

Quick Guide USA

Key Information

Custodian	Brown Brothers Harriman & Co., New York
Address	Brown Brothers Harriman & Co. Trade Processing 140 Broadway US-New York NY 10005-1101 / USA
BIC Code	BBHCUS33XXX
CSD	Depository Trust Company (New York) Federal Reserve Bank (FED)
Delivery instructions	Local Market Account DTCYID/0010 Local ID USFW 021000018 Safe BK OF NYC/HARRIMAN 9330010 main 9335134 30% 9338641 USWHT – Exempt 9338724 Non-US Securities 9338724 US Treasury Bills payable up to 183 days from the date of original issue 9330010 US Treasury Bills payable more than 183 days from the date of original issue 9357930 Dual listed Canadian Securities
Matching Tolerance	USD 25.00
ADR Conversions	ADR stands for American Depository Receipts. Enterprises from all over the world have the opportunity to create US securities of their company in order to gain access to the US Capital Market. Agents The Bank of New York Mellon Citibank JPMorgan Chase Bank Deutsche Bank
DRS Transfers	The Direct Registration System allows investors to hold their securities directly via the issuing company without the disadvantages of bearing a physical certificate. In order to receive securities BBH has to claim a security out of this system and re-register it. To process a DRS transfer the client has to provide the DRS holding statement. SWIFT PSET DTCYUS33XXX REAG/DEAG DTCYID/nnnnn if counterparty has no DTC ID, use the dummy 01234 70E: DRS Request Client Account Number (on DRS Statement) Registration Name (on DRS Statement) Tax ID TIN: 999-99-9999
US Mutual Funds	Clients have to sign the respective waiver document. SSI: For deliveries, we have to ask BBH for the SSI For receipts, BBH will provide the details in a MT548 after we sent our instruction. Cash or Reinvest (dividend option) Contact details of the counterparty Trade and Settlement date have to be the date of the instruction SWIFT PSET DTCYUS33XXX REAG/DEAG DTCYID/04321 dummy for funds 70E: Registered in the name of xxx as custodian for xxx Acc. xxx
DWAC	The Depository Trust Company's (DTC) Deposit and Withdrawal at Custodian (DWAC) service provides participants with the ability to make electronic book-entry deposits and withdrawals of eligible securities into and out of their DTC book-entry accounts using a Fast Automated Securities Transfer service (FAST) transfer agent as the distribution point. SWIFT REAG/DTCYID/nnnnn if counterparty has no DTC ID, use dummy 01234 70E: DWAC Mention the word "DWAC" in the narrative

Elements required for the Settlement Instruction

* M: Field is mandatory/O: Field is optional/C: Field is conditional.

Element	Example	M/O/C*
Delivering or Receiving Agent	:95R::DEAG/DTCYID/nnnn :95R::DEAG/USFW/nnnnnnnnn	M
Client of Delivering or Receiving Agent	:95P::SELL//ABCDABABXXX	O
Client Account of Delivering or Receiving Agent	:97A::SAFE//XXXXXXX	
Place of Settlement	:95P::PSET//DTCYUS33XXX :95P::PSET//FRNYUS33XXX	M
Place of Safekeeping	:94F::SAFE//CUST/BBHCUS33XXX	O
Specialities	<p>DTC :95P::PSET//DTCYUS33XXX :95R::DEAG/DTCYID/0010 :95P::SELL//CRESCHZZ80A :97A::SAFE//9335134</p> <p>Fed :95P::PSET//FRNYUS33XXX :95R::DEAG/USFW/[Fedwire-Account] :97A::SAFE//[Standardised account name] :95R::SELL/DTCYID/00000005 (DTC Account of CP. BIC if no DTC) The max value is 50Mio.. A transfer of 60Mio. has to be split and instructed as 50 Mio. and 10 Mio.</p>	

Receive from a participant of DTC, New York

MT541

:95R::DEAG/DTCYID/nnnn
 :95P::SELL//ABCDABABXXX
 :97A::SAFE//XXXXXXX
 :95P::PSET//DTCYUS33XXX

Receive from a Euroclear participant (outside Euroclear)

MT541

:95R::DEAG/DTCYID/1970
 :95P::SELL//CHASUS33XXX
 :97A::SAFE//G21691 EUROnnnnn
 :95P::PSET//DTCYUS33XXX

Receive from a client of BBH (Internal Transfer)

MT541

:95R::DEAG/DTCYID/0010
 :95P::SELL//ABCDABABXXX
 :97A::SAFE//nnnnnnn
 :95P::PSET//DTCYUS33XXX

Receive from a Clearstream Banking Lux participant (outside Clearstream)

MT541

:95R::DEAG/DTCYID/0908
 :95P::SELL//CITIUS33XXX
 :97A::SAFE//089154 CSCnnnnn
 :95P::PSET//DTCYUS33XXX

Deliver to Canadian Depository for Securities (CDS) (Northbound Flip)

MT542

:95R::REAG/DTCYID/5099
 :95P::BUYR//ABCDABABXXX
 :97A::SAFE//AAAA (CUID code of the Canadian CDS participant)
 :95P::PSET//DTCYUS33XXX

Receive from a Clearstream Banking Luxembourg participant (outside Clearstream)

MT541

:95R::DEAG/USFW/021000089
 :97A::SAFE//CUST
 :95P::SELL//CEDELULLXXX
 :97A::SAFE//089154 CSCnnnnn
 :95P::PSET//FRNYUS33XXX

Receive from a participant of the Federal Reserve Bank (FED)

MT541

:95R::DEAG/USFW/nnnnnnnn
 :97A::SAFE//AAAAAAA
 :95P::SELL//ABCDABABXXX or :95R::SELL//DTCYID/nnnn
 :95P::PSET//FRNYUS33XXX

Receive from a Euroclear participant (outside Euroclear)

MT541

:95R::DEAG/USFW/021000021
 :97A::SAFE//JPMCHASE/EURCLR
 :95P::SELL//MGTCBEBEXXX
 :97A::SAFE//G21691 EUROnnnnn
 :95P::PSET//FRNYUS33XXX

Receive from a client of BBH (Fedwire)

MT541

:95R::DEAG/USFW/021000018
 :97A::SAFE//BK OF NYC/HARRIMAN
 :95P::SELL//ABCDABABXXX
 :97A::SAFE//nnnnnnnn
 :95P::PSET//FRNYUS33XXX

Disclaimer:

The instructions contained in this Guide will assist you in making full use of, as well as benefiting from, our settlements services. Under certain circumstances it may be necessary to use other fields or field options, which correspond to the SWIFT guidelines, yet do not allow for automated processing of your settlement instructions. Credit Suisse (Switzerland) Ltd. endeavors to accept and process such instructions on a best effort basis without the mutual benefits of STP handling but accepts no liability for loss arising from the use of such instructions. The information presented in this Guide has been obtained or derived from sources believed by Credit Suisse (Switzerland) Ltd. to be reliable. Credit Suisse (Switzerland) Ltd. makes no representation as to the accuracy and/or completeness of the information and/or that the information is up to date. All information in this Guide will be subject to change without notice. The information and opinions in this Guide are not intended and shall not be interpreted as legal advice nor do they constitute a solicitation offer, or recommendation, to acquire or dispose any investment or to engage in any other transaction. Credit Suisse (Switzerland) Ltd. offers no warranty and accepts no liability for loss arising from the use of this Guide.