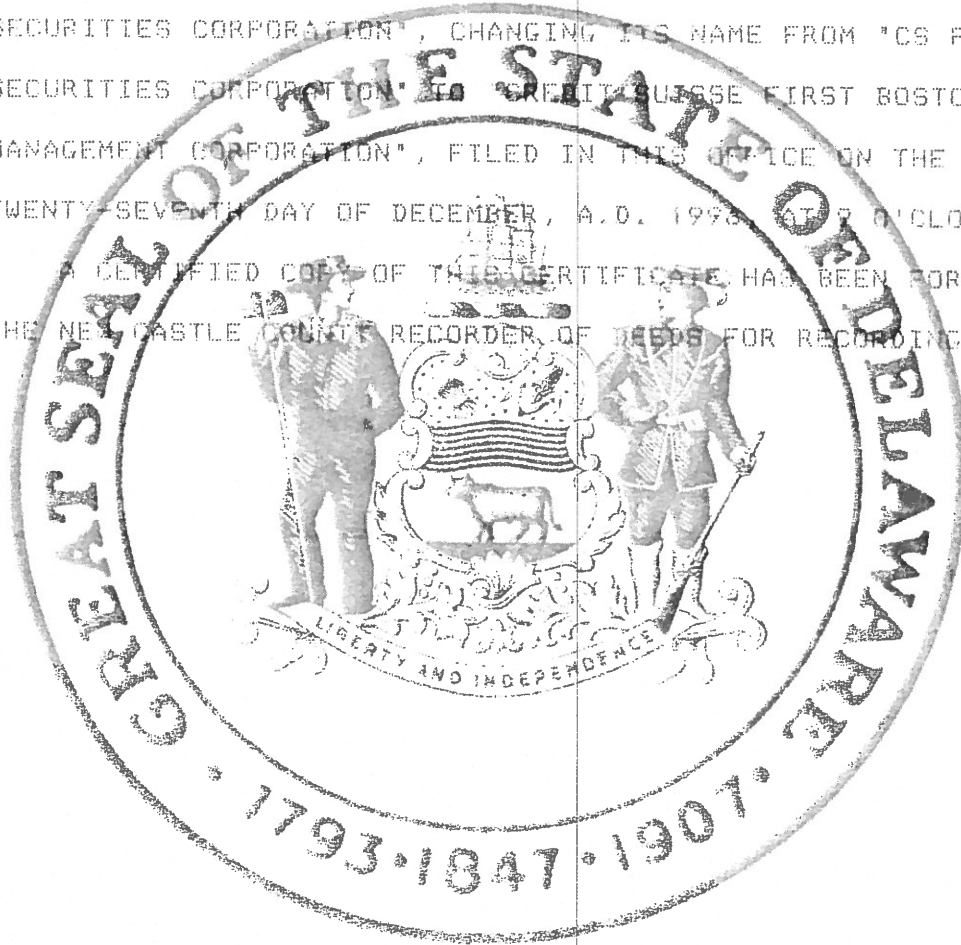
CREDIT SUISSE FIRST BOSTON MANAGEMENT CORPORATION

By: 
Name: Rhonda G. Matty
Title: Assistant Secretary


Name: Rhonda G. Matty
Title: Authorized Person of
Credit Suisse First Boston Management LLC

Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "CS FIRST BOSTON SECURITIES CORPORATION", CHANGING ITS NAME FROM "CS FIRST BOSTON SECURITIES CORPORATION" TO "CREDIT SUISSE FIRST BOSTON MANAGEMENT CORPORATION", FILED IN THIS OFFICE ON THE TWENTY-SEVENTH DAY OF DECEMBER, A.D. 1998 AT 9 O'CLOCK A.M. A CERTIFIED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS FOR RECORDING.



Edward J. Freel

Edward J. Freel, Secretary of State

AUTHENTICATION:

DATE:

CERTIFICATE OF AMENDMENT OF CERTIFICATE OF INCORPORATION
OF
CS FIRST BOSTON SECURITIES CORPORATION

It is hereby certified that:

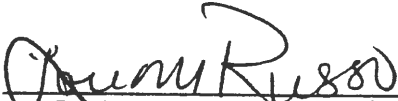
1. The name of the corporation (hereinafter called the "corporation") is CS FIRST BOSTON SECURITIES CORPORATION.

2. The certificate of incorporation of the corporation is hereby amended by striking out Article FIRST thereof and by substituting in lieu of said Article the following new Article:

"FIRST: The name of the corporation is CREDIT SUISSE FIRST BOSTON MANAGEMENT CORPORATION."

3. The amendment of the certificate of incorporation herein certified have been duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware.

Signed on December 16, 1996.


Lori M. Russo, Secretary