

**CREDIT SUISSE SECURITIES (USA) LLC  
 SELECTED FCM REGULATORY CAPITAL DATA  
 PER CFTC REGULATION 1.55(o)(1)(iv)  
 (in Dollars)**

<u>PERIOD</u>	<u>TENTATIVE NET CAPITAL</u>	<u>NET CAPITAL</u>	<u>EXCESS NET CAPITAL</u>
MARCH 2019	11,718,487,041	10,230,847,746	8,885,732,763
FEBRUARY 2019	10,652,367,825	9,159,467,472	7,816,670,980
JANUARY 2019	10,149,774,644	8,868,279,566	7,521,012,589
DECEMBER 2018*	10,690,412,768	9,619,652,197	8,350,631,798
NOVEMBER 2018	10,364,750,223	8,728,951,664	7,462,090,266
OCTOBER 2018	11,140,680,392	9,437,332,332	8,215,243,131
SEPTEMBER 2018	11,413,109,105	9,895,792,796	8,571,756,136
AUGUST 2018	11,035,581,895	9,574,188,146	8,288,503,461
JULY 2018	11,307,063,051	9,688,109,318	8,301,801,501
JUNE 2018	11,250,054,954	9,737,526,040	8,323,617,857
MAY 2018	9,990,125,323	8,247,966,238	6,790,741,116
APRIL 2018	10,843,693,756	9,286,980,727	7,907,308,003

Amounts sourced from Credit Suisse Securities (USA) LLC FOCUS Reports filed with the SEC and CFTC in accordance with SEA Rule 17a-5

\* Amended