

Credit Suisse Invest Compact

Fee tariff: Ticket fee model

Investment fee¹

Safekeeping account value in CHF (or foreign currency equivalent)	Fee in % p.a. ²
Up to 1 million	0.25
1 to 3 million	0.20
3 to 10 million	0.15
Above 10 million	0.10
Minimum fee in CHF p.a.	No minimum fee charged
This tariff applies to marketable securities as well as to precious metals and coins in safekeeping	
<ul style="list-style-type: none"> ▪ Shares and medium-term notes of banks of Credit Suisse Group ▪ Warrants issued by Credit Suisse with shares of banks of Credit Suisse Group as their underlying ▪ Credit Suisse Wealth Management funds³ 	Investment fee not applied

The investment fee includes

- Advice and services in accordance with the selected Credit Suisse Invest Solution

Safekeeping fee⁴

	Safekeeping account value in CHF (or foreign currency equivalent)	Fee in % p.a.
Basic fee ⁵	Up to 1 million	0.25
	1 to 3 million	0.20
	3 to 10 million	0.15
	Above 10 million	0.10
Minimum per position/security		CHF 50 p.a. ⁶
This tariff applies to marketable securities as well as to precious metals and coins in safekeeping		
<ul style="list-style-type: none"> ▪ Shares and medium-term notes of banks of Credit Suisse Group ▪ Warrants issued by Credit Suisse with shares of banks of Credit Suisse Group as their underlying 		Basic fee not applied, no minimum per position/security
<ul style="list-style-type: none"> ▪ Private equity and hedge fund products 		0.50% p.a.
<ul style="list-style-type: none"> ▪ Traded options and futures ▪ Rights ▪ Documents, e.g. mortgage notes, last wills, savings books, etc. 		CHF 50 p.a. applicable to short and long positions ⁶
<ul style="list-style-type: none"> ▪ Public funds of Credit Suisse Group ▪ Fund Lab funds 		No minimum per position/security
Foreign securities deposited with third parties		Additional charge of 0.10% p.a.

1 Fees are calculated monthly in CHF on the basis of the safekeeping account value, including accrued interest, and levied in the reference currency – generally at the end of a quarter. Fees are calculated on a pro rata basis for accounts opened or closed during the year in question or in case of a change of the Credit Suisse Invest Solution. Plus value-added tax (VAT) at the standard rate applicable for clients domiciled in Switzerland and the Principality of Liechtenstein.

2 The investment fee is calculated according to a staggered tariff so the rate applicable for a specific component of the safekeeping account value, as defined according to the tariff, is applied in each case. Stock exchange fees and third-party charges are not included.

3 Please ask your Relationship Manager for information concerning the respective funds.

4 Fees are calculated monthly in CHF on the basis of the safekeeping account value, including accrued interest, and levied in the reference currency – generally at the end of a quarter. Plus value-added tax (VAT) at the standard rate applicable for clients domiciled in Switzerland and the Principality of Liechtenstein.

5 The basic fee is calculated according to a staggered tariff so the rate applicable for a specific component of the safekeeping account value, as defined according to the tariff, is applied in each case. An individual fee is charged for services which are unusual, rare or require additional processing.

6 The fee is calculated on a monthly basis, the respective fee per month is CHF 4.17.

Investment and safekeeping fee specifically exclude

- Fee for numbered accounts
- Special delivery instructions for bank correspondence
- Tax statements
- Specific features involving significant additional administrative efforts, e.g. usufructuary, blocked, guarantee safekeeping accounts
- Charges from third-party depositories for special assets in safekeeping, e.g. American or Global Depository Receipts, exotic securities, items of sentimental value, etc.

Securities transactions

Shares, Bonds, ETFs, Funds, Options and Similar Securities

Gross value per transaction in CHF 1,000 (or equivalent in foreign currency)	Securities and ETFs traded in Switzerland ⁷ , Funds by Credit Suisse ⁸ , EUREX options ¹⁰ , Structured products on Credit Suisse recommendation list ¹¹	Securities and ETFs traded on other markets ⁹ , Funds by other issuers ⁹ , Non-EUREX options ¹⁰ , Structured products not on Credit Suisse recommendation list ¹²
	Fee in CHF ^{13,14}	Fee in CHF ^{13,14}
Up to 5	30	45
Up to 15	60	90
Up to 25	90	140
Up to 50	150	200
Up to 100	240	320
Up to 250	360	480
Above 250	480	640

Reduction for trading via Online Banking 10%

All fees quoted exclude third-party charges and stock exchange fees

Financial/commodity futures; options on futures

Transaction (purchase/sale)

Number of contracts per transaction	EUREX Price/contract ^{10,13}	Other exchanges Price/contract ^{10,13}	Mini-futures ¹⁵ Price/contract ^{10,13}
Up to 10	CHF 80	CHF 100	CHF 30
Up to 25	CHF 40	CHF 50	CHF 15
Up to 100	CHF 20	CHF 40	CHF 10
Above 100	CHF 15	CHF 30	CHF 5
Minimum per transaction	CHF 300	CHF 300	CHF 100

Reduction for trading via Online Banking max. CHF 40

All fees quoted exclude third-party charges and stock exchange fees

Settlement futures (all Settlement exchanges/markets)¹⁰

Physical delivery	Securities tariff Switzerland/other markets
Cash settlement	Futures tariff

Allocation/exercise of options¹⁰

Options on shares	Tariff for Swiss shares/shares on other markets
Options on futures	Tariff for futures
Options on indices (EUREX)	CHF 80 flat rate (or foreign currency equivalent)
Options on indices (other exchanges)	CHF 100 flat rate (or foreign currency equivalent)
Exercise of covered warrants	0.5%; at least CHF 100, max. CHF 2,000

⁷ As well as similar securities (e.g. Credit Suisse certificates, rights traded in Switzerland, warrants traded in Switzerland).

⁸ Including "Funds with special risks" approved by FINMA. Excluding additional fees when investing in investment fund units occurring at fund provider level, e.g. management fees, taxes and transaction-related spreads, commissions, or dilution levies. Information on these fees of the investment fund units used in the portfolio are visible on the respective product documentation.

⁹ As well as similar securities (e.g. rights traded on other markets, warrants traded on other markets).

¹⁰ The fee listed does not include any compensation for services provided by third-party brokers (third-party fee). Third-party fees will be debited at a standard tariff according to the type of products and markets. Please ask your Relationship Manager to inform you on the standard tariff.

¹¹ The fees only apply to structured products and securitized derivatives traded on the secondary market, including tailor-made products.

¹² The fees apply to structured products and securitized derivatives traded on the primary or secondary market.

¹³ Additional fees, duties and expenses will be levied separately.

¹⁴ Purchase and sale of rights = max. 10% of the gross value.

¹⁵ Futures on a mini-index that is maintained by a stock exchange; does not include mini-futures certificates.

Securities transactions (subscription fee for primary market transactions) – Structured Products and Securitized Derivatives on Credit Suisse recommendation list

Fee Up to 2.00%¹⁶

Non-traditional investment products (hedge funds, etc.)

Gross value per transaction in CHF 1,000 (or equivalent in foreign currency)	Fee in % ¹⁷
Up to 50	1.90
Up to 100	1.80
Up to 150	1.70
Up to 200	1.60
Up to 250	1.50
Up to 500	1.30
Up to 1,000	0.90
Above 1,000	0.70
Minimum fee	CHF 500

Reduction for trading via Online Banking max. CHF 40

All fees quoted exclude third-party charges and stock exchange fees

Receipt and delivery of securities

Receipt of securities

Receive free of payment	Free of charge
Receive versus payment	CHF 200 ¹⁹
Receipt of precious metals	Min. CHF 350 ²²

Delivery¹⁸

Book delivery of clearable securities

Transfer to banks of Credit Suisse Group and within Credit Suisse	Free of charge
Delivery free of payment ²³	
▪ Non-traditional investment products	CHF 155
▪ Funds	CHF 85
▪ Other securities	CHF 50
Deliver versus payment	CHF 200 ¹⁹
Change of custodian bank	CHF 120

Physical delivery of securities²⁰

Where delivered from custody of third party (clearing house or depositary)

Securities (clearing, external safekeeping accts), including certificate recall request	CHF 500
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Where delivered from a vault

Non-clearable securities (also uncollateralized policies and mortgage notes)	CHF 250
Clearable/depositable securities (in event of vault custody following express client wish)	CHF 500
Securities of Credit Suisse Group (including printing)	CHF 250 ²¹
▪ Own medium-term notes	
▪ Issue of physical certificates on CSAM funds	
Delivery of precious metals	Min. CHF 350 ²²
Other deposits (envelopes)	CHF 50
Pledged policies and mortgage notes	Free of charge

16 Please see marketing documents for further information on the effective rate or ask your Relationship Manager. Conditions also apply to tailor-made products.

17 Additional fees, duties and expenses will be levied separately.

18 External charges are typically included in the prices given with the exception of taxes and any applicable re-registration fees in selected markets, e.g. Spain and Greece. Delivery charges are also due if the delivery is the result of a corporate action.

19 No value added tax added.

20 For express delivery, additional charges may apply (e.g. external courier charges).

21 Exception: funds that operate on a deferred certificate basis where CS is the custodian bank and where physical delivery of the certificates is provided for in the fund regulations.

22 Dependent on weight and volume.

23 Private clients, who liquidate their safekeeping account, pay third-party charges only.

Where delivered at bank counter

Securities, other deposits (envelopes)	CHF 500
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Restricted shares (USA and Canada)

Re-registration of restricted shares with change of beneficial ownership	CHF 500
Lifting of restriction	CHF 500

Re-registration of registered shares

Delivery to a safekeeping account	Free of charge
Registered shares of Credit Suisse Group and Neue Aargauer Bank (NAB)	Free of charge

Book entry/physical custody

With one-time application for entry in the share register	CHF 50
Without one-time application for entry in the share register	CHF 50

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Prices per position. Value added tax at the applicable normal rate will be added to the above fees (except where marked 19), for clients resident in Switzerland and the Principality of Liechtenstein. For reasons of clarity, only the most important fees are given here. All fees are correct at the time of publication, but are subject to change at any time. Your relationship manager will be pleased to supply current fees as well as details of services and fees not listed here.