How to Complete Self-Certification for Entities

Please note that the instructions below show only extracts of the Self-Certification form. The Self-Certification form, the Entity Classification Guide as well as a Glossary are available on the bank’s AEI internet page.

Automatic Exchange of Financial Account Information in Tax Matters (AEI)
Self-Certification for AEI Account Holder being an Entity

Please fill in with the CIF number and the name of the Contracting Party.

I. Introduction
The Swiss government has signed a series of agreements with a number of foreign jurisdictions for the Automatic Exchange of Financial Account Information in Tax Matters (AEI) as part of its commitment to combat tax evasion. These agreements require Swiss financial institutions, including Credit Suisse (Switzerland) Ltd. (“Bank”), to carry out certain due diligence procedures and in some instances to report client and account information.

III. Entity Details of the AEI Account Holder (may be identical to the Contracting Party)

Please provide the data of the Account Holder.

IV. Entity Classification
Please read the Entity Classification Guide (ECG) and if needed the Glossary for assistance with completing the form. Both documents can be found on the Bank’s AEI Portal (https://www.credit-suisse.com/aei). The categories below correspond to the Classification according to ECG.

Please provide the AEI Account Holder Entity classification by selecting the appropriate boiler.

(a) Professionally Managed Investment Entity (PMIE)

If the PMIE is resident in a Non-Participating Jurisdiction, it will be treated as a Passive PMIE.

Please indicate the Controlling Person (see definition on the Self-Certification form “Self-Certification for Controlling Person(s)” in the separate form “Self-Certification for Controlling Person(s)” for each Controlling Person.

V. Tax Residence of the AEI Account Holder
Please insert below jurisdiction(s) of residence for tax purposes.

Generally, an entity is resident for tax purposes in a jurisdiction if, under the laws of that jurisdiction (including tax conventions, e.g. double tax agreements), it pays or should be paying taxes therein by reason of its incorporation, place of effective management, or any other criterion of a similar nature, and not only from sources in that jurisdiction.

Jurisdiction(s) of Residence for Tax Purposes

Switzerland

If the TIN is unavailable, please tick the reason(s) below (only one box can be ticked):

Reason A: The relevant jurisdiction is Switzerland.
Reason B: The relevant jurisdiction is not Switzerland. If you tick this box, please make sure that you also have entered above your jurisdiction of residence for tax purposes.

At least one Jurisdiction of Residence for Tax Purposes must be provided.

If you are unable to provide a TIN, please tick the appropriate reason.

This section is relevant for clients holding more than one account with the bank.

If the box is ticked, the Contracting Party objects to the reuse of the Self-Certification form for its other relationships with the Bank.

The Contracting Party will have to provide separate and consistent AEI documentation for all other relationships with the bank.

VII. Consent to rely on this Self-Certification for other Relationships with the Bank

The Contracting Party hereby objects to the reuse of this self-certification for its other relationships with the Bank.

The Contracting Party acknowledges that it will have to provide separate and consistent AEI documentation for each other relationship with the Bank.

Please note that irrespective of the Contracting Party ticking this box or not, this section VII is subject to any of the Bank’s rights to exchange information according to its general conditions which remain in full force and effect, including but not limited to any rights to exchange information with other banks and legal entity of Credit Suisse Group in Switzerland.

Place and date
ZURICH, 18.06.2018

Signature(s) of the Contracting Party

Please sign as per signatory rights of the relevant account.