

RTS 28 Top 5 Venue Report

RTS 28 Top 5 Venue Report Equities

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054		
Type	Professional		
Order Execution	RTO		
Class of Instrument	Equities - Shares & Depositary Receipts		
Notification if <1 average trade per business day in the previous year	N		
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse Securities (Europe) Limited DL6FFRRLF74S01HE2M14	82.80%	82.87%	0.00%
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	17.14%	17.03%	0.00%
Winterflood Securities Limited 8BRUP6V1DX3PIG2R0745	0.06%	0.10%	0.00%

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054		
Type	Retail		
Order Execution	RTO		
Class of Instrument	Equities - Shares & Depositary Receipts		
Notification if <1 average trade per business day in the previous year	N		
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse Securities (Europe) Limited DL6FFRRLF74S01HE2M14	98.01%	99.59%	0.00%
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	1.97%	0.36%	0.00%
Winterflood Securities Limited 8BRUP6V1DX3PIG2R0745	0.02%	0.05%	0.00%

RTS 28 Top 5 Venue Report

Bonds

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054
Type	Professional
Order Execution	RTO
Class of Instrument	Debt Instruments (Bonds)
Notification if <1 average trade per business day in the previous year	N

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	6.75%	5.38%	0.00%
Oppenheimer Europe LTD. 213800CH6IZ9J98PIS59	1.05%	0.73%	0.00%
Guy Butler Limited 213800AG2H8MB5FGJ538	0.23%	0.18%	0.00%
BGC Brokers L.P. ZWNFQ48RUL8VJZ2AIC12	0.20%	0.06%	0.00%

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054
Type	Retail
Order Execution	RTO
Class of Instrument	Debt Instruments (Bonds)
Notification if <1 average trade per business day in the previous year	N

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	11.02%	7.83%	0.00%
Oppenheimer Europe LTD. 213800CH6IZ9J98PIS59	5.28%	1.78%	0.00%
Guy Butler Limited 213800AG2H8MB5FGJ538	0.63%	0.84%	0.00%

RTS 28 Top 5 Venue Report

Bonds

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054
Type	Professional
Order Execution	Execution
Class of Instrument	Debt Instruments (Bonds)
Notification if <1 average trade per business day in the previous year	N

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Barclays Bank PLC G5GSEF7VJP5I7OUK5573	9.36%	9.32%	6.65%	93.35%	0.00%
HSBC Bank PLC MP6I5ZYBUEU3UXPYFY54	8.99%	10.89%	8.23%	91.77%	0.00%
The Toronto-Dominion Bank PT3QB789TSUIDF371261	8.80%	1.38%	68.33%	31.67%	0.00%
Deutsche Bank Aktiengesellschaft 7LTWFZYICNSX8D621K86	8.60%	9.28%	6.68%	93.32%	0.00%
Credit Suisse Securities (Europe) Limited DL6FFRRLF74S01HE2M14	7.79%	7.23%	8.57%	91.43%	0.00%

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Bonds

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054				
Type	Retail				
Order Execution	Execution				
Class of Instrument	Debt Instruments (Bonds)				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Bank Aktiengesellschaft 7LTFZYICNSX8D621K86	12.11%	11.15%	25.25%	74.75%	0.00%
Morgan Stanley & Co. International PLC 4PQUHN3JPFQFN3BB653	9.91%	9.38%	48.40%	51.60%	0.00%
Barclays Bank PLC G5GSEF7VJP5I7OUK5573	9.75%	9.53%	15.35%	84.65%	0.00%
Credit Suisse Securities (Europe) Limited DL6FFRRLF74S01HE2M14	8.53%	5.93%	58.23%	41.77%	0.00%
UBS Limited REYPIEJN7XZHSUI0N355	8.49%	8.22%	36.07%	63.93%	0.00%

RTS 28 Top 5 Venue Report Money Market Instruments

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054		
Type	Professional		
Order Execution	RTO		
Class of Instrument	Debt Instruments (Money Markets Instruments)		
Notification if <1 average trade per business day in the previous year	N		
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	100.00%	100.00%	0.00%

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054		
Type	Retail		
Order Execution	RTO		
Class of Instrument	Debt Instruments (Money Markets Instruments)		
Notification if <1 average trade per business day in the previous year	N		
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	100.00%	100.00%	0.00%

RTS 28 Top 5 Venue Report Currency Derivatives (Options)

Legal Entity		Credit Suisse (UK) Limited 549300GUAMON5OX20054			
Type		Professional			
Order Execution		Execution			
Class of Instrument		Currency Derivatives (Options admitted to trading on a trading venue)			
Notification if <1 average trade per business day in the previous year		Y			
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	100.00%	100.00%	0.00%	100.00%	0.00%
Legal Entity		Credit Suisse (UK) Limited 549300GUAMON5OX20054			
Type		Retail			
Order Execution		Execution			
Class of Instrument		Currency Derivatives (Options admitted to trading on a trading venue)			
Notification if <1 average trade per business day in the previous year		Y			
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	100.00%	100.00%	0.00%	100.00%	0.00%

RTS 28 Top 5 Venue Report Currency Derivatives (Other)

Legal Entity		Credit Suisse (UK) Limited 549300GUAMON5OX20054			
Type		Professional			
Order Execution		Execution			
Class of Instrument		Currency Derivatives (Swaps, Forwards and Other Currency Derivatives)			
Notification if <1 average trade per business day in the previous year		N			
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse AG ANGGYXNXOJLX3X63JN86	100.00%	100.00%	0.00%	100.00%	0.00%
Legal Entity		Credit Suisse (UK) Limited 549300GUAMON5OX20054			
Type		Retail			
Order Execution		Execution			
Class of Instrument		Currency Derivatives (Swaps, Forwards and Other Currency Derivatives)			
Notification if <1 average trade per business day in the previous year		N			
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse AG ANGGYXNXOJLX3X63JN86	100.00%	100.00%	0.00%	100.00%	0.00%

RTS 28 Top 5 Venue Report

Structured Finance Instruments

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054
Type	Professional
Order Execution	RTO
Class of Instrument	Structured Finance Instruments
Notification if <1 average trade per business day in the previous year	N

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	100.00%	100.00%	0.00%

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054
Type	Retail
Order Execution	RTO
Class of Instrument	Structured Finance Instruments
Notification if <1 average trade per business day in the previous year	N

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	100.00%	100.00%	0.00%

RTS 28 Top 5 Venue Report Equity Derivatives

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054
Type	Professional
Order Execution	RTO
Class of Instrument	Equity Derivatives (Options admitted to trading on a trading venue)
Notification if <1 average trade per business day in the previous year	N

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	100.00%	100.00%	0.00%

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054
Type	Retail
Order Execution	RTO
Class of Instrument	Equity Derivatives (Options admitted to trading on a trading venue)
Notification if <1 average trade per business day in the previous year	N

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	100.00%	100.00%	0.00%

RTS 28 Top 5 Venue Report Securitized Derivatives

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054
Type	Professional
Order Execution	RTO
Class of Instrument	Securitized Derivatives (Warrants)
Notification if <1 average trade per business day in the previous year	N

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	100.00%	100.00%	0.00%

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054
Type	Retail
Order Execution	RTO
Class of Instrument	Securitized Derivatives (Warrants)
Notification if <1 average trade per business day in the previous year	N

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	100.00%	100.00%	0.00%

RTS 28 Top 5 Venue Report Exchange Traded Products

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054		
Type	Professional		
Order Execution	RTO		
Class of Instrument	Exchange Traded Products (ETFs, ETNs and ETCs)		
Notification if <1 average trade per business day in the previous year	N		
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse Securities (Europe) Limited DL6FFRRLF74S01HE2M14	55.53%	73.97%	0.00%
UBS Limited REYPIEJN7XZHSUI0N355	15.24%	9.30%	0.00%
Société Générale SA O2RNE8IBXP4R0TD8PU41	15.19%	7.53%	0.00%
BGC Brokers L.P. ZWNFQ48RUL8VJZ2AIC12	8.98%	6.56%	0.00%
Morgan Stanley & Co. International PLC 4PQUHN3JPFQFNF3BB653	4.95%	2.45%	0.00%

RTS 28 Top 5 Venue Report Exchange Traded Products

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054		
Type	Retail		
Order Execution	RTO		
Class of Instrument	Exchange Traded Products (ETFs, ETNs and ETCs)		
Notification if <1 average trade per business day in the previous year	N		
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse Securities (Europe) Limited DL6FFRRLF74S01HE2M14	54.70%	78.07%	0.00%
UBS Limited REYPIEJN7XZHSUI0N355	13.60%	7.88%	0.00%
Société Générale SA O2RNE8IBXP4R0TD8PU41	11.39%	4.81%	0.00%
BGC Brokers L.P. ZWNFQ48RUL8VJZ2AIC12	9.18%	4.83%	0.00%
Morgan Stanley & Co. International PLC 4PQUHN3JPFQFNF3BB653	6.85%	0.98%	0.00%

RTS 28 Top 5 Venue Report Other Instruments

Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054		
Type	Professional		
Order Execution	RTO		
Class of Instrument	Other instruments (Funds, Hedge Funds, Unit Trusts, alt Investment Funds)		
Notification if <1 average trade per business day in the previous year	N		
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	100.00%	100.00%	0.00%
Legal Entity	Credit Suisse (UK) Limited 549300GUAMON5OX20054		
Type	Retail		
Order Execution	RTO		
Class of Instrument	Other instruments (Funds, Hedge Funds, Unit Trusts, alt Investment Funds)		
Notification if <1 average trade per business day in the previous year	N		
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Credit Suisse (Switzerland) Ltd. 549300CWROW0BCS9Q144	100.00%	100.00%	0.00%