

Factsheet: National ID Relevant for Natural Persons



Over the past decade, the European Union (EU) has established a comprehensive set of rules to further strengthen consumer and investor protection. The latest addition was the revision of the Markets in Financial Instruments Directive/ Regulation (MiFID II/MiFIR), which has been applicable since 2018. MiFID II/MiFIR aim to create a single rulebook for the provision of investment services in the EU.

The new rules introduce additional transaction reporting requirements for investment firms and trading venues. Credit Suisse in Switzerland must also adhere to these requirements and disclose the account holder to relevant regulators and trading venues in certain circumstances. In this context, natural persons are identified by their designated 'national identifier' (National ID).

Why does Credit Suisse need my National ID?

Credit Suisse in Switzerland needs to disclose certain account holders to relevant trading venues and regulators. This applies to all account holders engaged in trading activity with the bank if their trades are executed at EU trading venues.

How is my National ID determined?

MiFIR sets out the obligation to provide National IDs and EEA (European Economic Area) nations have specified which National IDs can be used. Where several forms of National ID are possible, they are assigned a priority ranking. You should always aim to provide the highest priority National ID possible. Separate rules are defined for non-EEA countries, as presented in this document.

Which National ID should I provide as an EEA national?

- If you are a national of Austria, Germany, France, Hungary, Ireland, or Luxembourg, the bank requires no

further information from you, as the regulation allows us to create a MiFIR identifier on your behalf.

- If you are a national of Estonia, Spain, Iceland, Italy, Malta, or Poland, the table in the appendix shows the accepted National IDs per nationality. The valid National IDs per country are listed according to that country's desired priority. You should always aim to provide the 1st priority identifier. If that 1st priority identifier cannot be provided, you may alternatively provide 2nd priority. If you do not provide any of the required National IDs, you may not be able to trade with us at EU trading venues in the future.
- If you are a national of any other EEA country, the table in the appendix shows the accepted National IDs per nationality. The valid National IDs per country are listed according to that country's desired priority. You should always aim to provide the 1st priority identifier. If that 1st priority identifier cannot be provided, you may

alternatively provide the 2nd priority. If you do not possess any of the required National IDs, the regulation allows the bank to create a MiFIR identifier on your behalf. In this case, the bank requires no further information from you.

Which National ID should I provide as a non-EEA national?

You should provide your National Passport Number where possible. If you do not possess a passport, the regulation allows the bank to create an identifier on your behalf for MiFIR purposes. In this case, you do not need to provide anything.

Which National ID should I provide as a national of multiple countries?

The regulation defines which country's National ID takes priority for MiFIR purposes. The following logic applies:

- As a person who has multiple EEA nationalities, you must provide the National ID of the country closest to the top of the list (sorted in alphabetical order of country code).
- As a person who has an EEA nationality as well as a non-EEA nationality, you must provide the National ID of the EEA country.

- As a person who has multiple non-EEA nationalities, you must provide the National ID of the first country in the list when sorted alphabetically by the two-letter country code. A full list of country codes is available here: <https://www.iso.org/iso-3166-country-codes.html>.

Appendix: Table on National ID

ISO country code	Nationality	1st Priority	2nd Priority	3rd Priority
AT	Austria	Regulation allows bank to establish a unique identifier – no action required from you		
BE	Belgium	Belgian National Number (Numéro de registre national Rijksregisternummer)	Regulation allows bank to establish a unique identifier – no action required from you	
BG	Bulgaria	Bulgarian Personal Number	Regulation allows bank to establish a unique identifier – no action required from you	
CY	Cyprus	National Passport Number	Regulation allows bank to establish a unique identifier – no action required from you	
CZ	Czech Republic	National identification number	Passport Number	Regulation allows bank to establish a unique identifier – no action required from you
DE	Germany	Regulation allows bank to establish a unique identifier – no action required from you		
DK	Denmark	Personal identity code 10 digits alphanumerical: DDMMYYXXXX	Regulation allows bank to establish a unique identifier – no action required from you	
EE	Estonia	Estonian Personal Identification Code (Isikukood)	If you do not provide the 1st priority identifier, you may not be able to trade with us	
ES	Spain	Tax identification number (Código de identificación fiscal)	If you do not provide the 1st priority identifier, you may not be able to trade with us	
FI	Finland	Personal identity code	Regulation allows bank to establish a unique identifier – no action required from you	
FR	France	Regulation allows bank to establish a unique identifier – no action required from you		

ISO country code	Nationality	1st Priority	2nd Priority	3rd Priority
GR	Greece	10 DSS digit investor share	Regulation allows bank to establish a unique identifier – no action required from you	
HR	Croatia	Personal Identification Number (OIB Osobni identifikacijski broj)	Regulation allows bank to establish a unique identifier – no action required from you	
HU	Hungary	Regulation allows bank to establish a unique identifier – no action required from you		
IE	Ireland	Regulation allows bank to establish a unique identifier – no action required from you		
IS	Iceland	Personal Identity Code (Kennitala)	If you do not provide the 1st priority identifier, you may not be able to trade with us	
IT	Italy	Fiscal code (Codice fiscale)	If you do not provide the 1st priority identifier, you may not be able to trade with us	
LI	Liechtenstein	National Passport Number	National Identity Card Number	Regulation allows bank to establish a unique identifier – no action required from you
LT	Lithuania	Personal code (Asmens kodas)	National Passport Number	Regulation allows bank to establish a unique identifier – no action required from you
LU	Luxembourg	Regulation allows bank to establish a unique identifier – no action required from you		
LV	Latvia	Personal code (Personas kods)	Regulation allows bank to establish a unique identifier – no action required from you	
MT	Malta	National identification number	National Passport Number	If you do not provide the 1st or 2nd priority identifier, you may not be able to trade with us
NL	Netherlands	National Passport Number	National identity card number	Regulation allows bank to establish a unique identifier – no action required from you
NO	Norway	11 digit personal id (Foedselsnummer)	Regulation allows bank to establish a unique identifier – no action required from you	
PL	Poland	National Identification Number (PESEL)	Tax Number (Numer identyfikacji podatkowej)	If you do not provide the 1st or 2nd priority identifier, you may not be able to trade with us
PT	Portugal	Tax number (Número de Identificação Fiscal)	National Passport Number	Regulation allows bank to establish a unique identifier – no action required from you
RO	Romania	National Identification Number (Cod Numeric Personal)	National Passport Number	Regulation allows bank to establish a unique identifier – no action required from you
SE	Sweden	Personal identity number	Regulation allows bank to establish a unique identifier – no action required from you	
SI	Slovenia	Personal Identification Number	Regulation allows bank to establish a unique identifier – no action required from you	

ISO country code	Nationality	1st Priority	2nd Priority	3rd Priority
SK	Slovakia	Personal number	National Passport Number	Regulation allows bank to establish a unique identifier – no action required from you



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