

Identification Form B

Australian company investors

Guide to completing this form

- In accordance with client identification requirements introduced under the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF Act), we require Australian companies to complete an Identification Form in addition to its application form.
- This form applies to **Australian** companies only.
- If you are a foreign company, please contact Investor Relations to obtain further information on our requirements.
- **Mail to**
Credit Suisse Investments (Australia) Limited
PO Box R758
Royal Exchange NSW 1225
Australia
- Please call us if you have any questions about this form
Investor Relations **1300 366 860** or **+612 8205 4489** (if calling from outside Australia)

Investor name

Investor number (if known)

Section 1A: Australian company details

1.1 General information

Full name as registered by ASIC

ACN

Registered office address

Street (PO Box is **not** acceptable)

Suburb State Postcode Country

Principal place of business (if any)

Street (PO Box is **not** acceptable)

Suburb State Postcode Country

Business activity (eg. bakery, dry-cleaning)

1.2 Company type (select only ONE of the following categories)

- Public** Complete Section 1.3 – Regulatory/listing details AND Section 2 – Signatures
- Proprietary** Complete Section 1.4 – Directors, 1.5 – Shareholders AND Section 2 – Signatures

1.3 Regulatory/listing details (complete if the company is an Australian regulated company)

Select the following categories which apply to the company and provide the information requested

Australian regulated company (licensed by an Australian Commonwealth, State or Territory statutory regulator)

Name of regulator

Licence details
 (eg. AFSL number, RSE Licence number, etc.)

Australian listed company

Name of market/exchange

Majority-owned subsidiary of an Australian listed company

Australian listed company name

Name of market/exchange

Go to Section 2 – Signatures

1.4 Directors (only to be completed for proprietary companies)

How many directors are there? Provide full name of each director

Full given name(s)

Surname

1	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>

If there are more directors, provide details on an additional form.

1.5 Shareholders (only to be completed for proprietary companies)

Provide details of **ALL individuals** who are beneficial owners through one or more shareholdings of more than 25% of the company's issued capital.

Shareholder 1

Full given name(s)

Surname

<input type="text"/>	<input type="text"/>
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Residential address – Street (PO Box is **not** acceptable)

Suburb

State

Postcode

Country

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Shareholder 2

Full given name(s)

Surname

<input type="text"/>	<input type="text"/>
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Residential address – Street (PO Box is **not** acceptable)

Suburb

State

Postcode

Country

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Shareholder 3

Full given name(s)

Surname

<input type="text"/>	<input type="text"/>
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Residential address – Street (PO Box is **not** acceptable)

Suburb

State

Postcode

Country

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Shareholder 4

Full given name(s)

Surname

<input type="text"/>	<input type="text"/>
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Residential address – Street (PO Box is **not** acceptable)

Suburb

State

Postcode

Country

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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If there are more shareholders, provide details on an additional form.

Go to Section 2 – Signatures

Section 2: Signatures

Important – You must read the following acknowledgements

Credit Suisse Investments (Australia) Limited (Credit Suisse) is bound by laws relating to the prevention of money laundering and the financing of terrorism, including the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF laws). By completing this form:

- I/We declare that I am/we are not commonly known by any other names different from those disclosed in this form.
- I/We declare that any monies used by me/us to invest in the Fund is not derived from or related to any criminal activities.
- I/We declare that any proceeds of my/our investment will not be used in relation to any criminal activities.
- I/We declare that any documents or information whatsoever used for verification purposes in support of my/our application is/are complete and correct.
- I/We agree to provide additional information you may require for the purposes of AML/CTF laws.
- I/We acknowledge that it may be a criminal offence to knowingly provide false, forged, altered or falsified documents or misleading information or documents when completing this form.
- I/We acknowledge that where a transaction is delayed, blocked, frozen or refused, Credit Suisse will not be liable for any loss (including consequential loss) as a result of its compliance with the AML/CTF laws.

Name

Date

 / /

Director Sole Director

(Important: Please cross here)

Signature

Name

Date

 / /

Director Company Secretary

(Important: Please cross here)

Signature

Signatures

Companies may sign by one of the following methods

- Under seal, with the signatures of two directors or one director and the company secretary
- By signatures of two directors or one director and the company secretary
- For companies where a sole director is permitted, by signature of the sole director or
- Under Power of Attorney. If signed under an attorney, the attorney confirms that no notice of revocation of that power has been received. A copy of the originally certified copy of the Power of Attorney must be lodged with this form.

Persons appointed under a Power of Attorney will be required to complete the Identification Form on page 47.

Common Seal (if applicable)

Office Use Only

Section 3: Australian company verification

3.1 Standard verification (for ALL companies)

Verify the following:

- The full name of the company registered by ASIC
- Whether the company is registered as a proprietary or a public company
- The ACN issued to the company

Tick Verification

- Perform a search of the relevant ASIC database (eg. 'National Names Index' at www.asic.gov.au)

3.2 Additional verification (for public companies, as indicated at Section 1.3)

Verify the following:

- The company is an Australian listed company (if applicable)
- The company is a majority owned subsidiary of an Australian listed company (if applicable)
- The company is a regulated company ie. licensed by an Australian Commonwealth, State or Territory statutory regulator (if applicable)

Tick Verification options – Select ONE of the following options used to verify the company

- Perform a search of the relevant market/exchange
- Perform a search of the relevant ASIC database
- Perform a search of the Licence or other records of the relevant Commonwealth, State or Territory statutory regulator
- A public document issued by the relevant company

Important – Attach a legible copy of the ID documentation used to verify the Australian company

ID document details

Verified from Performed search Other

Document issuer/website

Public document type

Issue date/search date

Verifier details – identification and verification conducted by:

Name and initial Date verified / /