

# Non-discretionary business

## Safekeeping account<sup>1</sup>

	<b>Safekeeping account value in CHF</b> (or equivalent value in foreign currency)	Fee in % p.a.
Basic fee <sup>2</sup>	Up to 5 million	0.35
	From 5 up to 20 million	0.30
	Over 20 million	0.20
Minimum per position/security	CHF 5 per month	
This tariff applies to marketable securities as well as to precious metals and coins in safekeeping.		
<ul style="list-style-type: none"> <li>▪ Shares of banks of Credit Suisse Group</li> <li>▪ Warrants issued by Credit Suisse with shares of banks of Credit Suisse Group as their underlying</li> <li>▪ Medium-term notes of banks of Credit Suisse Group</li> </ul>	Basic fee waived, no minimum per position	
<ul style="list-style-type: none"> <li>▪ Credit Suisse Wealth Management funds</li> </ul>	Reduction of 25% on the basic fee and the additional charge for foreign securities deposited with third parties	
<ul style="list-style-type: none"> <li>▪ Assets in discretionary mandates</li> <li>▪ Public funds of Credit Suisse Group</li> <li>▪ Fund Lab funds</li> </ul>	No minimum per position	
<ul style="list-style-type: none"> <li>▪ Private equity and hedge fund products</li> </ul>	0.60% p.a.	
<ul style="list-style-type: none"> <li>▪ Traded options<sup>3</sup> and futures</li> <li>▪ Rights</li> <li>▪ Documents, e.g. mortgage notes, last wills, savings books, etc.</li> </ul>	CHF 5 per month	
Foreign securities deposited with third parties	Additional charge of 0.15% p.a.	

Credit Suisse reserves the right to pass on to clients any charges from third-party custodians/depositories in respect of special assets in safekeeping, for example, American or Global Depository Receipts, exotic securities, items of sentimental value, etc.

The bank can apply higher fees for safekeeping accounts requiring additional efforts (e.g. beneficiary, blocked and guarantee safekeeping accounts, etc., or safekeeping accounts involving higher administrative costs).

## Precious metals account

All metals	0.80% p.a.
Minimum fee	CHF 100 p.a.

## Periodic dispatch of correspondence<sup>1,4</sup>

Periodic dispatch with RET Online access	CHF 1,500 p.a.
Periodic dispatch without RET Online access	CHF 3,000 p.a.

Your Relationship Manager will be pleased to inform you at any time about the different dispatch options - from weekly to annually or automatically.

## Numbered relationship

Fee	CHF 3,000 p.a.
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<sup>1</sup> Plus value-added tax (VAT), at the applicable normal rate, for clients resident in Switzerland and the Principality of Liechtenstein. The safekeeping account fee is calculated on a monthly basis in CHF and levied in the reference currency – generally at the end of a quarter. An individual fee is charged for services which are unusual, rare or require additional processing.

<sup>2</sup> The basic fee is calculated according to a staggered tariff so the rate applicable for a specific component of the safekeeping account value, as defined according to the tariff, is applied in each case.

<sup>3</sup> Applicable to short and long positions.

<sup>4</sup> The fees will be charged quarterly. However, the bank reserves the right at any time to change the frequency of the charging periods. The relevant fees will be adjusted as of the start of the following month.

For reasons of clarity, only the most important fees are given here. All fees are correct at the time of publication, but are subject to change at any time. Your Relationship Manager will be pleased to supply current fees as well as details of services and fees not listed here. The prices shown also include any third-party one-off or recurring payments, commissions (e.g. for placements or compensation), and discounts, etc.

# Securities transactions

## Shares, bonds, ETFs, funds, and similar securities – brokerage fees

Gross value per transaction in CHF 1,000 (or equivalent in foreign currency)	Bonds, notes and similar papers, money market and bond funds by Credit Suisse	Shares and ETFs traded in Switzerland <sup>1</sup> , equity funds <sup>2</sup> by Credit Suisse, money market and bond funds by other issuers	Shares and ETFs traded on other markets <sup>3</sup> , equity funds <sup>2</sup> by other issuers, structured products <sup>4</sup>
	in % <sup>5</sup>	in % <sup>5</sup>	in % <sup>5</sup>
Up to 100	0.85	1.15	1.70
Up to 250	0.75	1.00	1.50
Up to 500	0.50	0.85	1.25
Up to 1,000	0.40	0.65	1.00
Over 1,000	0.30	0.35	0.75
Minimum fee <sup>6</sup>	CHF 75	CHF 95	CHF 135

Reduction for trading via Credit Suisse Direct<sup>7</sup> max. CHF 40

Discount for fund switches within Credit Suisse Wealth Management funds 50%

## Structured products and securitized derivatives on Credit Suisse recommendation list – subscription fee for primary market transactions

Fee	Up to 2.00% <sup>8</sup>
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## Allocation/exercise of options

Exercise of covered warrants	0.5%; at least CHF 100, max. CHF 2,000
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1 As well as similar securities (e.g. Credit Suisse certificates, rights traded in Switzerland, warrants traded in Switzerland).

2 Including portfolio funds, commodity funds, real estate funds, etc.

3 As well as similar securities (e.g. rights traded on other markets, warrants traded on other markets).

4 The fees only apply to structured products and securitized derivatives traded on the secondary market, including tailor-made products, and for primary market transactions for structured products and securitized derivatives not on the Credit Suisse recommendation list.

5 Additional fees, duties, and expenses will be levied separately.

6 Sales = maximum 25% of gross value; purchase and sale of rights = max. 10% of gross value.

7 Cannot be combined with fund switch discount.

8 Please see marketing documents for further information on the effective rate or ask your Relationship Manager. Conditions also apply to tailor-made products.

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# Receipt and delivery of securities

## Receipt of securities<sup>1</sup>

Receive free of payment	Free of charge
Receive versus payment	CHF 200 <sup>2</sup>
Receipt of precious metals	Min. CHF 325 <sup>3</sup>

## Delivery<sup>1,4</sup>

### Book delivery of clearable securities

Transfer to banks of Credit Suisse Group and within Credit Suisse	Free of charge
Delivery free of payment <sup>5</sup>	
▪ Non-traditional investment products	CHF 155
▪ Funds	CHF 85
▪ Other securities	CHF 50
Deliver versus payment	CHF 200 <sup>2</sup>
Change of custodian bank	CHF 120

### Physical delivery of securities<sup>6</sup>

Where delivered from custody of third party (clearing house or depository)	
Securities (clearing, external safekeeping accts), including certificate recall request	CHF 500
Where delivered from a vault	
Non-clearable securities (also unpledged policies and mortgage notes)	CHF 250
Clearable/depositable securities (in event of vault custody following express client wish)	CHF 500
Securities of Credit Suisse Group (including printing)	CHF 250 <sup>7</sup>
▪ Own medium-term notes	
▪ Issue of physical certificates on CSAM funds	
Delivery of precious metals	Min. CHF 325 <sup>3</sup>
Other deposits (envelopes)	CHF 50
Pledged policies and mortgage notes	Free of charge
Where delivered at bank counter	
Securities, other deposits (envelopes)	CHF 500

## Restricted shares (USA and Canada)<sup>1</sup>

Re-registration of restricted shares with change of beneficial ownership	CHF 500
Lifting of restriction	CHF 500

## Re-registration of registered shares<sup>1</sup>

Delivery to a safekeeping account	Free of charge
Registered shares of Credit Suisse Group and Neue Aargauer Bank (NAB)	Free of charge
Book entry/physical custody	
With one-time application for entry in the share register	CHF 50
Without one-time application for entry in the share register	CHF 50

<sup>1</sup> Plus value-added tax (VAT), at the applicable normal rate, for clients resident in Switzerland and the Principality of Liechtenstein.

<sup>2</sup> No value-added tax added.

<sup>3</sup> Dependent on weight and volume.

<sup>4</sup> External charges are typically included in the prices given with the exception of taxes and any applicable re-registration fees in selected markets, e.g. Spain and Greece. Delivery charges are also due if the delivery is the result of a corporate action.

<sup>5</sup> Private clients, who liquidate their safekeeping account, pay third-party charges only.

<sup>6</sup> For express delivery, additional charges may apply (e.g. external courier charges).

<sup>7</sup> Exception: funds that operate on a deferred certificate basis where CS is the custodian bank and where physical delivery of the certificates is provided for in the fund regulations.

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**Credit Suisse (Switzerland) Ltd.**  
P.O. Box 100  
CH-8070 Zurich  
**credit-suisse.com**

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