

Research Analyst – Investor Complaints (Non-Institutional Clients)

Date of Month Ending: May 2023

| SN | Received from | Pending at end of Last month | Received | Resolved* | Total Pending | Pending Complaints > 3 Months | Average Resolution time ^ (In days) |
|----|-------------------------|------------------------------|----------|-----------|---------------|-------------------------------|-------------------------------------|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of Monthly Disposal of Complaints

| SN | Month | Carried forward from Previous Month | Received | Resolved * | Pending # |
|----|--------------------|-------------------------------------|----------|------------|-----------|
| 1 | April 2023 | 0 | 0 | 0 | 0 |
| 2 | May 2023 | 0 | 0 | 0 | 0 |
| 3 | June 2023 | | | | |
| 4 | July 2023 | | | | |
| 5 | Aug 2023 | | | | |
| 6 | Sep 2023 | | | | |
| 7 | Oct 2023 | | | | |
| 8 | Nov 2023 | | | | |
| 9 | Dec 2023 | | | | |
| 10 | Jan 2024 | | | | |
| 11 | Feb 2024 | | | | |
| 12 | Mar 2024 | | | | |
| | Grand Total | 0 | 0 | 0 | 0 |

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of Yearly Disposal of Complaints

| SN | Year | Carried forward from Previous Year | Received | Resolved ** | Pending ## |
|----|--------------------|------------------------------------|----------|-------------|------------|
| 1 | 2021-22 | 0 | 0 | 0 | 0 |
| 2 | 2022-23 | 0 | 0 | 0 | 0 |
| 3 | 2023-24 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

** Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.