

Declaration in connection with the opening of accounts held by lawyers and notaries or by a firm of lawyers or notaries licensed in Switzerland that are organized in the form of a company

Last name(s), first name(s) / Company (Contracting Partner)

Account or safekeeping account number

The contracting partner is either a lawyer or a notary licensed in Switzerland or a firm of lawyers or notaries licensed in Switzerland that are organized in the form of a company.

The contracting partner hereby declares, that the account or deposit account shall only be used under the following conditions:

The account is a depository account or a custodial account of a contracting partner and the beneficial owner of the herein deposited assets are deemed to be one or more clients of the contracting partner, provided:

1. such an account and the assets deposited therein are exclusively maintained within the framework of the lawyer or notary's profession-specific activities (and not in the capacity as a financial intermediary) subject to lawyer or notary professional confidentiality under Swiss law;
2. only the following assets are deposited in the account:
 - a) Client funds, including short-term deposit of advances on legal costs, security, fees owed under public law, as well as of payments to or from governmental authorities, counterparties, or third parties in connection with a legal matter;
 - b) Assets related to a pending partition of inheritance or execution of a will (e.g., inheritance account);
 - c) Assets related to a pending separation of property in the divorce or separation of marriage (e.g., separation of marital property account);
 - d) Security or collateral provided in connection with the sale, exchange, or lease of real or personal property, provided that the assets meet the following conditions:
 - i. The assets are funded solely with a down payment, earnest money, deposit in an amount appropriate to secure an obligation of one of the parties directly related to the transaction, or a similar payment, or with a financial asset that is deposited in the account in connection with the sale, exchange, or lease of the property;
 - ii. The assets are used solely to secure the obligation of the purchaser to pay the purchase price for the property, the seller to pay any contingent liability, or the lessor or lessee to pay for any damages relating to the leased property as agreed under the lease;
 - iii. The assets, including the income earned thereon, will be paid or otherwise distributed for the benefit of the purchaser, seller, lessor, or lessee (including to satisfy such person's obligation) when the property is sold, exchanged, or surrendered, or the lease terminates; and
 - iv. The assets do not relate to the use of a margin or similar account established in connection with a sale or exchange of a financial asset.
 - e) Assets used to cover the cost of matters of civil and public law before ordinary courts or courts of arbitration and in execution proceedings.

To be completed by the Bank

Signature and stamp of Relationship Manager

01006

Account or safekeeping account number

3. the assets are deposited only for the duration of the ongoing legal matters described above to which they relate.

By signing this form the lawyer or notary licensed in Switzerland, or the firm of lawyers or notaries licensed in Switzerland that is organized in the form of a company confirms each of the three foregoing requirements to be true, and the lawyer or notary licensed in Switzerland, or the firm of lawyers or notaries licensed in Switzerland that is organized in the form of a company expressly undertake to automatically inform the Financial Institution of any change in circumstances.

Date

Signature(s) of the Contracting Partner

_____ X _____

It is a criminal offence to deliberately provide false information on this form (article 251 of Swiss Criminal Code, document forgery).

To be completed by the Bank

Account or safekeeping account number