

# Information about Credit Suisse in Portugal

## Information about the Branch

<b>Name of the entity:</b>	Credit Suisse (Luxembourg) S.A.– Sucursal em Portugal
<b>Type of entity:</b>	Credit Institution Branches (European Union)
<b>Registration number with the Bank of Portugal:</b>	267
<b>Registration number with the CMVM:</b>	356
<b>Date of first registration of the activity:</b>	15-Jul-2013
<b>Registered office:</b>	Avenida da Liberdade, n.º 180 A - 8.º - 1250-146 LISBOA
<b>Tax number:</b>	980492491
<b>Commercial registration:</b>	980492491 – Conservatória do Registo Comercial de Lisboa

## Authorised services by CMVM

Services	Date of Registration
Placing of financial instruments without a firm commitment basis	23-Apr-2015
Underwriting of financial instruments and/or placing of financial instruments on a firm commitment basis	23-Apr-2015
Advice to undertakings on capital structure, industrial strategy and related matters and advice and services relating to mergers and the purchase of undertakings	15-Jul-2013
Granting credits or loans to an investor to allow him to carry out a transaction in one or more financial instruments, where the firm granting the credit or loan is involved in the transaction	15-Jul-2013
Investment advice	15-Jul-2013
Investment research and financial analysis or other forms of general recommendation relating to transactions in financial instruments	15-Jul-2013
Investment services and activities as well as ancillary services of the type included under Section A or B of Annex1 of Directive 2004/39/EC related to the underlying of the derivatives included under Section C - 5, 6, 7 and 10 - where these are connected to the provision of investment or ancillary services	15-Jul-2013
Portfolio management	15-Jul-2013
Reception and transmission of orders in relation to one or more financial instruments	15-Jul-2013

## Branch Management in Portugal

Members	Function
Miguel Seabra	Market Leader Portugal
Carlos Santos Lima / Miguel Seabra	Branch Manager
Carlos Santos Lima	Head of Front

## Reception and Transmission of Orders, Instructions Policy

The client shall authorize the Bank to receive and transmit client Orders to CREDIT SUISSE (LUXEMBOURG) S.A. for execution, by signing the form(s) and/or agreement(s) containing such powers. Based on the fact that the client's Orders are transmitted by the Bank to CREDIT SUISSE (LUXEMBOURG) S.A. for execution, the best execution policy of CREDIT SUISSE (LUXEMBOURG) S.A. shall be applicable also in relation to the service of receipt and transmission of Orders provided by the Bank to the client.

If client Orders or other Instructions are incomplete or unclear to the extent that the content prevents the Bank from transmitting the Order for execution or otherwise process the Instruction, the Bank shall notify the client of this without undue delay, and the Bank may also delay or suspend the transmission of such Orders or other Instructions, until it receives the necessary additional information or clear Instructions.

The Bank is entitled to delay, suspend or refuse transmission of an Instruction if there are any doubts regarding the Instruction (in particular, as regards the identity of the instructing party), or if there are other good causes to do so, particularly where it is suspected that the Instruction is in contravention with the law or the regulations in place. It may also delay, refuse or suspend transmission of an Instruction if it relates to transactions or products that CREDIT SUISSE (LUXEMBOURG) S.A. does not usually offer, or if the client has violated his obligations towards the Bank or CREDIT SUISSE (LUXEMBOURG) S.A., or if no sufficient funds at the level of CREDIT SUISSE (LUXEMBOURG) S.A. are available for execution.

When receiving and transmitting Orders the Bank shall not be liable for any aspect of the Orders placed, i.e., content, timely placement, coverage, informing clients of any trading timetables or conditions applicable on different markets, or any other issues.

The Bank shall also not be liable for improper or non-completion or non-settlement of Orders instructed by the client and received and transmitted by the Bank, or any aspects thereof, unless that results from circumstances attributable to the Bank.

Telecommunication transmission fault can prevent or delay the Bank from effectively transmitting the Order, causing non-performance or delayed completion of an Order instructed via telecommunication transmission. The Bank shall not be liable for any consequences arising from the transmission of client Orders by telecommunication to be further completed or the transmission of such Orders given by a person other than the client or attorney in fact, if it contains all the elements enabling the Bank to transmit such Orders.

The Bank will not provide the client with any confirmation of completion of the Orders received and transmitted by it.

The Bank shall not be bound by the revocation of any Instruction by the client, where such Instruction has already been executed by CREDIT SUISSE (LUXEMBOURG) S.A. The Bank shall not be liable in any event whatsoever for any damage suffered by the client arising from the execution of such Instruction. Revocation of Orders given by the client to the Bank shall follow the same procedure as is used for placing Orders.

#### **Information on the protection of the Client's assets**

The Luxembourg deposit guarantee and investor compensation scheme of the Luxembourg Deposit Guarantee Association ("Association pour la Garantie des Dépôts, Luxembourg" - AGDL), of which CREDIT SUISSE (LUXEMBOURG) S.A. is a member, will apply to all investments and auxiliary services provided to the Client, either through CREDIT SUISSE (LUXEMBOURG) S.A. – SUCURSAL EM PORTUGAL or CREDIT SUISSE (LUXEMBOURG) S.A..

The Client may ask the Bank for more information about this deposit guarantee and investor compensation scheme.



#### **CREDIT SUISSE (LUXEMBOURG) S.A. – Sucursal em Portugal**

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