Investor Complaints Statistics for Stock Broker (Institutional Clients)

i. Data for the month ending <u>JULY 2023</u>

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved during the month*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending complaints < 3 months	Pending complaints > 3 months	
1	Directly from Investors	NIL	NIL	NA	NA	NIL	NIL	NA
2	SEBI (SCORES)	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable
3	Stock Exchanges	NIL	NIL	NA	NA	NIL	NIL	NA
4	Other Sources (if any)	NIL	NIL	NA	NA	NIL	NIL	NA
	Grand Total	**NIL**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**

* Inclusive of complaints of previous months resolved in the current month.
** Inclusive of complaints pending as on the last day of the month.
^ Average Resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

ii. Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received during the month	Resolved*	Pending**
1	April 2023	NIL	NIL	NA	NA
2	May 2023	NIL	NIL	NA	NA
3	June 2023	NIL	NIL	NA	NA
4	July 2023	NIL	NIL	NA	NA
5	August 2023				
6	September 2023				
7	October 2023				
8	November 2023				
9	December 2023				
	Grand Total	**NIL**	**NA**	**NA**	**NA**

* Inclusive of complaints of previous months resolved in the current month.
** Inclusive of complaints pending as on the last day of the month.

iii. Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	NIL	NA	NA
2	2018-19	NIL	NIL	NA	NA
3	2019-20	NIL	NIL	NA	NA
4	2020-21	NIL	NIL	NA	NA
5	2021-22	NIL	NIL	NA	NA
6	2022-23	NIL	NIL	NA	NA
7	2023-24	NIL	NIL	NA	NA
	Grand Total	**NIL**	**NIL**	**NA**	**NA**