

Identification Form C

Superannuation fund investors

Guide to completing this form

- In accordance with client identification requirements introduced under the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF Act), we require you to complete an Identification Form in addition to your application form.
- Complete the following:
 - Section 1 – Superannuation fund details
 - Section 4 – Signatures
- **AND** select and complete one of the following sections for **ONLY ONE** of the superannuation fund trustees:
 - Section 2 – Individual details
 - Section 3 – Australian company details
- **Mail to**
 - Credit Suisse Investments (Australia) Limited
 - PO Box R758
 - Royal Exchange NSW 1225
 - Australia
- Please call us if you have any questions about this form
Investor Relations **1300 366 860** or **+612 8205 4489** (if calling from outside Australia)

Investor name

Investor number (if known)

Section 1: Superannuation fund details

Full name of superannuation fund

Full business name (if any)

Country where superannuation fund was established

Provide name of the regulator (eg. ASIC, APRA, ATO)

Provide the ABN or registration/licensing details of the superannuation fund

Provide additional information for ONE Trustee. Select and complete ONLY ONE of the following sections:

Section 2 – Individual details **OR**

Section 3 – Australian company details

Section 2: Individual details (complete if nominated trustee is an individual)

Full given name(s)

Surname

Date of birth (dd/mm/yy)

 / /

Occupation

Residential address – Street (PO Box is **not** acceptable)

Suburb

State

Postcode

Country

Go to Section 4 – Signatures

Section 3: Australian company details (complete if nominated trustee is an Australian company)

3.1 General information

Full name as registered by ASIC

ACN

Registered office address

Street (PO Box is **not** acceptable)

Suburb

State

Postcode

Country

Principal place of business (if any)

Street (PO Box is **not** acceptable)

Suburb

State

Postcode

Country

Business activity (eg. bakery, dry-cleaning)

3.2 Company type (select only ONE of the following categories)

- Public** Go to Section 3.3 – Regulatory/listing details AND Section 4 – Signatures
- Proprietary** Go to Section 3.4 – Directors, Section 3.5 – Shareholders AND Section 4 – Signatures

3.3 Regulatory/listing details (complete if the company is an Australian regulated company)

Select the following categories which apply to the trustee company and provide the information requested

- Australian regulated company** (licensed by an Australian Commonwealth, State or Territory statutory regulator)

Name of regulator

Licence details
(eg. AFSL number, RSE Licence number, etc)

- Australian listed company**

Name of market/exchange

- Majority-owned subsidiary of an Australian listed company**

Australian listed company name

Name of market/exchange

3.4 Directors (only to be completed for proprietary companies)

How many directors are there? Provide full name of each director below

	Full given name(s)	Surname
1	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>

If there are more directors, provide details on an additional form.

3.5 Shareholders (only to be completed for proprietary companies)

Provide details of **ALL individuals** who are beneficial owners through one or more shareholdings of more than 25% of the company's issued capital.

Shareholder 1

Full given name(s)

Surname

Residential address – Street (PO Box is **not** acceptable)

Suburb State Postcode Country

Shareholder 2

Full given name(s)

Surname

Residential address – Street (PO Box is **not** acceptable)

Suburb State Postcode Country

If there are more shareholders, provide details on an additional form.

Go to Section 4 – Signatures

Section 4: Signatures

Important – You must read the following acknowledgements

Credit Suisse Investments (Australia) Limited (Credit Suisse) is bound by laws relating to the prevention of money laundering and the financing of terrorism, including the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF laws). By completing this form:

- I/We declare that I am/we are not commonly known by any other names different from those disclosed in this form.
- I/We declare that any monies used by me/us to invest in the Fund is not derived from or related to any criminal activities.
- I/We declare that any proceeds of my/our investment will not be used in relation to any criminal activities.
- I/We declare that any documents or information whatsoever used for verification purposes in support of my/our application is/are complete and correct.
- I/We agree to provide additional information you may require for the purposes of AML/CTF laws.
- I/We acknowledge that it may be a criminal offence to knowingly provide false, forged, altered or falsified documents or misleading information or documents when completing this form.
- I/We acknowledge that where a transaction is delayed, blocked, frozen or refused, Credit Suisse will not be liable for any loss (including consequential loss) as a result of its compliance with the AML/CTF laws.

Name

Date

 / /

Director Sole Director

(Important: Corporate Trustees must cross here)

Name

Date

 / /

Director Company Secretary

(Important: Corporate Trustees must cross here)

Signature

Signature

Signatures

If the nominated trustee is an **individual** (as provided in Section 2), the individual must sign here.

If the nominated trustee is a **company** (as provided in Section 3), the company must sign here.

Companies may sign by one of the following methods

- Under seal, with the signatures of two directors or one director and the company secretary
- By signatures of two directors or one director and the company secretary
- For companies where a sole director is permitted, by signature of the sole director or
- Under Power of Attorney. If signed under an attorney, the attorney confirms that no notice of revocation of that power has been received. A copy of the originally certified copy of the Power of Attorney must be lodged with this form.

Persons appointed under a Power of Attorney will be required to complete the Identification Form on page 47.

Common Seal (if applicable)