California Consumer Privacy Act Annual Notice Supplement
For Credit Suisse Client California Residents ("CCPA Notice")

Last Updated: 20 December 2019

In this annual CCPA Notice, we, the Credit Suisse entities listed in Appendix 1 below, disclose information about our data processing practices as required by the California Consumer Privacy Act of 2018 ("CCPA").

Please note that this CCPA Notice applies to California Residents only. The information and rights enumerated under this CCPA Notice is in addition to and does not replace the information and rights under the privacy notice(s) previously provided to you ("Point of Collection Notice"). To the extent that the rights enumerated under the Point of Collection Notice conflict with those of the CCPA Notice, the more protective terms shall apply.


I. Who and what information is subject to this CCPA Notice?

California residents are protected as "consumers" by the CCPA with respect to personal information. A number of statutory exceptions apply under the CCPA and to this CCPA Notice, including the following:

(1) personal information reflecting a written or verbal communication or a transaction between the business and the consumer, where the consumer is a natural person who is acting as an employee, owner, director, officer, or contractor of a company, partnership, sole proprietorship, non-profit, or government agency and whose communications or transaction with the business occur solely within the context of the business conducting due diligence regarding, or providing or receiving a product or service to or from such company, partnership, sole proprietorship, non-profit, or government agency

(2) personal information that is collected by a business about a natural person in the course of the natural person acting as a job applicant to, an employee of, owner of, director of, officer of, medical staff member of, or contractor of that business to the extent that the natural person’s personal information is collected and used by the business solely within the context of the natural person’s role or former role as a job applicant to, an employee of, owner of, director of, officer of, medical staff member of, or a contractor of that business
(3) personal information that is collected by a business that is emergency contact information of the natural person acting as a job applicant to, an employee of, owner of, director of, officer of, medical staff member of, or contractor of that business to the extent that the personal information is collected and used solely within the context of having an emergency contact on file

(4) personal information that is necessary for the business to retain to administer benefits for another natural person relating to the natural person acting as a job applicant to, an employee of, owner of, director of, officer of, medical staff member of, or contractor of that business to the extent that the personal information is collected and used solely within the context of administering those benefits.

II. How can a consumer with a visual disability access this CCPA Notice?
Consumers who have a visual disability may be able to use a screen reader or other text-to-speech or text-to-Braille tool to review the contents of this CCPA Notice.

III. CCPA Notice at Collection
We are addressing disclosure requirements under California Civil Code §1798.100(b) and California Attorney General Regulations § 999.305 at or before the point of collection through the provision of the “Point of Collection Notice” (as defined above) which describes the categories of personal information we collect and the purposes of such collection.

IV. CCPA Privacy Policy (CCPA Notice)
Beyond the disclosures in the preceding "CCPA Notice At Collection" segment, we are providing additional notice about consumer rights and our personal information handling practices in the preceding twelve months, as required by the CCPA and regulations of the California Attorney General, including §999.308 (Privacy Policy).

1. Right to Know About Personal Information Collected, Disclosed, or Sold
This CCPA Notice describes the personal information we generally collect, use, disclose and sell about California residents. You have the right to request that we disclose what personal information we collect, use, disclose and sell about you specifically (“right to know”). To submit a request to exercise the right to know, call our toll-free phone number at +1 (800) 720-3452 (US) or submit an email request to us.data-protection@credit-suisse.com and include “California Request to Know” in the subject line. Please specify in your request the details you would like to know, including any specific pieces of personal information you would like to access.
We will ask that you provide certain information to verify your identity, such as a code sent to an email address we may have on file for you. If you have a password-protected account with us, we may verify your identity through our existing authentication practices for your account. The information that we ask you to provide to verify your identity will depend on your prior interactions with us and the sensitivity of the personal information at issue. We will respond to your request in accordance with the CCPA. If we deny requests, we will explain why.

2. **Our Personal Information Handling Practices in 2019**

   The types of personal information we have collected about California residents in the preceding 12 months and, for each category of personal information collected, the categories of sources from which that information was collected, the business or commercial purposes for which it was collected, and the categories of third parties with whom we shared the personal information, may vary based on the type of services that we provide to you. Please refer to the applicable charts in the attached Annexes for information about the collection and use of personal information connected with the particular services being provided to you.

3. **Disclosures of Personal Information, No Sale**

   Over the preceding 12 months, we disclosed certain categories of California residents’ personal information to the categories of third parties as shown in the applicable charts enumerated in the attached Annexes. We do not and will not sell California residents’ personal information.

4. **Right to Request Deletion of Personal Information**

   You have a right to request the deletion of personal information that we collect or maintain about you. To submit a request to delete personal information, please call our toll-free phone number at +1 (800) 720-3452 (US) or submit an email request to us.data-protection@credit-suisse.com and include “California Request to Delete” in the subject line. Please specify in your request the personal information about you that you would like to have deleted, which can be all of your personal information as required by the CCPA.

   We will ask that you provide certain information to verify your identity, such as a code sent to an email address we may have on file for you. If you have a password-protected account with us, we may verify your identity through our existing authentication practices for your account. The information that we ask you to provide to verify your identity will depend on your prior interactions with us and the sensitivity of the personal information at issue. Once we have verified your identity, we will ask you to confirm that you wish to have your personal information deleted. Once confirmed, we will respond to your request in accordance with the CCPA. If we deny your request, we will explain why.

5. **Right to Opt-Out of the Sale of Personal Information**

   You have the right to opt-out of the sale of your personal information by a business. We do not, and will not, sell your personal information.
6. **Right to Non-Discrimination for the Exercise of a Consumer’s Privacy Rights**

You may not be discriminated against because you exercise any of your rights under the CCPA in violation of Cal. Civ. Code §1798.125.

7. **Authorized Agent**

You can designate an authorized agent to make a request under the CCPA on your behalf if:

- the authorized agent is a natural person or a business entity registered with the Secretary of State of California; and
- you sign a written declaration that you authorize the authorized agent to act on your behalf.

If you use an authorized agent to submit a request to exercise your right to know or your right to request deletion, please have the authorized agent take the following steps in addition to the steps described in Sections 2 and 3 above:

- mail your written declaration authorizing the authorized agent to act on your behalf, certified by a California notary public, to us.data-protection@credit-suisse.com; and
- provide any information we request in our response to your email to verify your identity. The information that we ask you to provide to verify your identity will depend on your prior interactions with us and the sensitivity of the personal information at issue.

If you provide an authorized agent with power of attorney pursuant to Probate Code sections 4000 to 4465, it may not be necessary to perform these steps and we will respond to any request from such authorized agent in accordance with the CCPA.

8. **Contact for More Information**

If you have questions or concerns regarding our privacy policy or practices, you may contact us using the following details:

Email address: us.data-protection@credit-suisse.com
Postal address: The Data Protection Office Five Canada Square London E14 5AQ United Kingdom
Appendix 1 – List of Applicable Credit Suisse Affiliates

C. Suisse Asesoría Mexico, S.A. de C.V.
Credit Suisse (Hong Kong) Limited
Credit Suisse (Italy) S.p.A.
Credit Suisse (Lebanon) Finance S.A.L
Credit Suisse (Lie) Funds SICAV
Credit Suisse (Luxembourg) S.A.
CREDIT SUISSE (LUXEMBOURG) S.A. - SUCURSAL EM PORTUGAL
Credit Suisse (Luxembourg) S.A.
Zweigniederlassung Österreich
Credit Suisse (Luxembourg) S.A., France Branch
Credit Suisse (Luxembourg) S.A., Ireland Branch
Credit Suisse (Luxembourg) S.A., Netherlands Branch
Credit Suisse (Qatar) LLC
Credit Suisse (Schweiz) AG
Credit Suisse (Singapore) Limited
Credit Suisse (UK) Limited
Credit Suisse AG
Credit Suisse AG (DIFC Branch)
Credit Suisse AG, Bahrain Branch
Credit Suisse AG, Guernsey Branch
Credit Suisse AG, Hong Kong Branch
Credit Suisse AG, Mumbai Branch
Credit Suisse AG, Nassau Branch
Credit Suisse AG, Seoul Branch
Credit Suisse AG, Shanghai Branch
Credit Suisse AG, Singapore Branch
Credit Suisse AG, Sucursal en España
Credit Suisse AG, Sydney Branch
Credit Suisse AG, Taipei Securities Branch
Credit Suisse AG, Tokyo Branch
Credit Suisse Agencia de Valores (Chile) Limitada
Credit Suisse Asesoramiento y Servicios S.A.
Credit Suisse Asset Management, LLC
Credit Suisse Asset Management (UK) Holding Limited
Credit Suisse Asset Management Immobilien Kapitalanlagegesellschaft mbH
Credit Suisse Asset Management International Holding Ltd
Credit Suisse Consultoría de Inversiones Ltda.
Credit Suisse Equities (Australia) Limited
Credit Suisse Financial Services (Israel) Ltd.
Credit Suisse Gestion S.G.I.I.C., S.A.
Credit Suisse Hank Holdings LLC
Credit Suisse Insurance Broker AG
Credit Suisse InvestLab 2 AG
Credit Suisse Investment Management (Shanghai) Co., Ltd.
Credit Suisse Life & Pensions AG
Credit Suisse Life & Pensions AG, Italy Branch
Credit Suisse Life (Bermuda) Ltd.
Credit Suisse Nominees (Guernsey) Limited
Credit Suisse Saudi Arabia
Credit Suisse Securities (Europe) Ltd, Seoul Branch
Credit Suisse Securities (Japan) Limited
Credit Suisse Securities (Thailand) Limited
Credit Suisse Securities (USA) LLC
Credit Suisse/Solargenix SPV, LLC
CSAM Americas Holding Corp.
CSFB Canada Holding Corporation
CST Pensions Limited
Merban Equity AG
The following chart sets forth information relating to the categories of personal information that may be collected, the reasons why such personal information may be collected, the sources from which it is collected, and the parties with whom such personal information may be shared, as applicable to clients of asset management services.

<table>
<thead>
<tr>
<th>Category of personal information</th>
<th>We collected such personal information to use for the following business or commercial purposes:</th>
</tr>
</thead>
</table>
| Identifiers such as a real name, alias, postal address, unique personal identifier, online identifier, Internet Protocol address, email address, account name, contact information, social security number, driver’s license number, passport number, or other similar identifiers. | -To perform the contract we have entered into with you.  
-Where we need to comply with a legal or regulatory obligation.  
-Where it is necessary for legitimate interest pursued by us or a third party and your interest and fundamental rights do not override those interest.  
-Where we need to protect your interest.  
-Where it is needed in the public interest for official purposes such as compliance with court orders.  
-To carry out your instruction as an investor in the Fund.  
-To make offers and promotions to you.  
-To pay out dividends or make other payments to you.  
-To communicate with you regarding your investments and relationships with the Fund including inviting you to attend the Annual General Meeting.  
-To undertake data analytics to better understand your motivations and strategies and as a result improve or adjust strategy and performance of the Fund.  
-To protect our legal rights and complying with our legal obligations, including combatting financial crimes.  
-To conduct market research. |

<table>
<thead>
<tr>
<th>We have collected such personal information from the following categories of sources:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Some of the personal data we hold about you will have been supplied by yourself. Other personal information may come from your financial advisor, attorney, broker, employer or other intermediary, other members of the group, or other sources you have asked us to obtain information from. We might also get some of it from publicly available sources.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>We shared such personal information with the following categories of third parties:</th>
</tr>
</thead>
</table>
| -Fund managers, brokers, sponsors and market makers, registrars, listing agents.  
-Any trustees, beneficiaries, administrators or executors. People who give guarantees or other security for any amounts you owe us.  
-Banks you instruct us to make payments to and receive payments from.  
-Third parties who manage the investments on our behalf, including investment managers, letting agents, stockbrokers.  
-Third parties who host systems or services platforms or provide services related to it, including IT security providers.  
-Other financial institutions, lenders and holders of security over any property or assets, tax authorities, stock market authorities, trade associations, credit reference agencies, payment service providers and debt recovery agents.  
-Any people or companies where required in connection with potential or actual corporate restructuring, merger, acquisition or takeover, including any transfer or potential transfer of any of our rights or duties under our agreement with you. |
| Characteristics of protected classifications under California or federal law, including age (40 years or older), race, color, ancestry, national origin, citizenship, religion or creed, marital status, medical condition, physical or mental disability, sex (including gender, gender identity, gender expression, pregnancy or childbirth and related medical conditions), sexual orientation, veteran or military status, genetic information (including familial genetic information). | - To conduct risk management.  
- To verify your identity and whether you are a politically exposed person in accordance with our legal obligations or undertake screening.  
- To perform investor classification, due diligences or similar processes required in the course of conducting our Asset Management business.  
- To perform required diligence and on board you to a fund.  
- To create and provide you with any required tax filing documentation | - Law enforcement, government, courts, dispute resolution bodies, our regulators, auditors and any party appointed or requested by our regulators to carry out investigations or audits of our activities.  
- Other parties involved in any disputes, including disputed transactions.  
- Fraud prevention agencies who will also use personal data to detect and prevent fraud and other financial crime and to verify your identity. Anyone who provides instructions to us on your behalf (e.g. under a Power of Attorney, solicitors, intermediaries, investment managers etc.).  
- Anybody else that you instructed us to share your information with by you.  
- Insurers who may provide cover for your investment with us. |
| Commercial information, including records of personal property, products or services purchased, obtained, or considered, or other purchasing or consuming histories or tendencies. |  |  |
| Professional or employment-related information. |  |  |
| Education information, defined as information that is not publicly available personally identifiable information as defined in the Family Educational Rights and Privacy Act (20 U.S.C. section 1232g, 34 C.F.R. Part 99). |  |  |
| Inferences drawn from any of the information identified in this subdivision to create a profile about a consumer reflecting the consumer’s preferences, characteristics, psychological trends, predispositions, behavior, attitudes, intelligence, abilities, and aptitudes. |  |  |
| Audio, electronic, visual, thermal, olfactory, or similar information. |  |  |
| Internet or other electronic network activity information, including, but not limited to, browsing history, search history, and information regarding a consumer’s interaction with an Internet Web site, application, or advertisement. | Please see our California Consumer Privacy Act Annual Notice California Resident Web-Users located at https://www.credit-suisse.com/us/en/legal/privacy-statement.html for more information |  |
| Geolocation data. |  |  |
| Biometric information. | Credit Suisse does not collect this category of information. |  |
Annex B – CCPA Notice Supplement for International Wealth Management Clients

The following chart sets forth information relating to the categories of personal information that may be collected, the reasons why such personal information is collected, the sources from which may be collected, and the parties with whom such personal information may be shared, as applicable to clients of international wealth management services.

<table>
<thead>
<tr>
<th>Category of personal information</th>
<th>We collected such personal information to use for the following business or commercial purposes:</th>
<th>We have collected such personal information from the following categories of sources:</th>
<th>We shared such personal information with the following categories of third parties:</th>
</tr>
</thead>
</table>
| Identifiers such as a real name, alias, postal address, unique personal identifier, online identifier, Internet Protocol address, email address, account name, contact information, social security number, driver’s license number, passport number, or other similar identifiers. | -Identity checks, fraud and financial crime and market abuse prevention or detection. If fraud is detected, Your Organization, or individuals connected to it or you could be refused certain services.  
-Fulfilling control and reporting obligations under applicable financial regulations, including securities regulations  
-Fulfilling requirements related to our licenses and regulatory permissions.  
-Complying with investor protection or conduct of business regulation (such as carrying out suitability or appropriateness assessments).  
-Complying with regulatory record keeping obligations.  
-Complying with regulatory obligations in relation to measuring and managing risks within the Credit Suisse organization.  
-Developing, deploying and supporting our products and services.  
-Developing and furthering our business and business relationships, and keeping our clients and other stakeholders satisfied.  
-Protecting our businesses and the integrity of the financial markets. | We process personal data about you that we obtain from you in the context of our business relationship with you and/or Your Organization (as applicable). We also process personal data about you that we obtain from publicly accessible sources (e.g. press including trade press or paid for content, publicly available websites and other publicly available sources of information such as sanctions lists or lists of directors disqualifications) or that is legitimately transferred to us by other companies in the Credit Suisse organization or from other third parties. These may include Your Organization as well as third parties not related to you or Your Organization, such as settlement service providers, central securities depositaries, exchanges, central clearing counterparties and other similar entities, databases, and third party service providers such as clearing counterparties, central securities depository agencies, exchanges, central clearing counterparties, and other similar entities, databases, and third party service providers such as clearing counterparties, central securities depository agencies, exchanges, central clearing counterparties, and other similar entities, databases, and third party service providers. | -In connection with any services offered or provided by us or any other affiliate.  
-To facilitate carrying on the business of the Credit Suisse and providing services to clients on a global basis  
-For risk control including internal approvals processes.  
-To warehouse appropriate information within a single jurisdiction in order to coordinate the services and business activities of Credit Suisse.  
-To pass on information about you to any members of the Credit Suisse organization in connection with any services, which we think you, or your Organization may be interested in.  
-In connection with financial or regulatory reporting purposes.  
-To public entities and institutions (e.g. regulatory, quasi-regulatory, tax or other authorities, law enforcement agencies, courts, arbitral bodies, fraud prevention agencies).  
-To other credit and financial service institutions or comparable institutions in order to carry on a business relationship |

Any categories of personal information described in subdivision (e) of Section 1798.80: “Personal information” means any information that identifies, relates to, describes, or is capable of being associated with, a particular individual, including, but not limited to, his or her name, signature, social security number, physical characteristics or description, address, telephone number, passport number, driver’s license or state identification card number, insurance policy number, education, employment, employment history, bank account number, credit card number, debit card number, or any other financial information, medical information, or health insurance information. “Personal information” does not include publicly available information that is lawfully made available to the general public from federal, state, or local government records.”
<table>
<thead>
<tr>
<th>Characteristics of protected classifications under California or federal law, including age (40 years or older), race, color, ancestry, national origin, citizenship, religion or creed, marital status, medical condition, physical or mental disability, sex (including gender, gender identity, gender expression, pregnancy or childbirth and related medical conditions), sexual orientation, veteran or military status, genetic information (including familial genetic information).</th>
<th>Managing risk and securing our systems, assets, infrastructure and premises.</th>
<th>Managing risk and securing our systems, assets, infrastructure and premises.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Commercial information, including records of personal property, products or services purchased, obtained, or considered, or other purchasing or consuming histories or tendencies.</td>
<td>Exercising and defending our legal rights and position anywhere in the world.</td>
<td>Exercising and defending our legal rights and position anywhere in the world.</td>
</tr>
<tr>
<td>Professional or employment-related information.</td>
<td>Complying with legal and regulatory obligations and cooperating with regulatory, judicial and other authorities and bodies around the world.</td>
<td>Complying with legal and regulatory obligations and cooperating with regulatory, judicial and other authorities and bodies around the world.</td>
</tr>
<tr>
<td>Audio, electronic, visual, thermal, olfactory, or similar information.</td>
<td>Supporting other Credit Suisse companies in pursuing the above interests. Carrying on business relationships with clients and other parties</td>
<td>Supporting other Credit Suisse companies in pursuing the above interests. Carrying on business relationships with clients and other parties</td>
</tr>
<tr>
<td>Education information, defined as information that is not publicly available personally identifiable information as defined in the Family Educational Rights and Privacy Act (20 U.S.C. section 1232g, 34 C.F.R. Part 99).</td>
<td>Providing services to clients.</td>
<td>Providing services to clients.</td>
</tr>
<tr>
<td>Inferences drawn from any of the information identified in this subdivision to create a profile about a consumer reflecting the consumer’s preferences, characteristics, psychological trends, predispositions, behavior, attitudes, intelligence, abilities, and aptitudes.</td>
<td>Due diligence in relation to transactions members of Credit Suisse affiliates are involved in.</td>
<td>Due diligence in relation to transactions members of Credit Suisse affiliates are involved in.</td>
</tr>
<tr>
<td>as professional advisers, insurers and risk consulting firms.</td>
<td>Performing obligations and exercising rights under and otherwise carrying out contracts, or taking pre-contractual measures with Your Organization or a third party.</td>
<td>Performing obligations and exercising rights under and otherwise carrying out contracts, or taking pre-contractual measures with Your Organization or a third party.</td>
</tr>
<tr>
<td>with you or Your Organization (depending on the contract, e.g. correspondent banks, custodian banks, brokers, securities exchanges, credit rating agencies).</td>
<td>Management of the businesses and further development of the services and products of the Credit Suisse organization.</td>
<td>Management of the businesses and further development of the services and products of the Credit Suisse organization.</td>
</tr>
<tr>
<td>-To third parties in connection with transactions that Credit Suisse is involved in (e.g. correspondent banks, brokers, exchanges, central clearing counterparties, depositaries, trustees, trade repositories, processing units and third-party custodians, issuers, investors, prospective buyers and other transaction participants and their representatives).</td>
<td>Reviewing and optimizing procedures for needs assessment for the purpose of direct client discussions.</td>
<td>Reviewing and optimizing procedures for needs assessment for the purpose of direct client discussions.</td>
</tr>
<tr>
<td>-To prospective buyers as part of a sale, merger or other disposal of any of our business or assets.</td>
<td>Marketing or market and opinion research.</td>
<td>Marketing or market and opinion research.</td>
</tr>
<tr>
<td>-To a natural or legal person, public authority, regulatory agency or body for which you have given us your consent To transfer personal data to.</td>
<td>Obtaining personal data from publicly available sources for client acquisition purposes.</td>
<td>Obtaining personal data from publicly available sources for client acquisition purposes.</td>
</tr>
<tr>
<td>-To professional advisors including law firms, accountants, auditors and tax advisors.</td>
<td>Compliance with licensing, permission and/or licensing exemption requirements and regulatory requests or guidance related to such licenses, permissions or exemptions.</td>
<td>Compliance with licensing, permission and/or licensing exemption requirements and regulatory requests or guidance related to such licenses, permissions or exemptions.</td>
</tr>
<tr>
<td>-To insurers.</td>
<td>Compliance with applicable laws, regulations and judicial orders.</td>
<td>Compliance with applicable laws, regulations and judicial orders.</td>
</tr>
<tr>
<td>-To service providers and agents appointed by us for the purposes given. These are companies in the categories of IT services, logistics, printing services, telecommunications, advice and consulting, and sales and marketing and translation services.</td>
<td>Compliance with regulatory guidance, policy statements, best practice and associated policy requirements and controls in connection with the carrying on of Credit Suisse business.</td>
<td>Compliance with regulatory guidance, policy statements, best practice and associated policy requirements and controls in connection with the carrying on of Credit Suisse business.</td>
</tr>
<tr>
<td>-Facilitation of and responding to, regulatory requests and supervisory visits, and otherwise acting in open and collaborative manner with competent regulatory authorities.</td>
<td>-Facilitation of and responding to, regulatory requests and supervisory visits, and otherwise acting in open and collaborative manner with competent regulatory authorities.</td>
<td>-Facilitation of and responding to, regulatory requests and supervisory visits, and otherwise acting in open and collaborative manner with competent regulatory authorities.</td>
</tr>
</tbody>
</table>
- Prevention of and investigations related to financial crime, including fraud, financing of terrorism and money laundering, and compliance with sanctions, including know your customer (KYC) and regular politically exposed persons (PEP) screening.
- Asserting legal claims and defenses in legal disputes.
- Carrying out conflict checks.
- Handling client complaints.
- Warehousing appropriate information within a single jurisdiction in order to co-ordinate the services and business activities of the Credit Suisse organization and satisfying other administrative needs across the organization.
- Facilitating operational actions in connection with our business relationships (e.g. processing of payments, billing).
- Validating the authority of signatories (e.g. when concluding agreements and transactions).
- Risk control across the Credit Suisse organization.
- Consulting with credit rating agencies to investigate creditworthiness and credit risks where we may have an exposure to you.
- Securing and operating Credit Suisse’s IT systems.
- Video surveillance and measures to protect the rights of an owner of premises to keep out trespassers and to provide site security (e.g. access controls).

| Internet or other electronic network activity information, including, but not limited to, browsing history, search history, and information regarding a consumer’s interaction with an Internet Web site, application, or advertisement.  
| Geolocation data.  
| Biometric information.  
| Credit Suisse does not collect this category of information.  

Annex C – CCPA Notice Supplement for Private Banking Clients

The following chart sets forth information relating to the categories of personal information that may be collected, the reasons why such personal information may be collected, the sources from which it is collected, and the parties with whom such personal information may be shared, as applicable to clients of private banking services.

<table>
<thead>
<tr>
<th>Category of personal information</th>
<th>We collected such personal information to use for the following business or commercial purposes:</th>
<th>We have collected such personal information from the following categories of sources:</th>
<th>We shared such personal information with the following categories of third parties:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Identifiers such as a real name, alias, postal address, unique personal identifier, online identifier, Internet Protocol address, email address, account name, contact information, social security number, driver’s license number, passport number, or other similar identifiers.</td>
<td>Data is processed in order to provide banking business and financial services in the context of carrying out our contracts with our clients or to carry out pre-contractual measures that occur as part of a request. The purposes of data processing are primarily in compliance with the specific product (e.g. bank account, credit, saving with building societies, securities, deposits, client referral) and can include needs assessments, advice, asset management and support, as well as carrying out transactions. Where required, we process your data beyond the actual fulfillment of the contract for the purposes of the legitimate interests pursued by us or a third party.</td>
<td>We process personal data that we obtain from our clients in the context of our business relationship. We also process – insofar as necessary to provide our service – personal data that we obtain from publicly accessible sources, (e.g. debt registers, commercial and association registers, press, internet) or that is legitimately transferred to us by other companies in Credit Suisse or from other third parties (e.g. a credit agency).</td>
<td>-Within the bank, every unit that requires your data to fulfill our contractual and legal obligations will have access to it. -Service providers and vicarious agents appointed by us can also receive access to data for the purposes given, if they maintain banking confidentiality. These are companies in the categories of banking services, IT services, logistics, printing services, telecommunications, collection, advice and consulting, and sales and marketing. -Public entities and institutions (e.g. Swiss National Bank, FINMA, financial authorities, criminal prosecution authorities) upon providing a legal or official obligation. -Other credit and financial service institutions or comparable institutions to which we transfer your personal data in order to carry out a business relationship with you (depending on the contract, e.g. correspondent banks, custodian banks, brokers, stock exchanges, information offices).</td>
</tr>
<tr>
<td>Any categories of personal information described in subdivision (e) of Section 1798.80: “Personal information” means any information that identifies, relates to, describes, or is capable of being associated with, a particular individual, including, but not limited to, his or her name, signature, social security number, physical characteristics or description, address, telephone number, passport number, driver’s license or state identification card number, insurance policy number, education, employment, employment history, bank account number, credit card number, debit card number, or any other financial information, medical information, or health insurance information. “Personal information” does not include publicly available information that is lawfully made available to the general public from federal, state, or local government records.”</td>
<td>Examples: -Consulting and exchanging data with information offices (e.g. debt register) to investigate creditworthiness and credit risks in credit business and the requirement for an account maintained with a basic non-seizable balance and basic accounts. -Reviewing and optimizing procedures for needs assessment for the purpose of direct client discussions.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| Characteristics of protected classifications under California or federal law, including age (40 years or older), race, color, ancestry, national origin, citizenship, religion or creed, marital status, medical condition, physical or mental disability, sex (including gender, gender identity, gender expression, pregnancy or childbirth and related medical conditions), sexual orientation, veteran or military status, genetic information (including familial genetic information). | -Marketing or market and opinion research, unless you have objected to the use of your data.  
-Asserting legal claims and defense in legal disputes.  
-Guarantee of a bank’s IT security and IT operation.  
-Prevention and clarification of crimes.  
-Video surveillance to protect the right of owner of premises to keep out trespassers, for collecting evidence in hold-ups or fraud, or to prove availability and deposits, e.g. at ATMs.  
-Measures for building and site security (e.g. access controls).  
-Measures for ensuring the right of owner of premises to keep out trespassers.  
-Measures for business management and further development of services and products.  
-Risk control in Credit Suisse.  
-Other companies within Credit Suisse for risk control due to statutory or official obligation.  
-Other recipients of data can be any units for which you have given us your consent to transfer data or for which you have released us from banking confidentiality by means of a declaration or consent.  
-3rd parties as required by law. | 
| Commercial information, including records of personal property, products or services purchased, obtained, or considered, or other purchasing or consuming histories or tendencies. | Please see our California Consumer Privacy Act Annual Notice California Resident Web-Users located at [https://www.credit-suisse.com/us/en/legal/privacy-statement.html](https://www.credit-suisse.com/us/en/legal/privacy-statement.html) for more information | 
| Professional or employment-related information. | 
| Audio, electronic, visual, thermal, olfactory, or similar information. | 
| Education information, defined as information that is not publicly available personally identifiable information as defined in the Family Educational Rights and Privacy Act (20 U.S.C. section 1232g, 34 C.F.R. Part 99). | 
| Inferences drawn from any of the information identified in this subdivision to create a profile about a consumer reflecting the consumer’s preferences, characteristics, psychological trends, predispositions, behavior, attitudes, intelligence, abilities, and aptitudes. | 
| Internet or other electronic network activity information, including, but not limited to, browsing history, search history, and information regarding a consumer’s interaction with an Internet Web site, application, or advertisement. | 
| Geolocation data. | 
| Biometric information. | Credit Suisse does not collect this category of information. |
The following chart sets forth information relating to the categories of personal information that may be collected, the reasons why such personal information may be collected, the sources from which it is collected, and the parties with whom such personal information may be shared, as applicable to clients of Swiss Universal Bank services.

<table>
<thead>
<tr>
<th>Category of personal information</th>
<th>We collected such personal information to use for the following business or commercial purposes:</th>
<th>We have collected such personal information from the following categories of sources:</th>
<th>We shared such personal information with the following categories of third parties:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Identifiers such as a real name, alias, postal address, unique personal identifier, online identifier, Internet Protocol address, email address, account name, contact information, social security number, driver’s license number, passport number, or other similar identifiers.</td>
<td>Data is processed in order to provide banking business and financial services in the context of carrying out our contracts with our clients or to carry out pre-contractual measures that occur as part of a request. The purposes of data processing are primarily in compliance with the specific product (e.g. bank account, credit, saving with building societies, securities, deposits, client referral) and can include needs assessments, advice, asset management and support, as well as carrying out transactions. Where required, we process your data beyond the actual fulfillment of the contract for the purposes of the legitimate interests pursued by us or a third party. Examples: -Consulting and exchanging data with information offices (e.g. debt register) to investigate creditworthiness and credit risks in credit business and the requirement for an account maintained with a basic non-seizable balance and basic accounts. -Reviewing and optimizing procedures for needs assessment for the purpose of direct client discussions.</td>
<td>We process personal data that we obtain from our clients in the context of our business relationship. We also process – insofar as necessary to provide our service – personal data that we obtain from publicly accessible sources, (e.g. debt registers, commercial and association registers, press, internet) or that is legitimately transferred to us by other companies in Credit Suisse or from other third parties (e.g. a credit agency).</td>
<td>-Within the bank, every unit that requires your data to fulfill our contractual and legal obligations will have access to it. -Service providers and vicarious agents appointed by us can also receive access to data for the purposes given, if they maintain banking confidentiality. These are companies in the categories of banking services, IT services, logistics, printing services, telecommunications, collection, advice and consulting, and sales and marketing. -Public entities and institutions (e.g. Swiss National Bank, FINMA, financial authorities, criminal prosecution authorities) upon providing a legal or official obligation. -Other credit and financial service institutions or comparable institutions to which we transfer your personal data in order to carry out a business relationship with you (depending on the contract, e.g. correspondent banks, custodian banks, brokers, stock exchanges, information offices). -Other companies within Credit Suisse for risk control due to statutory or official obligation.</td>
</tr>
</tbody>
</table>

Any categories of personal information described in subdivision (e) of Section 1798.80: “Personal information” means any information that identifies, relates to, describes, or is capable of being associated with, a particular individual, including, but not limited to, his or her name, signature, social security number, physical characteristics or description, address, telephone number, passport number, driver’s license or state identification card number, insurance policy number, education, employment, employment history, bank account number, credit card number, debit card number, or any other financial information, medical information, or health insurance information. “Personal information” does not include publicly available information that is lawfully made available to the general public from federal, state, or local government records.”
<table>
<thead>
<tr>
<th>Characteristics of protected classifications under California or federal law, including age (40 years or older), race, color, ancestry, national origin, citizenship, religion or creed, marital status, medical condition, physical or mental disability, sex (including gender, gender identity, gender expression, pregnancy or childbirth and related medical conditions), sexual orientation, veteran or military status, genetic information (including familial genetic information).</th>
<th>Marketing or market and opinion research, unless you have objected to the use of your data.</th>
<th>Asserting legal claims and defense in legal disputes.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Commercial information, including records of personal property, products or services purchased, obtained, or considered, or other purchasing or consuming histories or tendencies.</td>
<td>Reserve of a bank’s IT security and IT operation.</td>
<td>Prevention and clarification of crimes.</td>
</tr>
<tr>
<td>Professional or employment-related information.</td>
<td>Video surveillance to protect the right of owner of premises to keep out trespassers, for collecting evidence in hold-ups or fraud, or to prove availability and deposits, e.g. at ATMs.</td>
<td>Video surveillance to protect the right of owner of premises to keep out trespassers.</td>
</tr>
<tr>
<td>Audio, electronic, visual, thermal, olfactory, or similar information.</td>
<td>Measures for building and site security (e.g. access controls).</td>
<td>Measures for ensuring the right of owner of premises to keep out trespassers.</td>
</tr>
<tr>
<td>Education information, defined as information that is not publicly available personally identifiable information as defined in the Family Educational Rights and Privacy Act (20 U.S.C. section 1232g, 34 C.F.R. Part 99).</td>
<td>Measures for business management and further development of services and products.</td>
<td>Measures for business management and further development of services and products.</td>
</tr>
<tr>
<td>Inferences drawn from any of the information identified in this subdivision to create a profile about a consumer reflecting the consumer’s preferences, characteristics, psychological trends, predispositions, behavior, attitudes, intelligence, abilities, and aptitudes.</td>
<td>Risk control in Credit Suisse.</td>
<td>Other recipients of data can be any units for which you have given us your consent to transfer data or for which you have released us from banking confidentiality by means of a declaration or consent.</td>
</tr>
<tr>
<td>Internet or other electronic network activity information, including, but not limited to, browsing history, search history, and information regarding a consumer’s interaction with an Internet Web site, application, or advertisement.</td>
<td>Please see our California Consumer Privacy Act Annual Notice California Resident Web-Users located at <a href="https://www.credit-suisse.com/us/en/legal/privacy-statement.html">https://www.credit-suisse.com/us/en/legal/privacy-statement.html</a> for more information</td>
<td>Other recipients of data can be any units for which you have given us your consent to transfer data or for which you have released us from banking confidentiality by means of a declaration or consent.</td>
</tr>
<tr>
<td>Geolocation data.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Biometric information.</td>
<td>Credit Suisse does not collect this category of information.</td>
<td></td>
</tr>
</tbody>
</table>
Annex E – CCPA Notice Supplement for UHNW Individual Clients

The following chart sets forth information relating to the categories of personal information that may be collected, the reasons why such personal information is collected, the sources from which it is collected, and the parties with whom such personal information may be shared, as applicable to clients of UHNW individual client services.

<table>
<thead>
<tr>
<th>Category of personal information</th>
<th>We collected such personal information to use for the following business or commercial purposes:</th>
<th>We have collected such personal information from the following categories of sources:</th>
<th>We shared such personal information with the following categories of third parties:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Identifiers such as a real name, alias, postal address, unique personal identifier, online identifier, Internet Protocol address, email address, account name, contact information, social security number, driver’s license number, passport number, or other similar identifiers.</td>
<td>-To perform the contract we have entered into with you. -Where we need to comply with a legal or regulatory obligation. -Where it is necessary for legitimate interest pursued by us or a third party and your interest and fundamental rights do not override those interest. -Where we need to protect your interest. -Where it is needed in the public interest for official purposes such as compliance with court orders. -To carry out your instruction as an investor in the Fund. -To make offers and promotions to you. -To pay out dividends or make other payments to you. -To communicate with you regarding your investments and relationships with the Fund including inviting you to attend the Annual General Meeting. -To undertake data analytics to better understand your motivations and strategies and as a result improve or adjust strategy and performance of the Fund. -To protect our legal rights and complying</td>
<td>Some of the personal data we hold about you will have been supplied by yourself. Other personal information may come from your financial advisor, attorney, broker, employer or other intermediary, other members of the group, or other sources you’ve asked us to obtain information from. We might also get some of it from publicly available sources.</td>
<td>-Fund managers, brokers, sponsors and market makers, registrars, listing agents. -Any trustees, beneficiaries, administrators or executors. -People who give guarantees or other security for any amounts you owe us. -Banks you instruct us to make payments to and receive payments from. -Third parties who manage the investments on our behalf, including investment managers, letting agents, stockbrokers. -Third parties who host systems or services platforms or provide services related to it, including IT security providers. -Other financial institutions, lenders and holders of security over any property or assets, tax authorities, stock market authorities, trade associations, credit reference agencies, payment service providers and debt recovery agents. -Any people or companies where required in connection with potential or actual corporate restructuring, merger, acquisition or takeover, including any transfer or potential transfer of any of our</td>
</tr>
<tr>
<td>Any categories of personal information described in subdivision (e) of Section 1798.80: “Personal information” means any information that identifies, relates to, describes, or is capable of being associated with, a particular individual, including, but not limited to, his or her name, signature, social security number, physical characteristics or description, address, telephone number, passport number, driver’s license or state identification card number, insurance policy number, education, employment, employment history, bank account number, credit card number, debit card number, or any other financial information, medical information, or health insurance information. “Personal information” does not include publicly available information that is lawfully made available to the general public from federal, state, or local government records.”</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| Characteristics of protected classifications under California or federal law, including age (40 years or older), race, color, ancestry, national origin, citizenship, religion or creed, marital status, medical condition, physical or mental disability, sex (including gender, gender identity, gender expression, pregnancy or childbirth and related medical conditions), sexual orientation, veteran or military status, genetic information (including familial genetic information). | with our legal obligations, including combatting financial crimes.  
- To conduct market research.  
- To conduct risk management.  
- To verify your identify and whether you are a politically exposed person in accordance with our legal obligations or undertake screening. | Rights or duties under our agreement with you.  
- Law enforcement, government, courts, dispute resolution bodies, our regulators, auditors and any party appointed or requested by our regulators to carry out investigations or audits of our activities.  
- Other parties involved in any disputes, including disputed transactions.  
- Fraud prevention agencies who will also use personal data to detect and prevent fraud and other financial crime and to verify your identity.  
- Anyone who provides instructions to us on your behalf (e.g. under a Power of Attorney, solicitors, intermediaries, investment managers etc.).  
- Anybody else that you instructed us to share your information with by you.  
- Insurers who may provide cover for your investment with us. |
| Commercial information, including records of personal property, products or services purchased, obtained, or considered, or other purchasing or consuming histories or tendencies. |  |
| Audio, electronic, visual, thermal, olfactory, or similar information. |  |
| Education information, defined as information that is not publicly available personally identifiable information as defined in the Family Educational Rights and Privacy Act (20 U.S.C. section 1232g, 34 C.F.R. Part 99). |  |
| Inferences drawn from any of the information identified in this subdivision to create a profile about a consumer reflecting the consumer’s preferences, characteristics, psychological trends, predispositions, behavior, attitudes, intelligence, abilities, and aptitudes. |  |
| Internet or other electronic network activity information, including, but not limited to, browsing history, search history, and information regarding a consumer’s interaction with an Internet Web site, application, or advertisement. | Please see our California Consumer Privacy Act Annual Notice California Resident Web-Users located at [https://www.credit-suisse.com/us/en/legal/privacy-statement.html](https://www.credit-suisse.com/us/en/legal/privacy-statement.html) for more information |  |
| Geolocation data. |  |
| Biometric information. | Credit Suisse does not collect this category of information. |  |