

# Declaration of enrollment

## Credit Suisse Investment Foundation 2nd Pillar

The undersigned pension fund wishes to be accepted as an investor in the Credit Suisse Investment Foundation 2nd Pillar (CSA 2). It acknowledges the articles of association and the regulations of CSA 2 and confirms that it meets the legal requirements for tax exemption for pension funds in its canton of domicile.

It also confirms that it:

### **Please check as applicable**

is a registered employee benefits institution pursuant to Art. 48 of the Federal Act on Occupational Retirement, Survivors' and Disability Pension Plans (BVG)

pursuant to Art. 1e Ordinance on Occupational Retirement, Survivors' and Disability Pension Plans (BVV 2) and Art. 1 lit. a Ordinance on Investment Foundations (OFP) is a pension fund (1e foundation) which exclusively insures salary components above one and a half times the upper threshold as defined in Art. 8 para. 1 BVG

is an unregistered employee benefits institution providing extra-mandatory regulatory benefits (in particular a management solution or management pension fund)

is a joint or collective foundation

is an institution within the meaning of the Vested Benefits Act

is an investment foundation whose investor base is limited to the aforementioned institutions

The pension fund confirms to CSA 2 that it meets all the conditions of the DTA CH-USA, including the provisions set forth in the Memorandum of Understanding of April 16 / May 6, 2021, which are necessary to claim the 0% rate for withholding tax rate pursuant to Art. 10 para. 3 of the DTA CH-USA, particularly those relating to the limitation on benefits. It furthermore undertakes to notify CSA 2 and to withdraw from CSA 2 if there are any changes in the circumstances under which the above declarations were made.

CSA 2 reserves the right to review the articles of association and/or regulations of the pension fund in order to verify the information provided. CSA 2 is also entitled to verify the information provided by the undersigned pension fund with the Security Fund. The undersigned pension fund also undertakes to notify CSA 2 in writing of any change of name and/or purpose and to withdraw from the CSA 2 if it no longer meets the above requirements.

In connection with the exercise of voting rights at the general meeting of investors of CSA 2, the same requires exact knowledge of the individual claims held by the pension fund. In this case, the undersigned releases the custodian bank from banking confidentiality (Art 47 Banking Act) and authorizes it to provide CSA 2 with information about the number of claims it holds in the safekeeping account. The pension fund furthermore authorizes CSA 2 to disclose its client data to Credit Suisse (Switzerland) Ltd. and its affiliated companies. CSA 2 and the Credit Suisse Group companies are entitled to disclose this client data to domestic and foreign authorities, insofar as this is required by law or on the orders of a court or official body.

CSA 2 will exercise all corporate actions and any disclosure of client data with the degree of due diligence customary in such matters. CSA 2 accepts no responsibility beyond this obligation.

If the pension fund has not instructed Credit Suisse (Switzerland) Ltd. to act as custodian, it undertakes to send its custodian bank a signed copy of this declaration of enrollment.

Name of the pension fund

Street/P.O. Box

Postal code/city

Legal domicile

Registration number (if available)

Date

Signature

Name in block capitals

Date

Signature

Name in block capitals

Please send to: Credit Suisse Investment Foundation 2nd Pillar, SYAB, P.O. Box 800, 8070 Zurich