

**CREDIT SUISSE SECURITIES (USA) LLC  
 SELECTED FCM REGULATORY CAPITAL DATA  
 PER CFTC REGULATION 1.55(o)(1)(iv)  
 (in Dollars)**

<u>PERIOD</u>	<u>TENTATIVE NET CAPITAL</u>	<u>NET CAPITAL</u>	<u>EXCESS NET CAPITAL</u>
JULY 2020	13,521,442,094	11,732,359,758	9,791,578,911
JUNE 2020	12,928,473,993	10,362,118,220	8,389,294,051
MAY 2020	11,610,720,048	9,051,991,539	7,041,639,342
APRIL 2020	10,113,071,468	7,120,629,119	5,144,306,684
MARCH 2020	9,711,043,827	7,699,492,978	5,652,801,893
FEBRUARY 2020	10,122,100,481	8,417,250,078	6,787,213,397
JANUARY 2020	11,429,823,016	9,801,914,143	8,228,623,864
DECEMBER 2019*	12,099,839,527	10,495,330,605	8,936,254,982
NOVEMBER 2019	11,525,367,883	9,778,018,421	8,213,236,742
OCTOBER 2019	12,003,415,986	10,275,618,011	8,623,688,709
SEPTEMBER 2019	12,073,347,536	8,877,998,050	7,869,761,622
AUGUST 2019	11,531,120,821	9,985,283,358	8,382,829,659

Amounts sourced from Credit Suisse Securities (USA) LLC FOCUS Reports filed with the SEC and CFTC in accordance with SEA Rule 17a-5

\*Amended

