

**CREDIT SUISSE SECURITIES (USA) LLC
 SELECTED FCM REGULATORY CAPITAL DATA
 PER CFTC REGULATION 1.55(o)(1)(iv)
 (in Dollars)**

<u>PERIOD</u>	<u>TENTATIVE NET CAPITAL</u>	<u>NET CAPITAL</u>	<u>EXCESS NET CAPITAL</u>
SEPTEMBER 2019	12,073,347,536	8,877,998,050	7,869,761,622
AUGUST 2019	11,531,120,821	9,985,283,358	8,382,829,659
JULY 2019	11,464,447,452	9,952,413,962	8,453,798,736
JUNE 2019	11,714,056,581	10,099,592,921	8,673,193,104
MAY 2019	10,514,961,854	8,931,986,154	7,553,693,797
APRIL 2019	11,240,325,752	9,699,512,236	8,311,171,441
MARCH 2019	11,718,487,041	10,230,847,746	8,885,732,763
FEBRUARY 2019	10,652,367,825	9,159,467,472	7,816,670,980
JANUARY 2019	10,149,774,644	8,868,279,566	7,521,012,589
DECEMBER 2018*	10,690,412,768	9,619,652,197	8,350,631,798
NOVEMBER 2018	10,364,750,223	8,728,951,664	7,462,090,266
OCTOBER 2018	11,140,680,392	9,437,332,332	8,215,243,131

Amounts sourced from Credit Suisse Securities (USA) LLC FOCUS Reports filed with the SEC and CFTC in accordance with SEA Rule 17a-5

* Amended