

Declaration of Beneficial Owner Status as “Non-U.S. Person” or “U.S. Person”¹

Assets and Income Subject to United States Tax

Relationship Holder²

Name

Address of domicile/registered address (Street/no, Postal Code, City, Country)

(hereinafter referred to as the Client)

Beneficial Owner³

Name

Address of domicile (Street/no, Postal Code, City, Country)

Citizenship(s)⁴

Date and place of birth

(hereinafter referred to as Beneficial Owner)

The Client herewith declares that he/she/it holds the above-referenced relationship for the benefit or account of the Beneficial Owner.

Due to the United States tax regulations, and to enable Credit Suisse (LUXEMBOURG) S.A. (hereinafter referred to as the Bank) to correctly determine the status and qualification of the Client for purposes of U.S. tax, including but not limited to U.S. withholding tax, as a

“Non-U.S. Person” or “U.S. Person”,

the undersigned Client hereby makes and confirms the following Declarations to the Bank:

- 1 To be kept on file internally with the Bank only.
- 2 The Client(s) is/are the person(s) in whose name the relationship is held.
- 3 Please sign a separate form for each Beneficial Owner (if more than one).
- 4 List all citizenships, if more than one.

To be completed by the Bank

Client No. (CIF No.)

INT. Archive:

REQ No.

PART ONE: To be completed by the Beneficial Owner, as to his/her own status:

1. Declaration of Status (individuals)

With regard to the above relationship in Luxembourg, please check the appropriate box:

a) **Are you a U.S. citizen? (sole or dual citizenship)** yes no

b) **Are you a "U.S. resident alien"?** yes no

(lawful permanent resident, e.g., "green card" holder, or anyone who meets the "substantial physical presence" test, see 3. below)

c) **Were you born in the United States or a U.S. territory?** yes no

If you were born in the United States or a U.S. territory but nonetheless believe that you are not a "U.S. Person" under U.S. tax principles, please state the reason and submit written documentation evidencing the relinquishment of your U.S. citizen status:

d) **Are you a "U.S. Person" under U.S. tax principles for any other reason?** yes no

(e.g., dual residency, spouse filing jointly, relinquishing U.S. citizenship or long-term permanent residency in the U.S., others)

Please state the reason:

2. Change of Circumstances in Status as Non-U.S. Person

For the duration of the contractual relationship with the Bank, the undersigned Beneficial Owner undertakes to notify the Bank, at his/her own initiative and within 30 days, if his/her status (and/or the status of any other (additional) beneficial owner of the relationship) as a Non-U.S. Person under U.S. tax principles changes to the status of a U.S. Person under U.S. tax principles.

3. "Substantial Physical Presence" Test

You qualify as a "permanent resident" of the United States if you meet the so-called "substantial presence test." To meet this test, you must have been physically present in the United States on at least 31 days during the current year, and 183 days during the 3 year period that includes the current year and the 2 years immediately before. For details in terms of how to count days for these purposes, you should consult the IRS website: <http://www.irs.gov/taxtopics/tc851.html>

Place, date



Signature Beneficial Owner

PART TWO: To be completed by the Client

The Client hereby agrees with the content of this Declaration and confirms that the above signature belongs to the Beneficial Owner as indicated above. The provisions governing choice of law and jurisdiction in the Bank's General Terms and Conditions also apply to this Declaration.

Please check one of the following options:

- First submission of form
- Addition of a new Beneficial Owner to the existing Beneficial Owner
- New Beneficial Owner to replace the existing Beneficial Owner(s)
- Other (please explain) _____

Place, date


Signature Client(s)