Conflicts of Interest

This document provides information on managing Conflicts of Interest (“Conflicts”) in connection with transactions and services provided by Credit Suisse (Switzerland) Ltd., Credit Suisse AG, and other Credit Suisse Group entities (“Credit Suisse”).

Conflicts of Interest are inherent for any financial service provider offering a broad range of financial products and services. Credit Suisse undertakes a number of activities and provides a number of services where there is a risk that a Conflict of Interest arises.

It is important to us to comply with all relevant laws, regulations, and policies in order to maintain and strengthen our reputation for integrity, fair dealing and measured risk taking.

Credit Suisse employs a number of techniques to manage and mitigate Conflicts of Interest, including but not limited to: separating business areas and responsibilities, pre-approval requirements, monitoring to ensure proper functioning of the information barriers and the related control framework and training of employees.

For that purpose, corresponding data may be disclosed to selected employees involved in Conflicts Management processes working for Credit Suisse entities in Switzerland and abroad.

The above mentioned measures are monitored by independent compliance units, who assist the management board to fulfill their oversight responsibilities and ensure the effective control and management of Conflicts of Interest.

Contact
Your client advisor or contact center will be pleased to help if you have any questions.