



**CERTIFICATION REGARDING CORRESPONDENT  
ACCOUNTS  
FOR FOREIGN BANKS**

[OMD CONTROL NUMBER 1506-0043]

*The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).*

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 103.175(f)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 103.11(o)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 103.11(c)).\*

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

*Special instruction for foreign branches of U.S. banks:* A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

*Special instruction for covering multiple branches on a single Certification:* A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, CREDIT SUISSE AG ("**Foreign Bank**") hereby certifies as follows:

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\* A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

**B. Correspondent Accounts Covered by this Certification:** Check **one** box.

- This Certification applies to all accounts established for Foreign Bank by Covered Financial Institutions.
- This Certification applies to Correspondent Accounts established by Credit Suisse for Foreign Bank.

**C. Physical Presence/Regulated Affiliate Status:** Check **one** box and complete the blanks.

- Foreign Bank maintains a physical presence in any country. That means:
- Foreign Bank has a place of business at the following street address: Paradeplatz 8, CH - 8001 Zurich, Switzerland, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
  - The above address is in Switzerland (insert country), where Foreign Bank is authorized to conduct banking activities.
  - Foreign Bank is subject to inspection by Swiss Financial Market Supervisory Authority (FINMA), (insert Banking Authority), the banking authority that licensed Foreign Bank to conduct banking activities.
- Foreign Bank does not have a physical presence in any country, but Foreign Bank is a regulated affiliate. That means:
- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: \_\_\_\_\_, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
  - The above address is in \_\_\_\_\_ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
  - Foreign Bank is subject to supervision by \_\_\_\_\_, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.
- Foreign Bank does not have a physical presence in a country and is not a regulated affiliate.

**D. Indirect Use of Correspondent Accounts:** Check box to certify.

- No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does not use any Correspondent Account with a Covered Financial



Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

**E. Ownership Information:** Check box 1 or 2 below, if applicable.

1. Form FR Y-7 is on file. Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.

2. Foreign Bank's shares are publicly traded. Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete item 3 below, if applicable.

3. Foreign Bank has no owner(s) except as set forth below. For purposes of this Certification, owner means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) person means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) voting securities or other voting interests means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family\* shall be considered one person.

\* The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

Name	Address
Credit Suisse Group	Paradeplatz 8 CH-8001 Zurich, Switzerland

**F. Process Agent:** complete the following.

The following individual or entity: CT Corporation System is a resident of the United States at the following street address: 111 Eighth Avenue, 13<sup>th</sup> Floor, New York, NY 10011 and is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

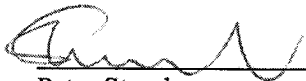
**G. General**

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Peter Straub, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

CREDIT SUISSE AG

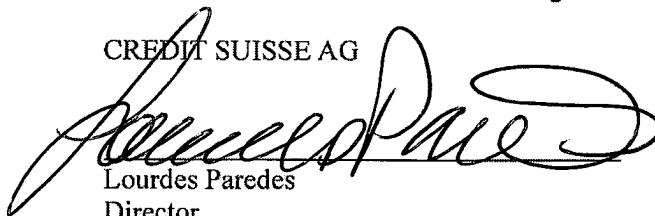


Peter Straub  
Vice President  
General Counsel Division

Executed on this 23<sup>rd</sup> day of December 2011

I, Lourdes Paredes, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

CREDIT SUISSE AG



Lourdes Paredes  
Director  
General Counsel Division

Executed on this 23<sup>rd</sup> day of December 2011

**Received and reviewed by:**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

For: \_\_\_\_\_

[Name of Covered Financial Institution]

Date: \_\_\_\_\_



**Credit Suisse AG**  
**Appendix to USA PATRIOT Act Certification**

**Credit Suisse AG, Dubai Branch**

The Gate, 9th Floor East, Dubai International Financial Centre, P.O. Box 33660,  
Dubai/UAE

Banking Authority: DIFC Financial Services Authority

**Credit Suisse AG, Cayman Islands Branch**

c/o CIBC Bank and Trust Company (Cayman) Limited  
CIBC Financial Centre, 5<sup>th</sup> Floor  
11 Dr. Roy's Drive  
George Town, Grand Cayman, Cayman Islands

Banking Authority: Cayman Islands Monetary Authority

**Credit Suisse AG, Guernsey Branch**

PO Box 368, Helvetia Court, Les Echelons, South Esplanade, St. Peter Port, GY1 3YJ,  
Guernsey, Channel Islands

Banking Authority: Guernsey Financial Services Commission

**Credit Suisse (Guernsey) Limited**

PO Box 368, Helvetia Court, Les Echelons, South Esplanade, St. Peter Port, GY1 3YJ,  
Guernsey, Channel Islands

Banking Authority: Guernsey Financial Services Commission

**Credit Suisse AG Hong Kong Branch**

Level 88  
International Commerce Centre  
1 Austin Road West  
Kowloon, Hong Kong

Banking Authority: Hong Kong Monetary Authority / Securities and Futures  
Commission

**Credit Suisse AG, Labuan Branch**

Level 10(B) Main Office Tower, Financial Park Labuan, 87000 Labuan FT, Malaysia

Banking Authority: Labuan Offshore Financial Services Authority

**Credit Suisse AG, London Branch**

One Cabot Square, London E14 4QJ  
United Kingdom

Banking Authority: The Financial Services Authority / London Stock Exchange

**Credit Suisse (Luxembourg) S.A.**

56, Grand Rue, 1660 Luxembourg

Banking Authority: Commission de Surveillance du Secteur Financier

**Credit Suisse AG, Milan Branch**

Via Santa Margherita No. 3 -20121 Milan  
Italy

Banking Authority: The Central Bank of Italy / Italian Stock Exchange Authority

**Credit Suisse AG, Nassau Branch**

The Bahamas Financial Centre, 4<sup>th</sup> Floor Shirley & Charlotte Streets  
PO Box N-4928, Nassau  
Bahamas

Banking Authority: The Central Bank of the Bahamas

**Credit Suisse (France)**

25 Avenue Kléber, 75784 Paris Cedex 16, France

Banking Authority: Banque de France – CECEI / Stock Exchange Authority of France

**Credit Suisse AG, Paris Branch**

25 Avenue Kléber, 75784 Paris Cedex 16, France

Banking Authority: Banque de France - Commission Bancaire / Banque de France - CECEI

**Credit Suisse AG, Seoul Branch**

14/F Hanwha Building, 110, Sokong-dong, Chung-ku, Seoul  
Korea

Banking Authority: The Financial Supervisory Services / The Bank of Korea / Financial Supervisory Commission / Ministry of Finance and Economy / Korea Exchange / Korea Federation of Bankers



**Credit Suisse AG Shanghai Branch**

17/F South Tower, Shanghai Stock Exchange Building, No. 528 Pu Dong Road (s),  
Shanghai 200120  
China

Banking Authority: People's Bank of China / China Banking Regulatory Commission  
/ State Administration of Foreign Exchange

**Credit Suisse AG, Singapore Branch**

1 Raffles Link #03/#04-01 South Lobby Singapore 039393  
Singapore

Banking Authority: The Monetary Authority of Singapore

**Credit Suisse AG, Sucursal en España**

Calle Ayala 42, 28001 Madrid, Spain

Banking Authority: Banco de España / Madrid Stock Exchange Authority

**Credit Suisse AG, Sydney Branch**

Gaeway Level 31, 1 Macquarie Place, Sydney NSW 2000,  
Australia

Banking Authority: Australian Prudential Regulation Authority / Australian Securities  
and Investments Commission

**Credit Suisse AG, Tokyo Branch**

Izumi Garden Tower 1-6-1 Roppongi, Minato-ku, Tokyo 106-6024  
Japan

Banking Authority: Financial Services Agency / Bank of Japan / Ministry of Finance

**Credit Suisse AG, Toronto Branch**

One First Canadian Place, Suite 2900, P.O. Box 301, Toronto, Ontario M5X 1C9  
Canada

Banking Authority: Office of the Superintendent of Financial Institutions



**CJSC Bank Credit Suisse (Moscow)**

4 Romanov pereulok, 6<sup>th</sup> Floor, 125009, Moscow  
Russia

Banking Authority: Central Bank of the Russian Federation / Federal Financial  
Markets Service of the Russian Federation

**Credit Suisse International**

One Cabot Square, London, E14 4QJ  
United Kingdom

Banking Authority: The Financial Services Authority

**Credit Suisse International, Sucursal en España**

Calle Ayala 42, Planta 3B, 28001 Madrid  
Spain

Banking Authority: Banco de España

**Credit Suisse (Singapore) Limited**

1 Raffles Link #03/#04-01 South Lobby Singapore 039393  
Singapore

Banking Authority: The Monetary Authority of Singapore

**Banco Credit Suisse (Brasil) S.A.**

Av. Brigadeiro Faria Lima, 3064 – 13 andar, Sao Paulo – SP 01429-000 Itaim-Bibi,  
Brazil

Banking Authority: Central Bank of Brazil

**Banco de Investimentos Credit Suisse (Brasil) S.A.**

Av. Brigadeiro Faria Lima, 3064 – 13 andar, Sao Paulo – SP 01429-000 Itaim-Bibi Brazil

Banking Authority: Central Bank of Brazil

**Banco Credit Suisse (Mexico), S.A.**

Torre Reforma 115, Piso 26, Delegacion Miguel Hidalgo  
Ciudad de Mexico, CP  
Mexico, D.F. 11000 - Mexico

Banking Authority: Central Bank of Mexico / Commission on Banking and Securities  
of Mexico



**Credit Suisse (UK) Limited**

16<sup>th</sup> Floor, 5 Cabot Square  
London E14 4QR, United Kingdom

Banking Authority: Financial Services Authority

**Credit Suisse (Italy) S.p.A.**

Via Santa Margherita, 3 - 20121 Milan  
Italy

Banking Authority: The Central Bank of Italy / Italian Stock Exchange Authority

**Credit Suisse AG Taipei Bank Branch**

16th Floor, Union Enterprise Plaza, 109 Min Sheng E. Road, Sec 3, Taipei 105, Taiwan  
R.O.C.

Banking Authority: Financial Supervisory Commission

**Credit Suisse (Gibraltar) Limited**

1<sup>st</sup> Floor, Neptune House  
Marina Bay  
Gibraltar

Banking Authority: Gibraltar Financial Services Commission

**Credit Suisse AG**

10th Floor, Ceejay House  
Plot F, Shivsagar Estate  
Dr. Annie Besant Road  
Worli, Mumbai 400 018  
India

Banking Authority: Reserve Bank of India

**Credit Suisse International, Dublin Branch**

Suite 22, Classon House, Dundrum Business Park  
Dundrum, Dublin 14  
Ireland

Banking Authority: Financial Services Authority [UK] / Central Bank of Ireland

**Credit Suisse Brazil (Bahamas) Limited**

The Bahamas Financial Centre, 4<sup>th</sup> Floor, Shirley & Charlotte Streets, P.O. Box N-3241, Nassau, Bahamas

Banking Authority: Central Bank of the Bahamas

**Credit Suisse (Deutschland) Aktiengesellschaft**

Junghofstrasse 16, 60311 Frankfurt am Main  
Germany

Banking Authority: Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin) / Central Bank of Germany

**Credit Suisse AG Taipei Securities Branch**

6/F, Union Enterprise Plaza, No. 109, Min-Sheng E. Road, Sec. 3, Taipei 105, Taiwan

Banking Authority: Securities and Futures Bureau / Taiwan Stock Exchange Corporation / Gre Tai Securities Market / Financial Supervisory Commission / Taiwan Futures Exchange

**Credit Suisse AG. Bahrain Branch**

East Tower Level 22, Bahrain World Trade Center, Manama, Kingdom of Bahrain

Banking Authority: Bahrain Monetary Authority

**Credit Suisse AG. Luxembourg Branch**

56, Grand Rue, Luxembourg 2010, Luxembourg

Banking Authority: Commission de Surveillance du Secteur Financier

**Credit Suisse AG. New York Branch**

11 Madison Avenue, New York, New York 10010, USA

Banking Authority: Federal Reserve Bank of New York / Board of Governors of the Federal Reserve System

**Credit Suisse International Singapore Branch**

1 Raffles Link #03-01 One Raffles Link, Singapore 039393, Singapore

**Credit Suisse International. (UK) Bank Sweden Branch (filial)**

Normalmstorg 12, 111 46 Stockholm, Sweden