

How to Complete Self-Certification for Individuals

Please note that the instructions below show only extracts of the Self-Certification form. The Self-Certification form as well as a Glossary are available on the bank's [AEI internet page](#).

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Automatic Exchange of Financial Account Information in Tax Matters (AEI) Self-Certification for AEI Account Holder being an Individual

Client no. (CIF) or account/safekeeping account number(s)

Name of Contracting Party

Please fill in with the CIF number and the name of the contracting party. In case of a **joint-account** please include all account holder's names.

I. Introduction
 The Swiss Government has signed a series of agreements with a number of foreign jurisdictions for the Automatic Exchange of Financial Account Information in Tax Matters (AEI) as part of its commitment to combat tax evasion. These agreements require Swiss Financial Institutions, including Credit Suisse AG ("Bank"), to carry out certain due diligence procedures and in some instances to report client and account information.

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III. Personal Data and Tax Residence of the AEI Account Holder (may be identical to the Contracting Party)

Name: First name:
 Date of birth (dd.mm.yyyy):
 Residence Address / Street/No.:
 Zip code: Town/City:
 Country (no abbreviation):

Please provide the full name, the date of birth, and full address of the AEI Account Holder.
In case of a joint-account: please complete a separate form for each account holder.

Please insert below **all** jurisdiction(s) of residence for tax purposes.
 Generally, an individual is resident for tax purposes in a jurisdiction if, under the laws of that jurisdiction (including tax conventions, e.g. double tax agreements), he/she pays or should be paying taxes therein by reason of his/her domicile, residence, or any other criterion of a similar nature, and not only from sources in that jurisdiction.
 Further you may be required to provide additional information to evidence the declaration made.
 For additional information on tax residence, please contact a tax and legal adviser and visit the Bank's AEI Portal (www.credit-suisse.com/AEI)

Jurisdiction 1:
 Jurisdiction of Residence for Tax Purposes (no abbreviations):
 Taxpayer Identification Number (TIN)* (or equivalent Identification Number):

At least one Jurisdiction of Residence for Tax Purposes must be provided.

If the TIN is unavailable, please tick the box with the appropriate reason (only one box can be ticked):
 Reason A: The relevant jurisdiction is Switzerland (Note: If you tick this box, please make sure that you also have entered above your jurisdiction of residence for tax purposes)

If you are unable to provide a TIN, please tick the appropriate reason.

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V. Consent to rely on this Self-Certification for other Relationships with the Bank

Unless the box below is checked, the Contracting Party hereby agrees that the Bank may reuse and rely on this self-certification and any related information, including any reasonable explanations provided by the Contracting Party and/or a client facing employee of the Bank, for documenting any other relationships of the Contracting Party with the Bank. The Contracting Party understands that its consent may result in this self-certification being copied into the client files of other relationships that it maintains or will maintain with the Bank. If such other relationships involve other participants, e.g. account holders, authorized signatories, etc., these participants may have access to the respective client file, including the copy of this self-certification and may therefore infer that the Contracting Party has or had relationships with the Bank different to the relationship where they are involved.

The Contracting Party hereby objects to the reuse of this self-certification for its other relationships with the Bank. The Contracting Party acknowledges that it will have to provide separate and consistent AEI documentation for each other relationship with the Bank.

Please note that irrespective of the Contracting Party ticking this box or not, this section V is without prejudice to any of the Bank's rights to exchange information according to its general conditions which remain in full force and effect, including but not limited to any rights to exchange information with other banks and legal entities of Credit Suisse Group in Switzerland.

Place and date:

Signature(s) of the Contracting Party:
 John Specimen

This section is relevant for clients holding **more than one** account with the bank. If the box is ticked, the Contracting Party **objects** to the reuse of the Self-Certification form for its other relationships with the bank. The Contracting Party will have to provide **separate and consistent AEI documentation for all other relationships** with the bank.

Please sign as per signatory rights of the relevant account.