

2020 Quantitative (Top 5) Report



MiFID II
RTS 28

Table of contents

Introduction	3
Execution of orders from professional clients for Credit Suisse (Switzerland) Ltd.	4
Execution of orders from retail clients for Credit Suisse (Switzerland) Ltd.	10
Receipt and transmission of orders from professional clients for Credit Suisse (Switzerland) Ltd.	15
Receipt and transmission of orders from retail clients for Credit Suisse (Switzerland) Ltd.	19
Execution of orders from professional clients for Credit Suisse AG.	24
Execution of orders from retail clients for Credit Suisse AG.	26
Receipt and transmission of orders from professional clients for Credit Suisse AG	27
Receipt and transmission of orders from retail clients for Credit Suisse AG	34
Annex 1: Definitions	38

Introduction

These reports with Top 5 execution venues and Top 5 brokers refer to the obligations set out in art. 27.6 of Directive 2014/65/EU (“MiFID II”) and art. 3 of Commission Delegated Regulation (EU) 2017/576 (“RTS 28”), and outline the identity of the main execution venues, in terms of volume, where Credit Suisse AG and Credit Suisse (Switzerland) Ltd. (hereafter “Credit Suisse”) have actively executed clients’ orders, and the main brokers, in terms of volume, where Credit Suisse has routed its clients’ orders for further execution both for retail and professional clients, in the preceding year.

This report is intended to cover the period from 1/1/2020 to 31/12/2020 inclusive. As outlined in ESMA’s Q&A on MiFID II and MiFIR investor protection topics, certain aspects of the RTS 28 requirements are tied to new provisions from MiFID II or MiFIR. Given that Credit Suisse is not a firm directly subject to MiFID II provisions, it is not directly obliged to comply with the best execution requirements, but endeavours to do so in order to provide the best service to its clients.

These reports should be read in conjunction with the Credit Suisse Best Execution Policy, the current version of which is available at <https://www.credit-suisse.com/mifid>.

For clients serviced by Credit Suisse legal entities or branches other than Credit Suisse AG and Credit Suisse (Switzerland) Ltd., note that there may be separate Best Execution Policies and reports with information on execution quality obtained by those entities. Please, contact your relationship manager for further information.

Until the operational integration of Neue Aargauer Bank AG (“NAB”) into CS Switzerland Ltd. is fully achieved, CS Switzerland Ltd. will not be able to include NAB clients’ orders in its Quantitative (Top 5) Report. This will only impact CS Switzerland Ltd.’s Quantitative (Top 5) Report issued in 2021 and 2022, related to the time between the effective date of the merger (Nov. 27, 2020) and the operational integration (2nd quarter of 2021). Nevertheless, the exclusion of NAB transactions does not alter the results in any of the Top 5 rankings – analytically confirmed – as the order execution patterns remain identical.

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Execution of orders from professional clients for Credit Suisse (Switzerland) Ltd.

This section outlines, for each class of financial instruments, the Top 5 execution venues, in terms of volume, where Credit Suisse executed orders received from clients classified as “professional client”.

Class of instrument	(a) Equities – Shares & Depository Receipts				
	(i) Tick size liquidity bands 5 and 6 (from 2,000 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SIX Swiss Exchange (XSWX)	99.16%	99.97%	22.64%	77.35%	3.18%
SumRidge Partners LLC (529900ECMK8VI6Q1FG89)	0.65%	0.00%	100.00%	0.00%	0.00%
Barclays Capital Securities Ltd (K9WDOH4D2PYBSLSOB484)	0.05%	0.00%	100.00%	0.00%	0.00%
Morgan Stanley & Co. International Plc (4PQUHN3JPFGFNF3BB653)	0.05%	0.00%	100.00%	0.00%	0.00%
BX Swiss AG (XBRN)	0.04%	0.01%	19.04%	80.95%	2.38%

Class of instrument	(a) Equities – Shares & Depository Receipts				
	(ii) Tick size liquidity bands 3 and 4 (from 80 to 1,999 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SIX Swiss Exchange (XSWX)	99.96%	99.99%	31.63%	68.36%	1.62%
BX Swiss AG (XBRN)	0.03%	0.00%	61.11%	38.88%	0.00%

Class of instrument	(a) Equities – Shares & Depositary Receipts				
	(iii) Tick size liquidity band 1 and 2 (from 0 to 79 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SIX Swiss Exchange (XSWX)	99.45%	99.81%	40.61%	59.38%	1.91%
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ2OELI46)	0.27%	0.00%	100.00%	0.00%	0.00%
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	0.13%	0.02%	27.08%	72.91%	2.08%
BX Swiss AG (XBRN)	0.03%	0.02%	60.86%	39.13%	2.17%
Berner Kantonalbank AG (4T0J6O251JXNEBOVEZ08)	0.03%	0.06%	95.19%	4.80%	0.00%

Class of instrument	(b) Debt instruments				
	(i) Bonds				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BLOOMBERG Trading Facility Limited (BMTF)	72.94%	59.19%	62.30%	37.69%	1.03%
MARKETAXESS Europe Limited (MAEL)	5.67%	9.79%	65.22%	34.77%	0.08%
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	5.24%	0.28%	40.40%	59.59%	1.01%
TRADEWEB Europe Limited (TREU)	4.92%	8.58%	53.97%	46.02%	0.00%
SIX Swiss Exchange (XSWX)	3.32%	15.97%	86.49%	13.50%	34.84%

Class of instrument	(b) Debt instruments				
	(ii) Money markets instruments				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BLOOMBERG Trading Facility Limited (BMTF)	97.67%	75.36%	15.68%	84.31%	0.65%
TRADEWEB Europe Limited (TREU)	0.81%	21.34%	0.76%	99.23%	0.00%
Bloomberg Trading Facility B.V (BTFE)	0.75%	0.32%	0.00%	100.00%	0.00%
Credit Suisse AG (ANGGYXNXQJLX3X63JN86)	0.73%	2.46%	6.66%	93.33%	0.00%
Deutsche Bank AG (7LTWFZYICNSX8D621K86)	0.01%	0.16%	100.00%	0.00%	0.00%

Class of instrument	(c) Interest rates derivatives				
	(i) Futures and options admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
EUREX (XEUR)	100.00%	100.00%	17.19%	82.80%	50.83%

Class of instrument	(c) Interest rates derivatives				
	(ii) Swaps, forwards, and other interest rates derivatives				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(d) Credit derivatives				
	(ii) Other credit derivatives				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(e) Currency derivatives				
	(ii) Swaps, forwards, and other currency derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(f) Structured finance instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BLOOMBERG Trading Facility Limited (BMTF)	73.98%	74.11%	93.65%	6.34%	0.00%
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q.144)	8.42%	3.52%	33.33%	66.66%	0.00%
Nomura International PLC (DGQCSV2PHVF712743539)	3.43%	1.17%	100.00%	0.00%	0.00%
Banca IMI SpA (QV4Q8OGJ70A6PA8SCM14)	3.06%	1.17%	100.00%	0.00%	0.00%
Citigroup Global Markets Limited (XKZZ2JZF41MRHTR1V493)	2.62%	1.17%	100.00%	0.00%	0.00%

Class of instrument	(g) Equity Derivatives				
	(i) Options and Futures admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
EUREX (XEUR)	100.00%	100.00%	63.05%	36.94%	93.04%

Class of instrument	(g) Equity Derivatives				
	(ii) Swaps and other equity derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q.144)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(h) Securitized Derivatives (i) Warrants and Certificate Derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	42.90%	31.45%	33.35%	66.64%	5.11%
SIX Swiss Exchange (XSWX)	14.76%	52.31%	73.33%	26.66%	3.75%
Leonteq Securities AG (ML61HP3A4MKTTA1ZB671)	5.95%	2.42%	53.46%	46.53%	1.22%
BNP Paribas Arbitrage SNC (6EWKU0FGVX5QQJHFGT48)	5.59%	1.27%	49.61%	50.38%	7.75%
Credit Suisse International (E58DKGMJYYYJLN8C3868)	4.85%	3.19%	39.00%	60.99%	6.19%

Class of instrument	(i) Commodities derivatives and emission allowances derivatives (ii) Other commodities derivatives and emission allowances derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(k) Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
TRADEWEB Europe Limited (TREU)	79.86%	37.51%	3.26%	96.73%	0.83%
SIX Swiss Exchange (XSWX)	20.10%	62.43%	18.80%	81.19%	13.97%
BX Swiss AG (XBRN)	0.02%	0.04%	33.33%	66.66%	0.00%
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	0.00%	0.00%	0.00%	100.00%	0.00%

Class of instrument	(m) Other instruments				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
EUREX (XEUR)	87.73%	30.92%	8.77%	91.22%	74.53%
SIX Swiss Exchange (XSWX)	9.74%	67.81%	58.98%	41.01%	0.03%
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	2.34%	1.19%	57.96%	42.03%	5.18%
Goldman Sachs International (W22LROWP2IHZNBB6K528)	0.05%	0.01%	42.85%	57.14%	0.00%
BLOOMBERG Trading Facility Limited (BMTF)	0.05%	0.01%	70.83%	29.16%	0.00%

Execution of orders from retail clients for Credit Suisse (Switzerland) Ltd.

This section outlines, for each class of financial instruments, the Top 5 execution venues, in terms of volume, where Credit Suisse executed orders received from clients classified as “retail client”.

Class of instrument	(a) Equities – Shares & Depository Receipts				
	(i) Tick size liquidity bands 5 and 6 (from 2,000 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SIX Swiss Exchange (XSWX)	97.93%	99.23%	27.33%	72.66%	5.10%
BX Swiss AG (XBRN)	1.23%	0.75%	36.34%	63.65%	4.74%
Citigroup Global Markets Limited (XKZZ2JZF41MRHTR1V493)	0.32%	0.00%	66.66%	33.33%	0.00%
Jefferies International Ltd. (S5THZMDUJCTQZBTRVI98)	0.23%	0.00%	100.00%	0.00%	0.00%
Bank of New York Mellon (HPFHU00Q28E4NONFVK49)	0.09%	0.00%	100.00%	0.00%	0.00%

Class of instrument	(a) Equities – Shares & Depository Receipts				
	(ii) Tick size liquidity bands 3 and 4 (from 80 to 1,999 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SIX Swiss Exchange (XSWX)	99.55%	99.65%	34.44%	65.55%	5.05%
BX Swiss AG (XBRN)	0.44%	0.34%	42.43%	57.56%	3.68%
Credit Suisse (Switzerland) Ltd. (549300CWR0W0BCS9Q144)	0.00%	0.00%	50.00%	50.00%	0.00%
Credit Suisse Securities (Europe) Ltd (DL6FFRRLF74S01HE2M14)	0.00%	0.00%	0.00%	100.00%	0.00%

Class of instrument	(a) Equities – Shares & Depositary Receipts				
	(iii) Tick size liquidity band 1 and 2 (from 0 to 79 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SIX Swiss Exchange (XSWX)	99.48%	98.80%	50.27%	49.72%	4.12%
BX Swiss AG (XBRN)	0.27%	0.48%	49.71%	50.28%	5.08%
SumRidge Partners LLC (529900ECMK8VI6Q1FG89)	0.06%	0.00%	75.00%	25.00%	0.00%
Lienhardt + Partner Privatbank Zürich AG (529900P6SD81BMO6A808)	0.06%	0.11%	72.11%	27.88%	12.50%
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	0.04%	0.16%	21.52%	78.47%	3.97%

Class of instrument	(b) Debt instruments				
	(i) Bonds				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BLOOMBERG Trading Facility Limited (BMTF)	69.84%	53.03%	56.25%	43.74%	1.18%
MARKETAXESS Europe Limited (MAEL)	8.26%	11.00%	46.41%	53.58%	0.12%
TRADEWEB Europe Limited (TREU)	6.49%	9.04%	36.91%	63.08%	0.02%
SIX Swiss Exchange (XSWX)	3.09%	22.01%	56.21%	43.78%	2.73%
Deutsche Bank AG (7LTWFZYICNSX8D621K86)	1.98%	0.15%	56.71%	43.28%	0.00%

Class of instrument	(b) Debt instruments				
	(ii) Money markets instruments				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BLOOMBERG Trading Facility Limited (BMTF)	79.39%	62.59%	12.61%	87.38%	2.39%
Credit Suisse AG (ANGGYXNXQJLX3X63JN86)	5.08%	7.26%	7.93%	92.06%	3.17%
ING Bank NV (3TK20IVIUJ8J3ZU0QE75)	3.07%	0.69%	0.00%	100.00%	0.00%
TRADEWEB Europe Limited (TREU)	2.38%	22.19%	4.15%	95.84%	0.00%
Citigroup Global Markets Limited (XKZZ2JZF41MRHTR1V493)	2.11%	0.40%	42.85%	57.14%	14.28%

Class of instrument	(c) Interest rates derivatives				
	(i) Futures and options admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
EUREX (XEUR)	100.00%	100.00%	21.31%	78.68%	99.40%

Class of instrument	(c) Interest rates derivatives				
	(ii) Swaps, forwards, and other interest rates derivatives				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROWOBCS9Q144)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(e) Currency derivatives				
	(ii) Swaps, forwards, and other currency derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROWOBCS9Q144)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(f) Structured finance instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BLOOMBERG Trading Facility Limited (BMTF)	63.08%	41.95%	81.39%	18.60%	2.32%
Credit Suisse (Switzerland) Ltd. (549300CWROWOBCS9Q144)	10.71%	4.39%	22.22%	77.77%	22.22%
BNP Paribas S.A. (ROMUWSFPU8MPRO8K5P83)	7.21%	2.92%	16.66%	83.33%	0.00%
MARKETAXESS Europe Limited (MAEL)	4.12%	3.90%	75.00%	25.00%	0.00%
SIX Swiss Exchange (XSWX)	3.65%	37.07%	6.57%	93.42%	0.00%

Class of instrument	(g) Equity Derivatives (i) Options and Futures admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
EUREX (XEUR)	100.00%	100.00%	58.88%	41.11%	88.90%

Class of instrument	(g) Equity Derivatives (ii) Swaps and other equity derivatives				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q.144)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(h) Securitized Derivatives (i) Warrants and Certificate Derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q.144)	26.30%	19.20%	13.11%	86.88%	1.75%
SIX Swiss Exchange (XSWX)	26.21%	70.62%	75.90%	24.09%	3.82%
Kepler Cheuvreux (Suisse) SA (549300WZUJONCM3K3B32)	5.81%	0.18%	45.69%	54.30%	0.00%
Credit Suisse International (E58DKGMJYYYJLN8C3868)	5.65%	0.88%	55.06%	44.93%	1.93%
BNP Paribas Arbitrage SNC (6EWKU0FGVX5QQJHFGT48)	4.34%	0.91%	68.66%	31.33%	1.66%

Class of instrument	(i) Commodities derivatives and emission allowances derivatives (ii) Other commodities derivatives and emission allowances derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q.144)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(k) Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
TRADEWEB Europe Limited (TREU)	84.69%	51.37%	0.60%	99.39%	0.26%
SIX Swiss Exchange (XSWX)	14.96%	47.69%	21.33%	78.66%	3.44%
BX Swiss AG (XBRN)	0.19%	0.93%	19.37%	80.62%	2.58%
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	0.14%	0.00%	33.33%	66.66%	33.33%
Tradeweb EU BV - MTF (TWEM)	0.00%	0.00%	0.00%	100.00%	0.00%

Class of instrument	(m) Other instruments				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
EUREX (XEUR)	98.33%	59.98%	32.35%	67.64%	82.64%
SIX Swiss Exchange (XSWX)	0.88%	29.93%	35.82%	64.17%	2.05%
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	0.44%	6.41%	4.43%	95.56%	0.23%
TRADEWEB Europe Limited (TREU)	0.24%	3.35%	0.13%	99.86%	0.04%
Credit Suisse International (E58DKGMJYYYJLN8C3868)	0.04%	0.09%	48.38%	51.61%	6.45%

Receipt and transmission of orders from professional clients for Credit Suisse (Switzerland) Ltd.

This section outlines, for each class of financial instruments, the Top 5 brokers, in terms of volume, where Credit Suisse routed for further execution orders received from clients classified as “professional client”.

Class of instrument	(a) Equities – Shares & Depository Receipts				
	(i) Tick size liquidity bands 5 and 6 (from 2,000 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse Securities (Europe) Ltd (DL6FFRRLF74S01HE2M14)	79.61%	82.29%	21.35%	78.64%	5.87%
Merrill Lynch International (GGDZP1UYGU9STUHRDP48)	8.33%	3.69%	15.10%	84.89%	13.09%
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ20ELI46)	3.84%	8.54%	23.46%	76.53%	5.86%
Citigroup Global Markets Limited (XKZZ2JZF41MRHTR1V493)	3.52%	2.32%	20.67%	79.32%	13.23%
UBS AG (BFM8T61CT2L1QCEMIK50)	3.19%	2.07%	24.76%	75.23%	0.00%

Class of instrument	(a) Equities – Shares & Depository Receipts				
	(ii) Tick size liquidity bands 3 and 4 (from 80 to 1,999 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse Securities (Europe) Ltd (DL6FFRRLF74S01HE2M14)	47.73%	18.91%	46.15%	53.84%	8.95%
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ20ELI46)	39.87%	70.24%	25.44%	74.55%	1.34%
UBS AG (BFM8T61CT2L1QCEMIK50)	3.27%	2.62%	25.70%	74.29%	1.46%
Citigroup Global Markets Limited (XKZZ2JZF41MRHTR1V493)	2.71%	0.36%	21.37%	78.62%	4.78%
Citigroup Global Markets Inc. (MNUM2BPBDO7JBLYG310)	2.03%	3.17%	30.13%	69.86%	1.18%

Class of instrument	(a) Equities – Shares & Depository Receipts				
	(iii) Tick size liquidity band 1 and 2 (from 0 to 79 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse Securities (Europe) Ltd (DL6FFRRLF74S01HE2M14)	61.22%	15.14%	17.14%	82.85%	4.29%
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ2OELI46)	23.55%	73.16%	33.02%	66.97%	1.25%
Credit Suisse (Hong Kong) Limited (54930025BDY2HW6EF014)	7.15%	0.06%	99.22%	0.77%	0.00%
RBC Dominion Securities Inc. Royal Bank Plaza (549300QJX6CVVUXLE15)	1.66%	0.28%	58.56%	41.43%	21.08%
Citigroup Global Markets Inc. (MNUM2BPBDO7JBLYG310)	1.48%	3.63%	42.51%	57.48%	1.65%

Class of instrument	(b) Debt instruments				
	(i) Bonds				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG (2ZCNRR8UK83OBTEK2170)	70.96%	43.39%	90.06%	9.93%	10.55%
Commerzbank AG (851WYGNLUQLFZBSYGB56)	23.16%	16.44%	83.60%	16.39%	1.63%
UniCredit Bank AG (549300TRUWO2CD2G5692)	3.93%	3.23%	83.33%	16.66%	25.00%
Deutsche Bank AG (7LTWFZYICNSX8D621K86)	1.66%	0.26%	100.00%	0.00%	0.00%
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ2OELI46)	0.21%	30.99%	96.52%	3.47%	0.00%

Class of instrument	(c) Interest rates derivatives				
	(i) Futures and options admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse International (E58DKGMJYYYJLN8C3868)	100.00%	100.00%	10.20%	89.79%	2.29%

Class of instrument	(e) Currency derivatives				
	(i) Futures and options admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse International (E58DKGMJYYYJLN8C3868)	100.00%	100.00%	5.02%	94.97%	19.74%

Class of instrument	(g) Equity Derivatives				
	(i) Options and Futures admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ20ELI46)	82.69%	83.82%	42.53%	57.46%	83.10%
Citigroup Global Markets Inc. (MNUM2BPBDO7JBLYG310)	8.80%	6.58%	70.56%	29.43%	81.69%
Credit Suisse International (E58DKGMJYYYJLN8C3868)	5.64%	4.45%	70.43%	29.56%	90.38%
Societe Generale International Limited (OIKLU6X1B10WK7X42C15)	1.47%	3.64%	7.75%	92.24%	96.95%
Citigroup Global Markets Limited (XKZZ2JZF41MRHTR1V493)	0.88%	0.76%	82.56%	17.43%	77.70%

Class of instrument	(h) Securitized Derivatives				
	(i) Warrants and Certificate Derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
ICF Bank AG Wertpapierhandelsbank (529900E89HA1145HB613)	55.75%	33.27%	36.01%	63.98%	16.93%
Commerzbank AG (851WYGNLUQLFZBSYGB56)	25.69%	2.40%	28.69%	71.30%	5.21%
Equita SIM SpA (815600E3E9BFBC8FAA85)	5.85%	3.04%	34.24%	65.75%	3.42%
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ20ELI46)	4.55%	16.11%	75.12%	24.87%	0.00%
Credit Suisse Securities (Europe) Ltd (DL6FFRRLF74S01HE2M14)	3.60%	20.10%	49.22%	50.77%	16.40%

Class of instrument	(i) Commodities derivatives and emission allowances derivatives (i) Options and Futures admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse International (E58DKGMJYYYYJLN8C3868)	100.00%	100.00%	3.90%	96.09%	14.05%

Class of instrument	(k) Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ2OELI46)	69.60%	78.50%	25.19%	74.80%	3.67%
Credit Suisse Securities (Europe) Ltd (DL6FFRRLF74S01HE2M14)	15.57%	9.81%	19.48%	80.51%	26.28%
UBS AG (BFM8T61CT2L1QCEMIK50)	4.56%	3.98%	29.32%	70.67%	0.70%
Citigroup Global Markets Inc. (MBNUM2BPBDO7JBLYG310)	3.30%	3.14%	23.28%	76.71%	1.87%
Merrill Lynch Pierce Fenner & Smith Inc. (8NAV47TOY26Q87Y0QP81)	2.47%	2.90%	26.86%	73.13%	1.66%

Class of instrument	(m) Other instruments				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse International (E58DKGMJYYYYJLN8C3868)	61.98%	69.11%	6.25%	93.74%	24.88%
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ2OELI46)	36.47%	10.82%	27.80%	72.19%	80.90%
Citigroup Global Markets Inc. (MBNUM2BPBDO7JBLYG310)	1.21%	0.95%	64.94%	35.05%	57.34%
Citigroup Global Markets Limited (XKZZ2JZF41MRHTR1V493)	0.14%	0.49%	12.10%	87.89%	22.50%

Receipt and transmission of orders from retail clients for Credit Suisse (Switzerland) Ltd.

This section outlines, for each class of financial instruments, the Top 5 brokers, in terms of volume, where Credit Suisse routed for further execution orders received from clients classified as “retail client”.

Class of instrument	(a) Equities – Shares & Depositary Receipts				
	(i) Tick size liquidity bands 5 and 6 (from 2,000 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse Securities (Europe) Ltd (DL6FFRRLF74S01HE2M14)	76.77%	75.92%	24.28%	75.71%	3.76%
Merrill Lynch International (GGDZP1UYGU9STUHRDP48)	5.90%	4.27%	19.47%	80.52%	4.41%
UBS AG (BFM8T61CT2L1QCCEMIK50)	5.41%	4.23%	24.54%	75.45%	0.31%
Citigroup Global Markets Limited (XKZZ2JZF41MRHTR1V493)	4.77%	3.39%	29.32%	70.67%	4.54%
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ20ELI46)	4.01%	10.23%	25.79%	74.20%	3.14%

Class of instrument	(a) Equities – Shares & Depositary Receipts				
	(ii) Tick size liquidity bands 3 and 4 (from 80 to 1,999 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse Securities (Europe) Ltd (DL6FFRRLF74S01HE2M14)	45.08%	13.59%	47.02%	52.97%	4.96%
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ20ELI46)	37.91%	69.97%	24.58%	75.41%	3.78%
UBS AG (BFM8T61CT2L1QCCEMIK50)	3.91%	4.62%	29.76%	70.23%	2.85%
Citigroup Global Markets Inc. (MBNUM2BPBDO7JBLYG310)	3.53%	6.13%	30.13%	69.86%	2.56%
ICF Bank AG Wertpapierhandelsbank (529900E89HA1145HB613)	2.49%	0.31%	52.70%	47.29%	6.32%

Class of instrument	(a) Equities – Shares & Depositary Receipts				
	(iii) Tick size liquidity band 1 and 2 (from 0 to 79 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse Securities (Europe) Ltd (DL6FFRRLF74S01HE2M14)	54.26%	11.20%	26.76%	73.23%	4.94%
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ2OELI46)	28.72%	73.28%	29.62%	70.37%	3.30%
Citigroup Global Markets Limited (XKZZ2JZF41MRHTR1V493)	7.05%	0.07%	43.26%	56.73%	2.39%
RBC Dominion Securities Inc. Royal Bank Plaza (549300QJJX6CVVUXLE15)	2.07%	0.50%	59.19%	40.80%	3.28%
ICF Bank AG Wertpapierhandelsbank (529900E89HA1145HB613)	1.78%	0.31%	62.99%	37.00%	4.86%

Class of instrument	(b) Debt instruments				
	(i) Bonds				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG (2ZCNRR8UK83OBTEK2170)	66.67%	20.22%	93.81%	6.18%	22.84%
Commerzbank AG (851WYGNLUQLFZBSYGB56)	22.48%	7.99%	82.99%	17.00%	10.88%
UniCredit Bank AG (549300TRUWO2CD2G5692)	7.78%	2.01%	78.37%	21.62%	0.00%
MUFG Securities EMEA plc (U7M81AY481YLIOR75625)	1.45%	0.05%	100.00%	0.00%	0.00%
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ2OELI46)	1.01%	50.40%	37.75%	62.24%	3.23%

Class of instrument	(c) Interest rates derivatives				
	(i) Futures and options admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse International (E58DKGMJYYYJLN8C3868)	100.00%	100.00%	24.96%	75.03%	52.32%

Class of instrument	(e) Currency derivatives				
	(i) Futures and options admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse International (E58DKGMJYYYJLN8C3868)	100.00%	100.00%	64.18%	35.81%	75.67%

Class of instrument	(f) Structured finance instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
ICF Bank AG Wertpapierhandelsbank (529900E89HA1145HB613)	100.00%	100.00%	55.55%	44.44%	22.22%

Class of instrument	(g) Equity Derivatives				
	(i) Options and Futures admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ2OELI46)	88.42%	87.49%	39.01%	60.98%	88.83%
Citigroup Global Markets Inc. (MNUM2BPBDO7JBLYG310)	7.96%	8.38%	73.25%	26.74%	80.19%
Credit Suisse International (E58DKGMJYYYJLN8C3868)	2.92%	2.76%	60.68%	39.31%	94.57%
Societe Generale International Limited (OIKLU6X1B10WK7X42C15)	0.31%	0.61%	27.79%	72.20%	98.53%
Citigroup Global Markets Limited (XKZZ2JZF41MRHTR1V493)	0.25%	0.46%	85.30%	14.69%	89.09%

Class of instrument	(h) Securitized Derivatives				
	(i) Warrants and Certificate Derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
ICF Bank AG Wertpapierhandelsbank (529900E89HA1145HB613)	74.93%	57.42%	58.61%	41.38%	1.33%
Credit Suisse Securities (Europe) Ltd (DL6FFRRLF74S01HE2M14)	11.24%	24.96%	33.44%	66.55%	3.24%
Equita SIM SpA (815600E3E9BFBC8FAA85)	5.15%	2.53%	70.54%	29.45%	0.00%
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ2OELI46)	3.73%	8.70%	44.68%	55.31%	4.46%
Commerzbank AG (851WYGNLUQLFZBSYGB56)	2.00%	0.42%	55.20%	44.79%	1.04%

Class of instrument	(i) Commodities derivatives and emission allowances derivatives				
	(i) Options and Futures admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse International (E58DKGMJYYYJLN8C3868)	99.99%	99.98%	41.78%	58.21%	87.11%
Citigroup Global Markets Limited (XKZZ2JZF41MRHTR1V493)	0.00%	0.01%	100.00%	0.00%	100.00%

Class of instrument	(k) Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ2OELI46)	57.56%	67.37%	20.52%	79.47%	2.07%
Credit Suisse Securities (Europe) Ltd (DL6FFRRLF74S01HE2M14)	21.27%	16.04%	18.21%	81.78%	4.22%
UBS AG (BFM8T61CT2L1QCEMIK50)	6.06%	5.72%	23.03%	76.96%	1.21%
Citigroup Global Markets Inc. (MNUM2BPBDO7JBLYG310)	5.58%	4.30%	22.34%	77.65%	1.39%
Citigroup Global Markets Limited (XKZZ2JZF41MRHTR1V493)	2.66%	1.43%	16.40%	83.59%	3.25%

Class of instrument	(m) Other instruments				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse Securities (USA) LLC (1V8Y6QCX6YMJ2OELI46)	59.00%	40.73%	28.41%	71.58%	91.97%
Credit Suisse International (E58DKGMJYYYJLN8C3868)	35.16%	47.58%	25.04%	74.95%	36.72%
Citigroup Global Markets Inc. (MBNUM2BPBDO7JBLYG310)	5.66%	3.41%	59.11%	40.88%	72.47%
Credit Suisse Securities (Europe) Ltd (DL6FFRRLF74S01HE2M14)	0.07%	6.14%	44.33%	55.66%	1.91%

Execution of orders from professional clients for Credit Suisse AG.

This section outlines, for each class of financial instruments, the Top 5 execution venues, in terms of volume, where Credit Suisse executed orders received from clients classified as “professional client”.

Class of instrument	(c) Interest rates derivatives (ii) Swaps, forwards, and other interest rates derivatives				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse AG (ANGGYXNX0JLX3X63JN86)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(e) Currency derivatives (ii) Swaps, forwards, and other currency derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse AG (ANGGYXNX0JLX3X63JN86)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(g) Equity Derivatives (ii) Swaps and other equity derivatives				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse AG (ANGGYXNX0JLX3X63JN86)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(i) Commodities derivatives and emission allowances derivatives				
	(ii) Other commodities derivatives and emission allowances derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse AG (ANGGYXNX0JLX3X63JN86)	100.00%	100.00%	N/a	N/a	100.00%

Execution of orders from retail clients for Credit Suisse AG.

This section outlines, for each class of financial instruments, the Top 5 execution venues, in terms of volume, where Credit Suisse executed orders received from clients classified as “retail client”.

Class of instrument	(c) Interest rates derivatives (ii) Swaps, forwards, and other interest rates derivatives				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse AG (ANGGYXNXQJLX3X63JN86)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(e) Currency derivatives (ii) Swaps, forwards, and other currency derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse AG (ANGGYXNXQJLX3X63JN86)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(g) Equity Derivatives (ii) Swaps and other equity derivatives				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse AG (ANGGYXNXQJLX3X63JN86)	100.00%	100.00%	N/a	N/a	100.00%

Class of instrument	(i) Commodities derivatives and emission allowances derivatives (ii) Other commodities derivatives and emission allowances derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse AG (ANGGYXNXQJLX3X63JN86)	100.00%	100.00%	N/a	N/a	100.00%

Receipt and transmission of orders from professional clients for Credit Suisse AG

This section outlines, for each class of financial instruments, the Top 5 brokers, in terms of volume, where Credit Suisse routed for further execution orders received from clients classified as “professional client”.

Class of instrument	(a) Equities – Shares & Depositary Receipts				
	(i) Tick size liquidity bands 5 and 6 (from 2,000 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	73.15%	99.34%	21.82%	78.17%	1.31%
Morgan Stanley & Co. International Plc 4PQUHN3JPF GFNF3BB653	22.95%	0.03%	100.00%	0.00%	0.00%
Merrill Lynch International GGDZP1UYGU9STUHRDP48	2.16%	0.29%	100.00%	0.00%	0.00%
GOLDMAN SACHS INTERNATIONAL W22LROWP2IHZNBB6K528	1.74%	0.34%	100.00%	0.00%	0.00%

Class of instrument	(a) Equities – Shares & Depositary Receipts				
	(ii) Tick size liquidity bands 3 and 4 (from 80 to 1,999 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	99.65%	99.97%	25.31%	74.68%	3.06%
GOLDMAN SACHS INTERNATIONAL W22LROWP2IHZNBB6K528	0.28%	0.01%	100.00%	0.00%	0.00%
Merrill Lynch International GGDZP1UYGU9STUHRDP48	0.07%	0.02%	100.00%	0.00%	0.00%

Class of instrument	(a) Equities – Shares & Depositary Receipts				
	(iii) Tick size liquidity band 1 and 2 (from 0 to 79 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	82.58%	99.70%	29.83%	70.16%	1.69%
Morgan Stanley & Co. International Plc 4PQUHN3JPFGFNF3BB653	11.52%	0.02%	100.00%	0.00%	0.00%
Merrill Lynch International GGDZP1UYGU9STUHRDP48	3.63%	0.12%	100.00%	0.00%	0.00%
GOLDMAN SACHS INTERNATIONAL W22LROWP2IHZNBB6K528	2.26%	0.16%	100.00%	0.00%	0.00%

Class of instrument	(b) Debt instruments				
	(i) Bonds				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	100.00%	100.00%	67.48%	32.51%	1.11%

Class of instrument	(b) Debt instruments				
	(ii) Money markets instruments				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	68.32%	80.91%	13.56%	86.43%	0.58%
Merrill Lynch International GGDZP1UYGU9STUHRDP48	13.01%	3.34%	100.00%	0.00%	0.00%
CITIGROUP INC. 6SHGI4ZSSLCXXQSBB395	6.68%	1.19%	100.00%	0.00%	0.00%
MORGAN STANLEY & CO. INTERNATIONAL PLC 4PQUHN3JPFGFNF3BB653	6.31%	5.01%	100.00%	0.00%	0.00%
J.P. MORGAN SECURITIES PLC K6QOW1PS1L1O4IQL9C32	5.18%	2.15%	100.00%	0.00%	0.00%

Class of instrument	(c) Interest rates derivatives				
	(i) Futures and options admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROWOBCS9Q144)	100.00%	100.00%	11.55%	88.44%	4.57%

Class of instrument	(c) Interest rates derivatives				
	(ii) Swaps, forwards, and other interest rates derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CITIGROUP INC. 6SHGI4ZSSLCXXQSBB395	46.41%	25.71%	100.00%	0.00%	0.00%
J.P. MORGAN SECURITIES PLC K6QOW1PS1L1O4IQL9C32	19.13%	37.14%	100.00%	0.00%	0.00%
GOLDMAN SACHS INTERNATIONAL W22LROWP2IHZNBB6K528	17.23%	5.71%	100.00%	0.00%	0.00%
Merrill Lynch International GGDZP1UYGU9STUHRDP48	17.22%	31.43%	100.00%	0.00%	0.00%

Class of instrument	(d) Credit derivatives				
	(ii) Other credit derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
J.P. MORGAN SECURITIES PLC K6QOW1PS1L1O4IQL9C32	99.48%	85.19%	100.00%	0.00%	0.00%
Merrill Lynch International GGDZP1UYGU9STUHRDP48	0.38%	11.11%	100.00%	0.00%	0.00%
CREDIT SUISSE INTERNATIONAL E58DKGMJYYYJLN8C3868	0.15%	3.70%	100.00%	0.00%	0.00%

Class of instrument	(e) Currency derivatives				
	(i) Futures and options admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	100.00%	100.00%	8.86%	91.13%	4.11%

Class of instrument	(e) Currency derivatives				
	(ii) Swaps, forwards, and other currency derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Merrill Lynch International GGDZP1UYGU9STUHRDP48	29.06%	29.78%	100.00%	0.00%	0.00%
CREDIT SUISSE INTERNATIONAL E58DKGMJYYYJLN8C3868	27.02%	27.90%	100.00%	0.00%	0.00%
GOLDMAN SACHS INTERNATIONAL W22LROWP2IHZNBB6K528	16.29%	15.99%	100.00%	0.00%	0.00%
HSBC BANK PLC MP6I5YZBEU3UXPYFY54	15.28%	13.48%	100.00%	0.00%	0.00%
CITIGROUP INC. 6SHGI4ZSSLXQSB395	12.36%	12.85%	100.00%	0.00%	0.00%

Class of instrument	(f) Structured finance instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	100.00%	100.00%	96.96%	3.03%	0.00%

Class of instrument	(g) Equity Derivatives				
	(i) Options and Futures admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	100.00%	100.00%	45.61%	54.38%	88.10%

Class of instrument	(g) Equity Derivatives (ii) Swaps and other equity derivatives				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MORGAN STANLEY & CO. INTERNATIONAL PLC 4PQUHN3JPFGNF3BB653	86.50%	58.20%	100.00%	0.00%	0.00%
Merrill Lynch International GGDZP1UYGU9STUHRDP48	11.77%	35.98%	100.00%	0.00%	0.00%
GOLDMAN SACHS INTERNATIONAL W22LROWP2IHZNBB6K528	1.39%	3.17%	100.00%	0.00%	0.00%
CITIGROUP INC. 6SHGI4ZSSLCXXQSB395	0.30%	1.59%	100.00%	0.00%	0.00%
J.P. MORGAN SECURITIES PLC K6QOW1PS1L1O4IQL9C32	0.04%	1.06%	100.00%	0.00%	0.00%

Class of instrument	(h) Securitized Derivatives (i) Warrants and Certificate Derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	100.00%	100.00%	56.75%	43.24%	3.03%

Class of instrument	(i) Commodities derivatives and emission allowances derivatives (i) Options and Futures admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	100.00%	100.00%	3.44%	96.55%	13.41%

Class of instrument	(i) Commodities derivatives and emission allowances derivatives				
	(ii) Other commodities derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Merrill Lynch International GGDZP1UYGU9STUHRDP48	96.82%	77.78%	100.00%	0.00%	0.00%
MORGAN STANLEY & CO. INTERNATIONAL PLC 4PQUHN3JPF GFNF3BB653	3.13%	21.33%	100.00%	0.00%	0.00%
GOLDMAN SACHS INTERNATIONAL W22LROWP2IHZNBB6K528	0.05%	0.89%	100.00%	0.00%	0.00%

Class of instrument	(j) Contracts for difference				
	Notification if <1 average trade per business day in the previous year	No			
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Morgan Stanley & Co. International Plc 4PQUHN3JPF GFNF3BB653	100.00%	100.00%	100.00%	0.00%	0.00%

Class of instrument	(k) Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
	Notification if <1 average trade per business day in the previous year	No			
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROWOBCS9Q144)	99.34%	99.97%	32.45%	67.54%	3.43%
Banque Pictet & Cie S.A. 4LCYDN74UCFU5VPM4774	0.39%	0.02%	100.00%	0.00%	0.00%
Liechtensteinische Landesbank Aktiengesellschaft 529900OE1FOAM50XLP72	0.28%	0.01%	100.00%	0.00%	0.00%

Class of instrument	(m) Other instruments				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q.144)	97.60%	99.85%	26.06%	73.93%	16.52%
Merrill Lynch International GGDZP1UYGU9STUHRDP48	0.87%	0.05%	0.00%	100.00%	0.00%
Nomura DGQCSV2PHVF7I2743539	0.64%	0.04%	0.00%	100.00%	0.00%
Société Générale Corporate & Investment Banking 969500F9HMMIJD7DJC28	0.54%	0.02%	0.00%	100.00%	0.00%
Credit Suisse International E58DKGMJYYYJLN8C3868	0.34%	0.04%	0.00%	100.00%	0.00%

Receipt and transmission of orders from retail clients for Credit Suisse AG

This section outlines, for each class of financial instruments, the Top 5 brokers, in terms of volume, where Credit Suisse routed for further execution orders received from clients classified as “retail client”.

Class of instrument	(a) Equities – Shares & Depositary Receipts				
	(i) Tick size liquidity bands 5 and 6 (from 2,000 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CROW0BCS9Q144)	100.00%	100.00%	21.61%	78.38%	3.57%

Class of instrument	(a) Equities – Shares & Depositary Receipts				
	(ii) Tick size liquidity bands 3 and 4 (from 80 to 1,999 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CROW0BCS9Q144)	100.00%	100.00%	31.46%	68.53%	4.62%

Class of instrument	(a) Equities – Shares & Depositary Receipts				
	(iii) Tick size liquidity band 1 and 2 (from 0 to 79 trades per day)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CROW0BCS9Q144)	100.00%	100.00%	32.00%	67.99%	3.52%

Class of instrument	(b) Debt instruments				
	(i) Bonds				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q.144)	100.00%	100.00%	54.01%	45.98%	1.00%

Class of instrument	(b) Debt instruments				
	(ii) Money markets instruments				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q.144)	100.00%	100.00%	11.68%	88.31%	1.90%

Class of instrument	(c) Interest rates derivatives				
	(i) Futures and options admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q.144)	100.00%	100.00%	24.11%	75.88%	5.08%

Class of instrument	(e) Currency derivatives				
	(i) Futures and options admitted to trading on a trading venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q.144)	100.00%	100.00%	0.00%	100.00%	0.00%

Class of instrument	(f) Structured finance instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWR0W0BCS9Q.144)	100.00%	100.00%	76.34%	23.65%	4.30%

Class of instrument	(g) Equity Derivatives				
(i) Options and Futures admitted to trading on a trading venue					
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWR0W0BCS9Q.144)	100.00%	100.00%	57.06%	42.93%	88.98%

Class of instrument	(h) Securitized Derivatives				
(i) Warrants and Certificate Derivatives					
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWR0W0BCS9Q.144)	100.00%	100.00%	37.96%	62.03%	2.56%

Class of instrument	(i) Commodities derivatives and emission allowances derivatives				
(i) Options and Futures admitted to trading on a trading venue					
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWR0W0BCS9Q.144)	100.00%	100.00%	13.93%	86.06%	80.41%

Class of instrument	(k) Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	100.00%	100.00%	17.30%	82.69%	2.23%

Class of instrument	(m) Other instruments				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. (549300CWROW0BCS9Q144)	100.00%	100.00%	39.00%	60.99%	39.96%

Annex 1: Definitions

Broker	Means street-side counterparties or providers through which Credit Suisse executes or transmits orders.
Client Order	Client Order is a verbal, electronic (e.g. Bloomberg, FIX) or written agreement to execute a transaction on behalf of a client regardless of whether Credit Suisse is acting in a principal, riskless principal or agency capacity in any of the Financial Instruments listed in Schedule 1 of the Credit Suisse Best Execution Policy.
Execution Venue	Execution Venue refers to Regulated Markets, MTFs or OTFs as defined under MiFID II and other liquidity providers or entities that perform a similar function. The definition also includes Credit Suisse's own systematic internalizer.
Financial Instrument	See the Credit Suisse Best Execution Policy for definition of financial instrument.
MiFID II	Markets in Financial Instruments Directive 2014/65/EU of 15 May 2014 ("MiFID II").
Professional Client	Professional Client means a client who possesses the experience, knowledge and expertise to be able to appropriately assess the risks associated with their own investment decisions (e.g. financial institutions, other authorized or regulated institutions, collective investment schemes and management companies of such schemes, pension funds, and other institutional investors). Retail Clients who fulfill two out of three criteria set up to demonstrate their knowledge and experience might also be considered Professional Clients upon their own request.
Retail Client	Retail Client means a client who is not a Professional Client.
Trading Venue	Trading Venue means any Regulated Market, MTF or OTF as defined under MiFID II.



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