

# How to Complete Self-Certification for Entities

Please note that the instructions below show only extracts of the Self-Certification form. The Self-Certification form, the Entity Classification Guide as well as a Glossary are available on the bank's [AEI internet page](#).

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## Automatic Exchange of Financial Account Information in Tax Matters (AEI)

### Self-Certification for AEI Account Holder being an Entity

Client no. (CIF) or account/relationships account number(s)

1111-111111-1

Name of Contracting Party

SPECIMEN Ltd.

#### I. Introduction

The Swiss Government has signed a series of agreements with a number of foreign jurisdictions for the Automatic Exchange of Financial Account Information in Tax Matters (AEI) as part of its commitment to combat tax evasion. These agreements require Swiss Financial Institutions, including Credit Suisse (Switzerland) Ltd. ("Bank"), to carry out certain due diligence procedures and in some instances to report client and account information.

Please fill in with the CIF number and the name of the Contracting Party.

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#### III. Entity Details of the AEI Account Holder (may be identical to the Contracting Party)

Name of Entity

SPECIMEN Ltd.

Residence Address / Street/No.  
EXAMPLE STREET 111

Zip code

9999

Town/City

EXAMPLE CITY

Country (no abbreviation)

SWITZERLAND

Please provide the data of the **Account Holder**.

#### IV. Entity Classification

Please read the Entity Classification Guide (ECG) and if needed the Glossary for assistance with completing this form. Both documents can be found on the Bank's AEI Portal ([www.credit-suisse.com/AEI](http://www.credit-suisse.com/AEI)). The categories below correspond to the Classification according to ECG.

Please provide the AEI Account Holder Entity classification by ticking the appropriate box:

##### (a) Professionally Managed Investment Entity (PMIE)

If the PMIE is resident in a Non-Participating Jurisdiction, it will be treated as a Passive NFE. Please indicate the Controlling Persons (see definition on the Self-Certification form "Self-Certification for Controlling Persons") in the separate form "Self-Certification for Controlling Persons" for each Controlling Person.

Please provide an Entity Classification. For further assistance, please refer to the Entity Classification Guide and the Glossary available on the bank's AEI internet page (<https://www.credit-suisse.com/aei>).

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#### V. Tax Residence of the AEI Account Holder

Please insert below all jurisdiction(s) of residence for tax purposes.

Generally, an entity is resident for tax purposes in a jurisdiction if, under the laws of that jurisdiction (including tax conventions, e.g. double tax agreements), it pays or should be paying taxes therein by reason of its incorporation, place of effective management, or any other criterion of a similar nature, and not only from sources in that jurisdiction.

##### Jurisdiction 1:

Jurisdiction(s) of Residence for Tax Purposes  
(no abbreviations)

Taxpayer Identification Number (TIN)<sup>1</sup>  
(or equivalent Identification Number)

SWITZERLAND

If the TIN is unavailable please, tick the box with the appropriate reason (only one box can be ticked):

Reason A: The relevant jurisdiction is Switzerland (Note: If you tick this box, please make sure that you also have entered above your jurisdiction of residence for tax purposes)

**At least** one Jurisdiction of Residence for Tax Purposes must be provided.

If you are unable to provide a TIN, please tick the appropriate reason.

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#### VII. Consent to rely on this Self-Certification for other Relationships with the Bank

Unless the box below is checked, the Contracting Party hereby agrees that the Bank may reuse and rely on this self-certification and any related information, including any reasonable explanations provided by the Contracting Party and/or a client facing employee of the Bank, for documenting any other relationships of the Contracting Party with the Bank. The Contracting Party understands that its consent may result in this self-certification being copied into the client files of other relationships that it maintains or will maintain with the Bank. If such other relationships involve other participants, e.g., account holders, authorized signatories, etc., these participants may have access to the respective client file, including the copy of this self-certification and may therefore infer that the Contracting Party has or had relationships with the Bank different to the relationship where they are involved.

The Contracting Party hereby objects to the reuse of this self-certification for its other relationships with the Bank. The Contracting Party acknowledges that it will have to provide separate and consistent AEI documentation for each other relationship with the Bank.

Please note that irrespective of the Contracting Party ticking this box or not, this section VII is without prejudice to any of the Bank's rights to exchange information according to its general conditions which remain in full force and effect, including but not limited to any rights to exchange information with other banks and legal entities of Credit Suisse Group in Switzerland

Place and date

ZURICH, 18.06.2018

Signature(s) of the Contracting Party

X John Specimen

X

This section is relevant for clients holding **more than one** account with the bank.

If the box is ticked, the Contracting Party **objects** to the reuse of the Self-Certification form for its other relationships with the bank. The Contracting Party will have to provide **separate and consistent AEI documentation for all other relationships** with the bank.

Please sign as per signatory rights of the relevant account.