

## Identification Form C

### Superannuation fund investors

#### Guide to completing this form

- In accordance with client identification requirements introduced under the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF Act), we require you to complete an Identification Form in addition to your application form.
- Complete the following:
  - Section 1 – Superannuation fund details
  - Section 4 – Signatures
- **AND** select and complete one of the following sections for **ONLY ONE** of the superannuation fund trustees:
  - Section 2 – Individual details
  - Section 3 – Australian company details
- **Mail to**
  - Attention: Investor Relations
  - Credit Suisse Investments (Australia) Limited
  - PO Box R758
  - Royal Exchange
  - NSW 1225
  - Australia
- Please call us if you have any questions about this form  
Investor Relations **1300 366 860 or +612 8205 4489 (if calling from outside Australia)**

Investor name

Investor number (if known)

**Section 1: Superannuation fund details**

Full name of superannuation fund

Full business name (if any)

Country where superannuation fund was established

Provide name of the regulator (eg. ASIC, APRA, ATO)

Provide the ABN or registration/licensing details of the superannuation fund

Provide additional information for ONE Trustee. Select and complete ONLY ONE of the following sections:

- Section 2 – Individual details **OR**
- Section 3 – Australian company details

**Section 2: Individual details (complete if nominated trustee is an individual)**

Full given name(s)  Surname

Date of birth (dd/mm/yy)   /   /   Occupation

Residential address – Street (PO Box is **not** acceptable)

Suburb  State  Postcode  Country

**Go to Section 4 – Signatures**

**Section 3: Australian company details (complete if nominated trustee is an Australian company)**

**3.1 General information**

Full name as registered by ASIC

ACN

**Registered office address**

Street (PO Box is **not** acceptable)

Suburb  State  Postcode  Country

**Principal place of business (if any)**

Street (PO Box is **not** acceptable)

Suburb  State  Postcode  Country

**Business activity (eg. bakery, dry-cleaning)**

**3.2 Company type (select only ONE of the following categories)**

- Public** Go to Section 3.3 – Regulatory/listing details AND Section 4 – Signatures
- Proprietary** Go to Section 3.4 – Directors, Section 3.5 – Shareholders AND Section 4 – Signatures

**3.3 Regulatory/listing details (complete if the company is an Australian regulated company)**

Select the following categories which apply to the trustee company and provide the information requested

- Australian regulated company** (licensed by an Australian Commonwealth, State or Territory statutory regulator)

Name of regulator

Licence details   
(eg. AFSL number, RSE Licence number, etc)

- Australian listed company**

Name of market/exchange

- Majority-owned subsidiary of an Australian listed company**

Australian listed company name

Name of market/exchange

**3.4 Directors (only to be completed for proprietary companies)**

How many directors are there?  Provide full name of each director below

	Full given name(s)	Surname
1	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>

If there are more directors, provide details on an additional form.

**3.5 Shareholders (only to be completed for proprietary companies)**

Provide details of **ALL individuals** who are beneficial owners through one or more shareholdings of more than 25% of the company's issued capital.

**Shareholder 1**

Full given name(s)

Surname

Residential address – Street (PO Box is **not** acceptable)

Suburb  State  Postcode  Country

**Shareholder 2**

Full given name(s)

Surname

Residential address – Street (PO Box is **not** acceptable)

Suburb  State  Postcode  Country

If there are more shareholders, provide details on an additional form.

**Go to Section 4 – Signatures**

**Section 4: Signatures**

**Important – You must read the following acknowledgements**

Credit Suisse Investments (Australia) Limited (Credit Suisse) is bound by laws relating to the prevention of money laundering and the financing of terrorism, including the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF laws). By completing this form:

- I/We declare that I am/we are not commonly known by any other names different from those disclosed in this form.
- I/We declare that any monies used by me/us to invest in the Fund is not derived from or related to any criminal activities.
- I/We declare that any proceeds of my/our investment will not be used in relation to any criminal activities.
- I/We declare that any documents or information whatsoever used for verification purposes in support of my/our application is/are complete and correct.
- I/We agree to provide additional information you may require for the purposes of AML/CTF laws.
- I/We acknowledge that it may be a criminal offence to knowingly provide false, forged, altered or falsified documents or misleading information or documents when completing this form.
- I/We acknowledge that where a transaction is delayed, blocked, frozen or refused, Credit Suisse will not be liable for any loss (including consequential loss) as a result of its compliance with the AML/CTF laws.

Name

Date   /   /

Director     Sole Director

(Important: Corporate Trustees must cross here)

Signature

Name

Date   /   /

Director     Company Secretary

(Important: Corporate Trustees must cross here)

Signature

**Signatures**

If the nominated trustee is an **individual** (as provided in Section 2), the individual must sign here.

If the nominated trustee is a **company** (as provided in Section 3), the company must sign here.

Companies may sign by one of the following methods

- Under seal, with the signatures of two directors or one director and the company secretary
- By signatures of two directors or one director and the company secretary
- For companies where a sole director is permitted, by signature of the sole director or
- Under Power of Attorney. If signed under an attorney, the attorney confirms that no notice of revocation of that power has been received. A copy of the originally certified copy of the Power of Attorney must be lodged with this form.

Persons appointed under a Power of Attorney will be required to complete the Identification Form on page 51.

Common Seal (if applicable)