

# Credit Suisse Invest Partner Fee Tariff: Ticket Fee Model

## Investment fee<sup>1</sup>

| Safekeeping account value in CHF (or foreign currency equivalent)   | Fee in % p.a. <sup>2</sup>              |
|---|---|
| Up to 1 million   | 0.50                                    |
| 1 to 3 million  | 0.45                                    |
| 3 to 10 million   | 0.40                                    |
| Above 10 million  | 0.35                                    |
| Minimum fee in CHF p.a.   | 2,000 <sup>3</sup>                      |
| This tariff applies to marketable securities as well as to precious metals and coins in safekeeping   |   |
| <ul style="list-style-type: none"> <li>Shares and medium-term notes of banks of Credit Suisse Group</li> <li>Warrants issued by Credit Suisse with shares of banks of Credit Suisse Group as their underlying</li> <li>Credit Suisse Wealth Management funds<sup>4</sup></li> </ul> | Investment fee not applied <sup>8</sup> |
| <b>The investment fee includes</b>  |   |
| <ul style="list-style-type: none"> <li>Advice and services in accordance with the selected Credit Suisse Invest Solution</li> </ul>   |   |

## Safekeeping fee<sup>5</sup>

|  | Safekeeping account value in CHF (or foreign currency equivalent) | Fee in % p.a.   |
|--|---|---|
| Basic fee <sup>6</sup>   | Up to 1 million   | 0.25  |
|  | 1 to 3 million  | 0.20  |
|  | 3 to 10 million   | 0.15  |
|  | Above 10 million  | 0.10  |
| Minimum per position/security  |   | CHF 50 p.a. <sup>7</sup>  |
| This tariff applies to marketable securities as well as to precious metals and coins in safekeeping  |   |   |
| <ul style="list-style-type: none"> <li>Shares and medium-term notes of banks of Credit Suisse Group</li> <li>Warrants issued by Credit Suisse with shares of banks of Credit Suisse Group as their underlying</li> </ul> |   | Basic fee not applied, no minimum per position/security         |
| <ul style="list-style-type: none"> <li>Private equity and hedge fund products</li> </ul>   |   | 0.50% p.a.  |
| <ul style="list-style-type: none"> <li>Traded options and futures</li> <li>Rights</li> <li>Documents, e.g. mortgage notes, last wills, savings books, etc.</li> </ul>  |   | CHF 50 p.a. applicable to short and long positions <sup>7</sup> |
| <ul style="list-style-type: none"> <li>Public funds of Credit Suisse Group</li> <li>Fund Lab funds</li> </ul>  |   | No minimum per position/security                                |
| Foreign securities deposited with third parties  |   | Additional charge of 0.10% p.a.                                 |

- Fees are calculated monthly in CHF on the basis of the safekeeping account value, including accrued interest, and levied in the reference currency – generally at the end of a quarter. Fees are calculated on a pro rata basis for accounts opened or closed during the year in question or in case of a change of the Credit Suisse Invest Solution. Plus value-added tax (VAT) at the standard rate applicable for clients domiciled in Switzerland and the Principality of Liechtenstein.
- The investment fee is calculated according to a staggered tariff so the rate applicable for a specific component of the safekeeping account value, as defined according to the tariff, is applied in each case. Stock exchange fees and third-party charges are not included.
- The minimum fee is calculated on a monthly basis, the respective fee per month is CHF 166.67.
- Please ask your Relationship Manager for information concerning the respective funds.
- Fees are calculated monthly in CHF on the basis of the safekeeping account value, including accrued interest, and levied in the reference currency – generally at the end of a quarter. Plus value-added tax (VAT) at the standard rate applicable for clients domiciled in Switzerland and the Principality of Liechtenstein.
- The basic fee is calculated according to a staggered tariff so the rate applicable for a specific component of the safekeeping account value, as defined according to the tariff, is applied in each case. An individual fee is charged for services which are unusual, rare or require additional processing.
- The fee is calculated on a monthly basis, the respective fee per month is CHF 4.17.
- The investment fee is waived insofar as the total value of the safekeeping account (excl. rights, mortgage notes, last wills) exceeds CHF 400,000. Otherwise, the minimum fee is charged.

## Investment and safekeeping fee specifically exclude

- Fee for numbered accounts
- Special delivery instructions for bank correspondence
- Specific features involving significant additional administrative efforts, e.g. usufructuary, blocked, guarantee safekeeping accounts
- Charges from third-party depositories for special assets in safekeeping, e.g. American or Global Depository Receipts, exotic securities, items of sentimental value, etc.

## Securities Transactions

### Shares, Bonds, ETFs, Funds, Options and Similar Securities

| Gross value per transaction in CHF 1,000<br>(or equivalent in foreign currency) | Securities and ETFs traded in Switzerland <sup>9</sup> , Funds<br>by Credit Suisse <sup>10</sup> , EUREX options <sup>12</sup> , Structured<br>products on Credit Suisse recommendation list <sup>13</sup> | Securities and ETFs traded on other markets <sup>11</sup> ,<br>Funds by other issuers <sup>10</sup> , Non-EUREX options <sup>12</sup> ,<br>Structured products not on Credit Suisse<br>recommendation list <sup>14</sup> |
|---|--|--|
|   | Fee in CHF <sup>15,16</sup>  | Fee in CHF <sup>15,16</sup>  |
| Up to 5   | 30   | 45   |
| Up to 15  | 60   | 90   |
| Up to 25  | 90   | 140  |
| Up to 50  | 150  | 200  |
| Up to 100   | 240  | 320  |
| Up to 250   | 360  | 480  |
| Above 250   | 480  | 640  |
| Reduction for trading via Online Banking 10%                                    |  |  |
| All fees quoted exclude third-party charges and stock exchange fees             |  |  |

## Financial/Commodity Futures; Options on Futures

### Transaction (Purchase/Sale)

| Number of contracts per transaction                                 | EUREX<br>Price/Contract <sup>12,15</sup> | Other exchanges<br>Price/Contract <sup>12,15</sup> | Mini-Futures <sup>17</sup><br>Price/Contract <sup>12,15</sup> |
|---|--|--|---|
| Up to 10  | CHF 80                                   | CHF 100  | CHF 30  |
| Up to 25  | CHF 40                                   | CHF 50   | CHF 15  |
| Up to 100   | CHF 20                                   | CHF 40   | CHF 10  |
| Above 100   | CHF 15                                   | CHF 30   | CHF 5   |
| Minimum per transaction   | CHF 300                                  | CHF 300  | CHF 100   |
| Reduction for trading via Online Banking max. CHF 40                |  |  |   |
| All fees quoted exclude third-party charges and stock exchange fees |  |  |   |

### Settlement Futures (all Settlement exchanges/markets)<sup>12</sup>

|                   |   |
|-------------------|---|
| Physical delivery | Securities tariff Switzerland/other markets |
| Cash settlement   | Futures tariff                              |

## Allocation/Exercise of Options<sup>12</sup>

|                                      |  |
|--------------------------------------|--|
| Options on shares                    | Tariff for Swiss shares/shares on other markets    |
| Options on futures                   | Tariff for futures                                 |
| Options on indices (EUREX)           | CHF 80 flat rate (or foreign currency equivalent)  |
| Options on indices (other exchanges) | CHF 100 flat rate (or foreign currency equivalent) |
| Exercise of covered warrants         | 0.5%; at least CHF 100, max. CHF 2,000             |

9 As well as similar securities (e.g. Credit Suisse certificates, rights traded in Switzerland, warrants traded in Switzerland).

10 Including "Funds with special risks" approved by FINMA. Excluding additional fees when investing in investment fund units occurring at fund provider level, e.g. management fees, taxes and transaction-related spreads, commissions, or dilution levies. Information on these fees of the investment fund units used in the portfolio are visible on the respective product documentation.

11 As well as similar securities (e.g. rights traded on other markets, warrants traded on other markets).

12 The fee listed does not include any compensation for services provided by third party brokers (third party fee). Third party fees will be debited at a standard tariff according to the type of products and markets. Please ask your Relationship Manager to inform you on the standard tariff.

13 The fees only apply to structured products and securitized derivatives traded on the secondary market, including tailor-made products.

14 The fees apply to structured products and securitized derivatives traded on the primary or secondary market.

15 Additional fees, duties and expenses will be levied separately.

16 Purchase and sale of rights = max. 10% of the gross value.

17 Futures on a mini-index that is maintained by a stock exchange; does not include mini-futures certificates.

## Securities Transactions (Subscription fee for primary market transactions) – Structured Products and Securitized Derivatives on Credit Suisse recommendation list

Fee Up to 2.00%<sup>18</sup>

### Non-traditional investment products (hedge funds, etc.)

| Gross value per transaction in CHF 1,000 (or equivalent in foreign currency) | Fee in % <sup>19</sup> |
|--|------------------------|
| Up to 50   | 1.90                   |
| Up to 100  | 1.80                   |
| Up to 150  | 1.70                   |
| Up to 200  | 1.60                   |
| Up to 250  | 1.50                   |
| Up to 500  | 1.30                   |
| Up to 1,000  | 0.90                   |
| Above 1,000  | 0.70                   |
| Minimum fee  | CHF 500                |

Reduction for trading via Online Banking max. CHF 40

All fees quoted exclude third-party charges and stock exchange fees

## Receipt and delivery of securities

### Receipt of securities

|                            |                            |
|----------------------------|----------------------------|
| Receive free of payment    | Free of charge             |
| Receive versus payment     | CHF 200 <sup>21</sup>      |
| Receipt of precious metals | Min. CHF 350 <sup>24</sup> |

### Delivery<sup>20</sup>

#### Book delivery of clearable securities

|   |                       |
|---|-----------------------|
| Transfer to banks of Credit Suisse Group and within Credit Suisse | Free of charge        |
| Delivery free of payment <sup>25</sup>                            |                       |
| ▪ Non-traditional investment products                             | CHF 155               |
| ▪ Funds   | CHF 85                |
| ▪ Other securities  | CHF 50                |
| Deliver versus payment  | CHF 200 <sup>21</sup> |
| Change of custodian bank  | CHF 120               |

#### Physical delivery of securities<sup>22</sup>

##### Where delivered from custody of third party (clearing house or depositary)

|   |         |
|---|---------|
| Securities (clearing, external safekeeping accts), including certificate recall request | CHF 500 |
|---|---------|

##### Where delivered from a vault

|  |                            |
|--|----------------------------|
| Non-clearable securities (also uncollateralized policies and mortgage notes)               | CHF 250                    |
| Clearable/depositable securities (in event of vault custody following express client wish) | CHF 500                    |
| Securities of Credit Suisse Group (including printing)                                     | CHF 250 <sup>23</sup>      |
| ▪ Own medium-term notes  |                            |
| ▪ Issue of physical certificates on CSAM funds   |                            |
| Delivery of precious metals  | Min. CHF 350 <sup>24</sup> |
| Other deposits (envelopes)   | CHF 50                     |
| Pledged policies and mortgage notes  | Free of charge             |

<sup>18</sup> Please see marketing documents for further information on the effective rate or ask your Relationship Manager. Conditions also apply to tailor-made products.

<sup>19</sup> Additional fees, duties and expenses will be levied separately.

<sup>20</sup> External charges are typically included in the prices given with the exception of taxes and any applicable re-registration fees in selected markets, e.g. Spain and Greece. Delivery charges are also due if the delivery is the result of a corporate action.

<sup>21</sup> No value added tax added.

<sup>22</sup> For express delivery, additional charges may apply (e.g. external courier charges).

<sup>23</sup> Exception: funds that operate on a deferred certificate basis where CS is the custodian bank and where physical delivery of the certificates is provided for in the fund regulations.

<sup>24</sup> Dependent on weight and volume.

<sup>25</sup> Private clients, who liquidate their safekeeping account, pay third-party charges only.

**Where delivered at bank counter**

|  |         |
|--|---------|
| Securities, other deposits (envelopes) | CHF 500 |
|--|---------|

**Restricted shares (USA and Canada)**

|  |         |
|--|---------|
| Re-registration of restricted shares with change of beneficial ownership | CHF 500 |
| Lifting of restriction   | CHF 500 |

**Re-registration of registered shares**

|   |                |
|---|----------------|
| Delivery to a safekeeping account                                     | Free of charge |
| Registered shares of Credit Suisse Group and Neue Aargauer Bank (NAB) | Free of charge |

**Book entry/physical custody**

|  |        |
|--|--------|
| With one-time application for entry in the share register    | CHF 50 |
| Without one-time application for entry in the share register | CHF 50 |

**CREDIT SUISSE (Switzerland) Ltd.**

Paradeplatz 8  
CH-8001 Zurich  
**credit-suisse.com**

Prices per position. Value added tax at the applicable normal rate will be added to the above fees (except where marked 19), for clients resident in Switzerland and the Principality of Liechtenstein. For reasons of clarity, only the most important fees are given here. All fees are correct at the time of publication, but are subject to change at any time. Your relationship manager will be pleased to supply current fees as well as details of services and fees not listed here.