

**CREDIT SUISSE SECURITIES (USA) LLC  
 SELECTED FCM REGULATORY CAPITAL DATA  
 PER CFTC REGULATION 1.55(o)(1)(iv)  
 (in Dollars)**

<u>PERIOD</u>	<u>TENTATIVE NET CAPITAL</u>	<u>NET CAPITAL</u>	<u>EXCESS NET CAPITAL</u>
JANUARY 2021	12,988,449,027	11,485,133,063	9,754,959,816
DECEMBER 2020*	13,474,736,464	11,908,480,560	10,144,772,097
NOVEMBER 2020	13,448,835,325	11,875,953,932	10,115,150,678
OCTOBER 2020	13,799,139,963	11,986,014,017	10,284,275,488
SEPTEMBER 2020	13,406,983,699	11,279,488,922	9,529,405,557
AUGUST 2020	13,333,408,727	11,609,074,715	9,772,201,516
JULY 2020	13,521,442,094	11,732,359,758	9,791,578,911
JUNE 2020	12,928,473,993	10,362,118,220	8,389,294,051
MAY 2020	11,610,720,048	9,051,991,539	7,041,639,342
APRIL 2020	10,113,071,468	7,120,629,119	5,144,306,684
MARCH 2020	9,711,043,827	7,699,492,978	5,652,801,893
FEBRUARY 2020	10,122,100,481	8,417,250,078	6,787,213,397

Amounts sourced from Credit Suisse Securities (USA) LLC FOCUS Reports filed with the SEC and CFTC in accordance with SEA Rule 17a-5

\*Amended